# Kruskal's Greedy Algorithm 

Jonas Hofmann<br>Kantonsschule Uster 5th year<br>Mentor: Kaloyan Slavov<br>Primes-Switzerland

23.Juni 2018

Mentor: Kaloyan Slavov

## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a spanning-tree $T$ such that the sum of the weight of all edges in $T$ is minimal.

## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a spanning-tree $T$ such that the sum of the weight of all edges in $T$ is minimal.

- What is a Graph?


## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a spanning-tree $T$ such that the sum of the weight of all edges in $T$ is minimal.

- What is a Graph?
- What is a Tree?

What is a graph?

## What is a graph?

A set of vertices connected by edges


The edges don't have to be straight, and they're allowed to intersect

## What is a graph?

A set of vertices connected by edges


The edges don't have to be straight, and they're allowed to intersect

What is a tree?

## What is a tree?

A connected graph without any cycles


## What is a tree?

A connected graph without any cycles


## What is a tree?

A connected graph without any cycles


What is a forest?

## What is a forest?

Like a tree, but it doesn't need to be connected.
$\Rightarrow$ A graph without a cycle


## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a tree $T$ such that the sum of the weight of all edges in $T$ is minimal.


## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a tree $T$ such that the sum of the weight of all edges in $T$ is minimal.


## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a tree $T$ such that the sum of the weight of all edges in $T$ is minimal.


## Problem

You have a graph $G$ and a function $\omega: E(G) \rightarrow \mathbb{R}^{+}$.
Find a tree $T$ such that the sum of the weight of all edges in $T$ is minimal.


## That is Kruskal's algorithm

Always add a edge with the lowest weight, which isn't already in the forest and doesn't create a cycle.

## Lemma 1

A graph is a tree on $n$ vertices $\Rightarrow$ it has $n-1$ edges
Induction on the number of vertices.

## Lemma 1

A graph is a tree on $n$ vertices $\Rightarrow$ it has $n-1$ edges
Induction on the number of vertices.
Trivial case: 1 vertex

## Lemma 1

A graph is a tree on $n$ vertices $\Rightarrow$ it has $n-1$ edges
Induction on the number of vertices.
Suppose it holds for $n$ vertices. Let $T$ be a tree on $n+1$ vertices. Find a longest path.


## Lemma 1

A graph is a tree on $n$ vertices $\Rightarrow$ it has $n-1$ edges
Induction on the number of vertices.
Suppose it holds for $n$ vertices. Let $T$ be a graph on $n+1$ vertices. Find a longest path. Remove one of the vertices where it ends, you get a new tree $T^{\prime}$

$T^{\prime}$ is a tree on $n$ vertices $\Rightarrow$ (inductive hypothesis) it has $n-1$ edges. $\Rightarrow T$ has $n$ edges.
$\square$

## Lemma 2

A graph is a forest on $n$ vertices with $k$ components $\Rightarrow$ it has $n-k$ edges.

Apply Lemma 1 on all components


## Lemma 2

A graph is a forest on $n$ vertices with $k$ components $\Rightarrow$ it has $n-k$ edges.

Apply Lemma 1 on all components


by Lemma 1 : in every component $\#$ edges $=\#$ vertices - 1

## Lemma 2

A graph is a forest on $n$ vertices with $k$ components $\Rightarrow$ it has $n-k$ edges.

Apply Lemma 1 on all components

by Lemma 1 : in every component $\#$ edges $=\#$ vertices - 1 $\Rightarrow \#$ all edges $=\#$ all vertices $-k$

## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.

## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle.

## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$


## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$


## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$


## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$

$\Rightarrow \#$ components in $F \leq \#$ components in $F^{\prime}$.

## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$


$$
\Rightarrow|C(F)| \leq\left|C\left(F^{\prime}\right)\right|
$$

## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$.
$\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.
If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$


$$
\begin{array}{r}
\Rightarrow|C(F)| \leq\left|C\left(F^{\prime}\right)\right| \\
|E(F)|<\left|E\left(F^{\prime}\right)\right|
\end{array}
$$

## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$. $\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.

If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$


## Lemma 3

$F, F^{\prime}$ are forests on the same $n$ vertices, with $|E(F)|<\left|E\left(F^{\prime}\right)\right|$. $\Rightarrow$ There exists $e \in F^{\prime}$ such that $F \cup e$ is still a forest.

If that wasn't the case, adding any $e \in F^{\prime}$ to $F$ would create a cycle. $\Rightarrow$ All $e \in F^{\prime}$ connect two vertices in a component in $F$

contradiction! $\square$

Graph $G$, trees $T$ and $H$, with $\omega(T)>\omega(H)$
Sort the edges in $H$ and $T$ by weight. $\Rightarrow h_{1}, \ldots, h_{n}$ and $t_{1}, \ldots, t_{n}$ $H_{i}$ and $T_{i}$ are the forests made by the first $i$ edges of $H$ and $T$


Graph $G$, trees $T$ and $H$, with $\omega(T)>\omega(H)$
Sort the edges in $H$ and $T$ by weight. $\Rightarrow h_{1}, \ldots, h_{n}$ and $t_{1}, \ldots, t_{n}$ $H_{i}$ and $T_{i}$ are the forests made by the first $i$ edges of $H$ and $T$


Let $k$ be the first step $\omega\left(H_{k}\right)$ is smaller than $\omega\left(T_{k}\right)$
i.e $\omega\left(H_{k}\right)<\omega\left(T_{k}\right)$ but $\omega\left(H_{k-1}\right) \geq \omega\left(T_{k-1}\right)$

Graph $G$, trees $T$ and $H$, with $\omega(T)>\omega(H)$
Sort the edges in $H$ and $T$ by weight. $\Rightarrow h_{1}, \ldots, h_{n}$ and $t_{1}, \ldots, t_{n}$ $H_{i}$ and $T_{i}$ are the forests made by the first $i$ edges of $H$ and $T$


Let $k$ be the first step $\omega\left(H_{k}\right)$ is smaller than $\omega\left(T_{k}\right)$
i.e $\omega\left(H_{k}\right)<\omega\left(T_{k}\right)$ but $\omega\left(H_{k-1}\right) \geq \omega\left(T_{k-1}\right) \Rightarrow \omega\left(h_{k}\right)<\omega\left(t_{k}\right)$

Graph $G$, trees $T$ and $H$, with $\omega(T)>\omega(H)$
Sort the edges in $H$ and $T$ by weight. $\Rightarrow h_{1}, \ldots, h_{n}$ and $t_{1}, \ldots, t_{n}$ $H_{i}$ and $T_{i}$ are the forests made by the first $i$ edges of $H$ and $T$


Let $k$ be the first step $\omega\left(H_{k}\right)$ is smaller than $\omega\left(T_{k}\right)$
i.e $\omega\left(H_{k}\right)<\omega\left(T_{k}\right)$ but $\omega\left(H_{k-1}\right) \geq \omega\left(T_{k-1}\right) \Rightarrow \omega\left(h_{k}\right)<\omega\left(t_{k}\right)$

Graph $G$, trees $T$ and $H$, with $\omega(T)>\omega(H)$
Sort the edges in $H$ and $T$ by weight. $\Rightarrow h_{1}, \ldots, h_{n}$ and $t_{1}, \ldots, t_{n}$ $H_{i}$ and $T_{i}$ are the forests made by the first $i$ edges of $H$ and $T$


Let $k$ be the first step $\omega\left(H_{k}\right)$ is smaller than $\omega\left(T_{k}\right)$
i.e $\omega\left(H_{k}\right)<\omega\left(T_{k}\right)$ but $\omega\left(H_{k-1}\right) \geq \omega\left(T_{k-1}\right) \Rightarrow \omega\left(h_{k}\right)<\omega\left(t_{k}\right)$

$$
\omega\left(h_{k}\right)<\omega\left(t_{k}\right)
$$

$\omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Lemma $3 \Rightarrow$ there is a $h_{j} \in H_{k}$ such that $T_{k-1} \cup h_{j}$ is a forest.
$\omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Lemma $3 \Rightarrow$ there is a $h_{j} \in H_{k}$ such that $T_{k-1} \cup h_{j}$ is a forest. We know $\omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)$ because $h$ is sorted.
$\omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Lemma $3 \Rightarrow$ there is a $h_{j} \in H_{k}$ such that $T_{k-1} \cup h_{j}$ is a forest. We know $\omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)$ because $h$ is sorted.

$$
\Rightarrow \omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)<\omega\left(t_{k}\right)
$$

$\omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Lemma $3 \Rightarrow$ there is a $h_{j} \in H_{k}$ such that $T_{k-1} \cup h_{j}$ is a forest. We know $\omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)$ because $h$ is sorted.
$\Rightarrow \omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Kruskal's algorithm wouldn't chose $t_{k}$ at step $k$.
$\omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Lemma $3 \Rightarrow$ there is a $h_{j} \in H_{k}$ such that $T_{k-1} \cup h_{j}$ is a forest.
We know $\omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)$ because $h$ is sorted.
$\Rightarrow \omega\left(h_{j}\right) \leq \omega\left(h_{k}\right)<\omega\left(t_{k}\right)$
Kruskal's algorithm wouldn't chose $t_{k}$ at step $k$.
$\Rightarrow$ contradiction $\Rightarrow$ Kruskal's Algorithm finds a minimum weight spanning-tree!

