

Signatures of Hermitian forms and unitary representations

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Lec 1: $SL(2, \mathbb{R})$

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Herm KL polys

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Easy Herm KL polys

Deforming to $\nu = 0$

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Unitarity algorithm

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What's representation theory for?

Example. $\int_{-\pi}^{\pi} \sin^5(t) dt = ?$ **Zero!**

Generalize: $f = f_{\text{even}} + f_{\text{odd}}$, $\int_{-a}^a f_{\text{odd}}(t) dt = 0$. **Reps of $\{\pm 1\}$.**

Example. Evolution of initial temp distn of hot ring

$T(0, \theta) = A + B \cos(m\theta)$? $T(t, \theta) = A + Be^{-c \cdot m^2 t} \cos(m\theta)$.

Generalize: **Fourier series** of initial temp. **Reps of circle group.**

Example. X compact (arithmetic) locally symmetric manifold of dim 128; $\dim(H^{28}(X, \mathbb{C})) = ?$ **Eight!**

Same as H^{28} for compact globally symmetric space.

Generalize: $X = \Gamma \backslash G/K$, $H^p(X, \mathbb{C}) = H_{\text{cont}}^p(G, L^2(\Gamma \backslash G))$. Decomp L^2 :

$$L^2(\Gamma \backslash G) = \sum_{\pi \text{ irr rep of } G} m_{\pi}(\Gamma) \mathcal{H}_{\pi} \quad (m_{\pi} = \text{dim of some aut forms})$$

Deduce $H^p(X, \mathbb{C}) = \sum_{\pi} m_{\pi}(\Gamma) \cdot H_{\text{cont}}^p(G, \mathcal{H}_{\pi})$.

General principal: group G acts on vector space V ;
decompose V ; study pieces separately.

Gelfand's abstract harmonic analysis

Topological grp G acts on X , have **questions about X** .

Step 1. Attach to X Hilbert space \mathcal{H} (e.g. $L^2(X)$).

Questions about X \rightsquigarrow questions about \mathcal{H} .

Step 2. Find finest G -eqvt decomp $\mathcal{H} = \bigoplus_{\alpha} \mathcal{H}_{\alpha}$.

Questions about \mathcal{H} \rightsquigarrow questions about each \mathcal{H}_{α} .

Each \mathcal{H}_{α} is **irreducible unitary representation of G** :
indecomposable action of G on a Hilbert space.

Step 3. Understand $\widehat{G}_u =$ all irreducible unitary
representations of G : **unitary dual problem**.

Step 4. Answers about irr reps \rightsquigarrow **answers about X** .

Topic for these lectures: **Step 3 for Lie group G** .

Mackey theory (normal subgps) \rightsquigarrow case **G reductive**.

What's a unitary dual look like?

$G(\mathbb{R})$ = real points of complex connected reductive alg G

Problem: find $\widehat{G(\mathbb{R})}_u$ = irr unitary reps of $G(\mathbb{R})$.

Harish-Chandra: $\widehat{G(\mathbb{R})}_u \subset \widehat{G(\mathbb{R})}$ = "all" irr reps.

Unitary reps = "all" reps with pos def invt form.

Example: $G(\mathbb{R})$ compact $\Rightarrow \widehat{G(\mathbb{R})}_u = \widehat{G(\mathbb{R})}$ = discrete set.

Example: $G(\mathbb{R}) = \mathbb{R}$;

$$\widehat{G(\mathbb{R})} = \{ \chi_z(t) = e^{zt} \quad (z \in \mathbb{C}) \} \simeq \mathbb{C}$$

$$\widehat{G(\mathbb{R})}_u = \{ \chi_{i\xi} \quad (\xi \in \mathbb{R}) \} \simeq i\mathbb{R}$$

Suggests: $\widehat{G(\mathbb{R})}_u$ = real pts of cplx var $\widehat{G(\mathbb{R})}$. Almost...

$\widehat{G(\mathbb{R})}_h$ = reps with invt form: $\widehat{G(\mathbb{R})}_u \subset \widehat{G(\mathbb{R})}_h \subset \widehat{G(\mathbb{R})}$.

Approximately (Knapp): $\widehat{G(\mathbb{R})}$ = cplx alg var, real pts $\widehat{G(\mathbb{R})}_h$; subset $\widehat{G(\mathbb{R})}_u$ cut out by real algebraic ineqs.

These lectures: algorithm computing inequalities.

Example: $SL(2, \mathbb{R})$ spherical reps

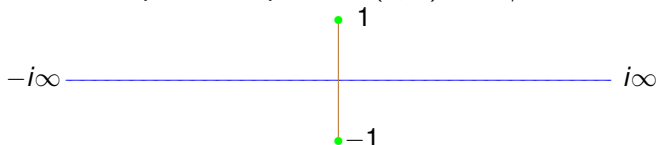
$G(\mathbb{R}) = SL(2, \mathbb{R})$ acts on upper half plane \mathbb{H} .

\rightsquigarrow reprn $E(\nu) = \{f \in C^\infty(\mathbb{H}) \mid \Delta_{\mathbb{H}} f = (\nu^2 - 1)f\}$.

$\nu \in \mathbb{C}$ parametrizes line bndle on circle where bdry values live.

Most $E(\nu)$ irr; always **unique irr subrep** $J(\nu) \subset E(\nu)$.

Spherical reps for $SL(2, \mathbb{R}) \iff \mathbb{C}/\pm 1$



Spectrum of $\Delta_{\mathbb{H}}$ on $L^2(\mathbb{H})$ is $(-\infty, -1]$. Gives unitary reps **unitary principal series** $\iff \{E(\nu) \mid \nu \in i\mathbb{R}\}$.

Trivial representations \iff [const fns on \mathbb{H}] = $J(\pm 1)$.

$J(\nu)$ is Herm. $\iff J(\nu) \simeq J(-\bar{\nu}) \iff \nu \in i\mathbb{R} \cup \mathbb{R}$.

By continuity, signature stays positive from 0 to ± 1 .

complementary series reps $\iff \{E(t) \mid t \in (-1, 1)\}$.

Digression about technical difficulties

The space $E(\nu) = \{f \in C^\infty(\mathbb{H}) \mid \Delta_{\mathbb{H}} f = (\nu^2 - 1)f\}$ is **never** a unitary representation, even for ν purely imaginary.

Reason: if $B =$ upper triangular matrices, bdy of \mathbb{H} is

$$\mathbb{R} \cup \{\infty\} = \mathbb{R}P^1 \simeq SL(2, \mathbb{R})/B,$$

Complex number ν defines character

$$\xi_\nu: B \rightarrow \mathbb{C}^\times, \quad \xi_\nu \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} = |a|^{\nu+1}$$

\rightsquigarrow eqvt line bdl $\mathcal{L}(\nu) \rightarrow \mathbb{R}P^1$.

\rightsquigarrow rep of $SL(2, \mathbb{R})$ on **secs** $I(\nu)$ of $\mathcal{L}(\nu)$. **Which sections?**

$$I^\omega(\nu) \subset I^\infty(\nu) \subset I^{(2)}(\nu) \subset I^{-\infty}(\nu) \subset I^{-\omega}(\nu).$$

analytic smooth square-integrable distribution hyperfunction

Helgason theorem: if $\operatorname{Re}(\nu) \leq 0$, then $E(\nu) \stackrel{\text{bdry val}}{\simeq} I^{-\omega}(\nu)$.

Hilbert space structure only on subspace $I^{(2)}(\nu)$.

Harish-Chandra soln: study vecs finite under cpt subgrp.

Digression about new branch of math

Often study **solutions** $Df = 0$ of diff op D .

Ex. $D = \Delta_{\mathbb{H}} - (\nu^2 - 1)$,

$E(\nu) =_{\text{def}} \{f \text{ generalized function on } \mathbb{H} \mid Df = 0\}$.

Could instead study **cosolutions**

$E^*(\nu) =_{\text{def}} \{\text{test densities on } \mathbb{H}\} / \{D\delta\}$.

Spaces $E(\nu)$ and $E^*(\nu)$ are **topologically dual** by $\int_{\mathbb{H}}$.

$E(\nu)$ **big and fat** \rightsquigarrow diff eqns have lots of solns; but

$E^*(\nu)$ **small and thin** \rightsquigarrow elts cptly supp, integrals converge.

Boundary value map $E^*(\nu) \rightsquigarrow$ analytic secs $I^\omega(-\nu)$.

Contrast **solutions** \leftrightarrow **cosolutions** very dramatic for
Cauchy-Riemann eqns.

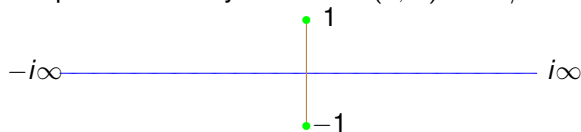
Solns are holomorphic fns, widely known; **cosolns**
don't seem to appear much.

For Hermitian forms, prefer spaces like $E^*(\nu)$:
Schmid's *minimal globalization*.

The moral[s] of the picture. . .

... and a preview of more general groups.

Spherical unitary dual for $SL(2, \mathbb{R}) \leftrightarrow \mathbb{C}/\pm 1$



$SL(2, \mathbb{R})$

$G(\mathbb{R})$

$E(\nu), \nu \in \mathbb{C}$

$I(\nu), \nu \in \mathfrak{a}_{\mathbb{C}}^*$

$E(\nu), \nu \in i\mathbb{R}$

$I(\nu), \nu \in i\mathfrak{a}_{\mathbb{R}}^*$

$J(\nu) \hookrightarrow E(\nu)$

$I(\nu) \twoheadrightarrow J(\nu)$

$[-1, 1]$

polytope in $\mathfrak{a}_{\mathbb{R}}^*$

Will deform Herm forms

unitary axis $i\mathfrak{a}_{\mathbb{R}}^* \rightsquigarrow$

real axis $\mathfrak{a}_{\mathbb{R}}^*$.

Deformed form pos \rightsquigarrow
unitary rep.

Reps appear in families, param by ν in cplx vec space \mathfrak{a}^* .

Pure imag params $\leftrightarrow L^2$ harm analysis \leftrightarrow unitary.

Each rep in family has distinguished irr piece $J(\nu)$.

Difficult unitary reps \leftrightarrow deformation in real param

Reducibility of $E(\nu)$

Earlier used reps $E(\nu) = (\nu^2 - 1)$ -eigenspace of $\Delta_{\mathbb{H}}$,
Laplacian eigenspace on upper half plane

$$\mathbb{H} \simeq SL(2, \mathbb{R})/SO(2).$$

These are all reps (π, V) of $SL(2, \mathbb{R})$ having
 $SO(2)$ -fixed $\lambda \in V^*$:

$$V \rightarrow C^\infty(\mathbb{H}), \quad v \mapsto f_v(gK) = \lambda(\pi(g^{-1}v)).$$

For special ν , $E(\nu)$ is reducible.

$$\{\text{const fns}\} = \mathbb{C} \subset \{\text{harm fns on } \mathbb{H}\} = E(\pm 1).$$

$\nu = \pm(2m + 1)$ odd integer; $J(2m + 1) = 2m + 1$ -diml
irr rep of $SL(2, \mathbb{R})$ has $SO(2)$ wts

$$2m, 2m - 2, \dots, -2m$$

including zero.

Get $SO(2)$ -fixed $\lambda \in J(2m + 1)^*$, so inclusion

$$J(2m + 1) \hookrightarrow E(2m + 1).$$

Turns out all other $E(\nu)$ are **irreducible**.

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Signatures for $SL(2, \mathbb{R})$

Recall $E(\nu) = (\nu^2 - 1)$ -eigenspace of $\Delta_{\mathbb{H}}$.

Need “signature” of Herm form on this inf-diml space.

Harish-Chandra (or Fourier) idea:
use $K = SO(2)$ break into fin-diml subspaces

$$E(\nu)_{2m} = \{f \in E(\nu) \mid \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \cdot f = e^{2im\theta} f\}.$$

$$E(\nu) \supset \sum_m E(\nu)_{2m}, \quad (\text{dense subspace})$$

Decomp is **orthogonal** for any invariant Herm form.

Signature is + or - for each m . For $3 < |\nu| < 5$

$$\begin{array}{cccccccc} \dots & -6 & -4 & -2 & 0 & +2 & +4 & +6 & \dots \\ \dots & + & + & - & + & - & + & + & \dots \end{array}$$

Deforming signatures for $SL(2, \mathbb{R})$

Here's how signatures of the reps $E(\nu)$ change with ν .

$\nu = 0$, $E(0)$ "C" $L^2(\mathbb{H})$: unitary, signature positive.

$0 < \nu < 1$, $E(\nu)$ irr: signature remains positive.

$\nu = 1$, form finite pos on $J(1) \subset E(1) \iff SO(2)$ rep 0.

$\nu = 1$, form has pole, pos residue on $E(1)/J(1)$.

$1 < \nu < 3$, across pole at $\nu = 1$, signature changes.

$\nu = 3$, Herm form finite $- + -$ on $J(3)$.

$\nu = 3$, Herm form has pole, neg residue on $E(3)/J(3)$.

$3 < \nu < 5$, across pole at $\nu = 3$, signature changes. ETC.

Conclude: $J(\nu)$ unitary, $\nu \in [0, 1]$; nonunitary, $\nu \in [1, \infty)$.

...	-6	-4	-2	0	+2	+4	+6	...	$SO(2)$ reps
...	+	+	+	+	+	+	+	...	$\nu = 0$
...	+	+	+	+	+	+	+	...	$0 < \nu < 1$
...	+	+	+	+	+	+	+	...	$\nu = 1$
...	-	-	-	+	-	-	-	...	$1 < \nu < 3$
...	-	-	-	+	-	-	-	...	$\nu = 3$
...	+	+	-	+	-	+	+	...	$3 < \nu < 5$

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From $SL(2, \mathbb{R})$ to reductive G

Calculated signatures of invt Herm forms on spherical reps of $SL(2, \mathbb{R})$.

Seek to do “same” for real reductive group. Need. . .

List of irr reps = ctble union (cplx vec space)/(fin grp).

reps for purely imag points “ \subset ” $L^2(G)$: unitary!

Natural (orth) decomp of any irr (Herm) rep into fin-diml subspaces \rightsquigarrow define signature subspace-by-subspace.

Compute signature at $\nu + i\tau$ by analytic continuation in t : $t\nu + i\tau$, $0 \leq t \leq 1$.

Precisely: start with pos def signature at $t = 0$; add contributions of sign changes from zeros/poles of odd order in $0 \leq t \leq 1 \rightsquigarrow$ signature at $t = 1$.

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Our story so far. . .

Yesterday: **what's the unitary dual of a Lie group?**

Gave part of answer for $SL(2, \mathbb{R})$: **union of rational polyhedra in \mathbb{C} -vector spaces defined over \mathbb{Q} .**

Looked at how to **find** this $SL(2, \mathbb{R})$ answer:

start with Harish-Chandra's "tempered" unitary reps
deform parameter, keep track of sign changes where
Herm form becomes singular.

Answer for general reductive G has same shape, but
with more complicated polyhedra.

Today: introduce technology (**Langlands classification, Kazhdan-Lusztig theory of irreducible characters**) needed to calculate in general reductive groups.

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Categories of representations

G cplx reductive alg $\supset G(\mathbb{R})$ real form $\supset K(\mathbb{R})$ max cpt.

Rep theory of $G(\mathbb{R})$ modeled on **Verma modules**...

$H \subset B \subset G$ maximal torus in Borel subgp,

$\mathfrak{h}^* \leftrightarrow$ highest weight reps

$V(\lambda)$ Verma of hwt $\lambda \in \mathfrak{h}^*$, $L(\lambda)$ irr quot

Put cplxification of $K(\mathbb{R}) = K \subset G$, reductive algebraic.

(\mathfrak{g}, K) -mod: cplx rep V of \mathfrak{g} , compatible alg rep of K .

Harish-Chandra: irr (\mathfrak{g}, K) -mod \iff "arb rep of $G(\mathbb{R})$."

X parameter set for irr (\mathfrak{g}, K) -mods

$I(x)$ std (\mathfrak{g}, K) -mod $\leftrightarrow x \in X$ $J(x)$ irr quot

Set X described by **Langlands, Knapp-Zuckerman**:
countable union (subspace of \mathfrak{h}^*)/(subgroup of W).

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Character formulas

Can decompose Verma module into irreducibles

$$V(\lambda) = \sum_{\mu \leq \lambda} m_{\mu, \lambda} L(\mu) \quad (m_{\mu, \lambda} \in \mathbb{N})$$

or write a formal character for an irreducible

$$L(\lambda) = \sum_{\mu \leq \lambda} M_{\mu, \lambda} V(\mu) \quad (M_{\mu, \lambda} \in \mathbb{Z})$$

Can decompose standard HC module into irreducibles

$$I(x) = \sum_{y \leq x} m_{y, x} J(y) \quad (m_{y, x} \in \mathbb{N})$$

or write a formal character for an irreducible

$$J(x) = \sum_{y \leq x} M_{y, x} I(y) \quad (M_{y, x} \in \mathbb{Z})$$

Matrices m and M upper triang, ones on diag, mutual inverses. **Entries are KL polynomials eval at 1:**

$$m_{y, x} = Q_{y, x}(1), \quad M_{y, x} = P_{y, x}(1) \quad (Q_{y, x}, P_{y, x} \in \mathbb{N}[q]).$$

What are we computing?

Def of (\mathfrak{g}, K) -module $V \rightsquigarrow$

$$V|_K = \sum_{\mu \in \widehat{K}} m_V(\mu) \mu \quad (m_V(\mu) \in \mathbb{N} \cup \{\infty\})$$

Harish-Chandra thm: V irr or std $\Rightarrow m_V(\mu) < \infty$.

$$m_V: \widehat{K} \rightarrow \mathbb{N} \quad \text{multiplicity function of } V.$$

\exists algorithm (Hecht-Schmid pf of Blattner conj, etc.)
computing function m_V , any V irr. or std.

Take functions m_I , I std, as known.

Non-deg K -invt Hermitian form $\langle, \rangle_V \rightsquigarrow$

$$(p_V, q_V): \widehat{K} \rightarrow \mathbb{N} \times \mathbb{N} \quad \text{signature function of } \langle, \rangle_V.$$

Will compute sig fns $p_V, q_V \iff$ each irr Herm V .

“Compute” \iff “write as fin int comb of mult fns m_I ”

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Character formulas for $SL(2, \mathbb{R})$

Recall (eigenspace of $\Delta_{\mathbb{H}} = E(\nu) \leftrightarrow J(\nu)$). Prefer

$$\text{dual of } E(\nu) = I_{\text{ev}}(\nu) \rightarrow J_{\text{ev}}(\nu).$$

Need **discrete series** $I_{\text{hol/antihol}}(n)$ ($n = 1, 2, \dots$) char by

$$I_+(n)|_{SO(2)} = n + 1, n + 3, n + 5 \dots$$

$$I_-(n)|_{SO(2)} = -n - 1, -n - 3, -n - 5 \dots$$

Discrete series reps are irr: $I_{\text{hol/antihol}}(n) = J_{\text{hol/antihol}}(n)$

Decompose principal series

$$I_{\text{ev}}(2m + 1) = J_{\text{ev}}(2m + 1) + J_{\text{hol}}(2m + 1) + J_{\text{antihol}}(2m + 1).$$

Character formula

$$J_{\text{ev}}(2m + 1) = I_{\text{ev}}(2m + 1) - I_{\text{hol}}(2m + 1) - I_{\text{antihol}}(2m + 1).$$

Kazhdan-Lusztig matrix

$P_{x,y}$	$I_{\text{ev}}(2m + 1)$	$I_{\text{hol}}(2m + 1)$	$I_{\text{antihol}}(2m + 1)$
$I_{\text{ev}}(2m + 1)$	1	-1	-1
$I_{\text{hol}}(2m + 1)$	0	1	0
$I_{\text{antihol}}(2m + 1)$	0	0	1

Forms and dual spaces

V cplx vec space (or alg rep of K , or (\mathfrak{g}, K) -module...)

Hermitian dual of V

$$V^h = \{\xi : V \rightarrow \mathbb{C} \text{ additive} \mid \xi(zv) = \bar{z}\xi(v)\}$$

(If V is K -rep, also require ξ is K -finite.)

Sesquilinear pairings between V and W

$$\text{Sesq}(V, W) = \{\langle \cdot, \cdot \rangle : V \times W \rightarrow \mathbb{C}, \text{ linear in } V, \text{ conj-lin in } W\}$$

$$\text{Sesq}(V, W) \simeq \text{Hom}(V, W^h), \quad \langle v, w \rangle_T = (Tv)(w).$$

Cplx conj of forms defines (conjugate linear) isomorphism

$$\text{Sesq}(V, W) \simeq \text{Sesq}(W, V).$$

Corresponding (conj linear) isom is **Hermitian transpose**

$$\text{Hom}(V, W^h) \simeq \text{Hom}(W, V^h), \quad (T^h w)(v) = (Tv)(w).$$

Sesq form $\langle \cdot, \cdot \rangle_T$ on one space V is **Hermitian** if

$$\langle v, v' \rangle_T = \overline{\langle v', v \rangle_T} \Leftrightarrow T^h = T.$$

Defining Herm dual repn(s)

Suppose V is a (\mathfrak{g}, K) -module. Write π for repn map.

Recall **Hermitian dual of V**

$$V^h = \{\xi : V \rightarrow \mathbb{C} \text{ additive} \mid \xi(zv) = \bar{z}\xi(v)\}$$

Want to construct functor

$$\text{cplx linear rep } (\pi, V) \rightsquigarrow \text{cplx linear rep } (\pi^h, V^h)$$

using Hermitian transpose map of operators.

REQUIRES twist by conjugate linear automorphism of \mathfrak{g} .

Assume $\sigma : \mathfrak{g} \rightarrow \mathfrak{g}$ antiholom aut, $\sigma(K) = K$.

Define (\mathfrak{g}, K) -module $\pi^{h,\sigma}$ on V^h ,

$$\pi^{h,\sigma}(X) \cdot \xi = [\pi(-\sigma(X))]^h \cdot \xi \quad (X \in \mathfrak{g}, \xi \in V^h).$$

$$\pi^{h,\sigma}(k) \cdot \xi = [\pi(\sigma(k)^{-1})]^h \cdot \xi \quad (k \in K, \xi \in V^h).$$

Classically $\sigma_0 \leftrightarrow \mathbf{G}(\mathbb{R})$. We use also $\sigma_c \leftrightarrow$ **compact form of G**

Different $\sigma \rightsquigarrow$ different Hermitian dual rep $\pi^{h,\sigma}$.

Big idea: choose σ to make calculations easy.

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Invariant Hermitian forms

$V = (\mathfrak{g}, K)$ -module, σ antihol aut of G preserving K .

A σ -inv sesq form on V is sesq pairing $\langle \cdot, \cdot \rangle$ such that

$$\langle X \cdot v, w \rangle = \langle v, -\sigma(X) \cdot w \rangle, \quad \langle k \cdot v, w \rangle = \langle v, \sigma(k^{-1}) \cdot w \rangle \\ (X \in \mathfrak{g}; k \in K; v, w \in V).$$

Proposition

σ -inv sesq form on $V \iff (\mathfrak{g}, K)$ -map $T: V \rightarrow V^{h,\sigma}: \\ \langle v, w \rangle_T = (Tv)(w).$

Form is Hermitian iff $T^h = T$.

Assume V is irreducible.

$V \simeq V^{h,\sigma} \iff \exists$ inv sesq form $\iff \exists$ inv Herm form

A σ -inv Herm form on V is unique up to real scalar.

$T \rightarrow T^h \iff$ real form of cplx line $\text{Hom}_{\mathfrak{g},K}(V, V^{h,\sigma})$.

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Invariant forms on standard reps

Recall multiplicity formula

$$l(x) = \sum_{y \leq x} m_{y,x} J(y) \quad (m_{y,x} \in \mathbb{N})$$

for standard (\mathfrak{g}, K) -mod $l(x)$.

Want parallel formulas for σ -invnt Hermitian forms.

Need forms on standard modules.

Form on irr $J(x) \xrightarrow{\text{deformation}} \text{Jantzen filt } I^k(x)$ on std,
nondeg forms \langle, \rangle^k on I^k / I^{k+1} .

Details (proved by Beilinson-Bernstein):

$$I(x) = I^0 \supset I^1 \supset I^2 \supset \dots, \quad I^0 / I^1 = J(x) \\ I^k / I^{k+1} \text{ completely reducible}$$

$$[J(y): I^k / I^{k+1}] = \text{coeff of } q^{(\ell(x) - \ell(y) - k)/2} \text{ in KL poly } Q_{y,x}$$

Hence $\langle, \rangle_{l(x)} \stackrel{\text{def}}{=} \sum_k \langle, \rangle^k$, nondeg form on gr $l(x)$.

Restricts to original form on irr $J(x)$.

What's a Jantzen filtration?

V cplx, \langle, \rangle_t \mathbb{R} -analytic fam of Herm forms, **generically nondeg.**

$$V = V^0(t) \supset V^1(t) = \text{Rad}(\langle, \rangle_t), \quad J(t) = V^0(t)/V^1(t)$$

$$(p^0(t), q^0(t)) = \text{signature of } \langle, \rangle_t \text{ on } J(t).$$

Question: **how does $(p^0(t), q^0(t))$ change with t ?**

First answer: **locally constant on open set $V^1(t) = 0$.**

Refine answer... **define form on $V^1(t)$**

$$\langle v, w \rangle^1(t) = \lim_{s \rightarrow t} \frac{1}{s-t} \langle v, w \rangle_s, \quad V_2(t) = \text{Rad}(\langle, \rangle^1(t))$$

$$(p^1(t), q^1(t)) = \text{signature of } \langle, \rangle^1(t).$$

Continuing gives **Jantzen filtration**

$$V = V^0(t) \supset V^1(t) \supset V^2(t) \cdots \supset V^{m+1}(t) = 0$$

From $t - \epsilon$ to $t + \epsilon$, signature changes on odd levels:

$$p(t + \epsilon) = p(t - \epsilon) + \sum [p^{2k+1}(t) + q^{2k+1}(t)].$$

Example of Jantzen filtrations

Example: $V = \mathbb{C}$; non-triv family of Herm forms \longleftrightarrow
non-zero real-analytic $f(t) = \langle 1, 1 \rangle_t$.

$$V^1(t) = \begin{cases} \{0\}, & f(t) \neq 0 \\ \mathbb{C}, & f(t) = 0. \end{cases}$$

Form $\langle \cdot, \cdot \rangle^1(t) = 0$ (on zero vec space) if $f(t) \neq 0$.

$$\langle 1, 1 \rangle^1(t) = f'(t) \quad \text{if } f(t) = 0.$$

General formula is

$$V^k(t) = \begin{cases} \{0\}, & f^{(m)}(t) \neq 0, \text{ some } m < k \\ \mathbb{C} & 0 = f(t) = f'(t) = \dots = f^{(k-1)}(t). \end{cases}$$

$V^k(t)/V^{k+1}(t) \neq 0 \Leftrightarrow f^{(k)}(t)$ first nonzero deriv of f .

Then signature of $\langle \cdot, \cdot \rangle^k(t) \longleftrightarrow \text{sgn } f^{(k)}(t)$.

Formula $p(t + \epsilon) = p(t - \epsilon) + \sum [p^{2k+1}(t) - q^{2k+1}(t)]$ says

analytic functions change sign at zeros of odd order.

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Where we are

Have classification of irr reps.

Parameter $x \in X \rightsquigarrow$ std rep $I(x) \rightsquigarrow$ irr quotient $J(x)$

Character formula $J(x) = \sum_{y \leq x} M_{y,x} I(y)$

Integers $M_{y,x}$ are computable (Kazhdan-Lusztig).

Choice of complex conjugation $\sigma \rightsquigarrow$ Hermitian dual operation $J \mapsto J^{h,\sigma}$ on irr reps and (therefore) $x \mapsto \sigma(x)$ on parameter $x \in X$.

Action of σ on X is “real structure” whose fixed pts are the Herm reps.

If $J(x)$ has invt Herm form, **Jantzen filtration of $I(x)$**
 \rightsquigarrow invt Herm form on $\text{gr } I(x)$

Tomorrow: introduce **Herm KL polys** relating signatures on irrs and stds.

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Where we were

Classification of irr reps (of $G(\mathbb{R})$ real reductive).

Param $x \in X \rightsquigarrow$ std rep $I(x) \rightsquigarrow$ irr quotient $J(x)$

Character formula $J(x) = \sum_{y \leq x} M_{y,x} I(y)$.

Integers $M_{y,x}$ are computable (Kazhdan-Lusztig).

Choice of complex conjugation $\sigma \rightsquigarrow$ Hermitian dual
operation $J \mapsto J^{h,\sigma}$ on irr reps **and** (therefore)

$x \mapsto \sigma(x)$ on parameter $x \in X$.

If $J(x)$ has invt Herm form, Jantzen filtration of $I(x)$
 \rightsquigarrow invt Herm form on $\text{gr } I(x)$

Today: introduce Herm KL polys relating signatures
on irrs and stds.

Virtual Hermitian forms

\mathbb{Z} = Groth group of vec spaces.

Integers are mults of irr reps in virtual reps. Hence

Groth grp of fin lgth reps $\simeq \mathbb{Z}[X]$,

$$V \mapsto \sum_{x \in X} m_V(J(x)) \cdot x;$$

coeffs are mults of irrs as composition factors.

For invariant forms...

$\mathbb{W} = \mathbb{Z} \oplus s\mathbb{Z} =$ Groth grp of fin diml forms.

$s \rightsquigarrow$ one-diml space with negative Herm form.

Ring structure (tensoring forms) is $\mathbb{Z}[s]/(s^2 - 1)$:

$$(p, q)(p', q') = (pp' + qq', pq' + q'p).$$

Mult of irr-with-forms in virtual-with-forms is in \mathbb{W} :

$\mathbb{W}[X] \approx$ Groth grp of fin lgth reps with invt forms.

Two problems: invt form \langle, \rangle_J may not exist for irr J ;
and \langle, \rangle_J may not be preferable to $-\langle, \rangle_J$.

Hermitian KL polynomials: multiplicities

Fix σ -invt Hermitian form $\langle, \rangle_{J(x)}$ on each irr having one; recall Jantzen form \langle, \rangle^n on $I(x)^n/I(x)^{n+1}$.

MODULO problem of irrs with no invt form, write

$$(I(x)^n/I(x)^{n+1}, \langle, \rangle^n) = \sum_{y \leq x} w_{y,x}(n) (J(y), \langle, \rangle_{J(y)}),$$

coeffs $w(n) = (p(n), q(n)) \in \mathbb{W}$; summand means

$$p(n)(J(y), \langle, \rangle_{J(y)}) \oplus q(n)(J(y), -\langle, \rangle_{J(y)})$$

Define **Hermitian KL polynomials**

$$Q_{y,x}^\sigma = \sum_n w_{y,x}(n) q^{(I(x)-I(y)-n)/2} \in \mathbb{W}[q]$$

Eval in \mathbb{W} at $q = 1 \iff$ form $\langle, \rangle_{I(x)}$ on $\text{gr}(\text{std})$.

Reduction to $\mathbb{Z}[q]$ by $\mathbb{W} \rightarrow \mathbb{Z} \iff$ KL poly $Q_{y,x}$.

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Hermitian KL polynomials: characters

Calculating
signatures

Adams *et al.*

Matrix $Q_{y,x}^\sigma$ is upper tri, 1s on diag: **INVERTIBLE**.

$$P_{x,y}^\sigma \stackrel{\text{def}}{=} (-1)^{l(x)-l(y)} ((x,y) \text{ entry of inverse}) \in \mathbb{W}[q].$$

Definition of $Q_{x,y}^\sigma$ says

$$(\text{gr } l(x), \langle, \rangle_{l(x)}) = \sum_{y \leq x} Q_{x,y}^\sigma(1) (J(y), \langle, \rangle_{J(y)});$$

inverting this gives

$$(J(x), \langle, \rangle_{J(x)}) = \sum_{y \leq x} (-1)^{l(x)-l(y)} P_{x,y}^\sigma(1) (\text{gr } l(y), \langle, \rangle_{l(y)})$$

Next question: how do you compute $P_{x,y}^\sigma$? Stay tuned...

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Encouraging example: $SL(2, \mathbb{R})$

$$G(\mathbb{R}) = SL(2, \mathbb{R}), K = SO(2)$$

Easy case: sph princ series $I_{ev}(1) \rightarrow J_{ev}(1) = \text{triv rep}$,
 $I_{hol/antihol}(1)$ first discrete series reps.

Put pos def σ_0 -invt form on each irr $J_{ev}(1)$, $J_{hol/antihol}(1)$.

Jantzen filtration of $I_{ev}(1)$ is

$$\underbrace{I_{ev}(1)}_{I_{ev}^0(1)} \supset \underbrace{J_{hol}(1) \oplus J_{antihol}(1)}_{I_{ev}^1(1)} \supset \underbrace{0}_{I_{ev}^2(1)}, \quad I^0/I^1 = J_{ev}(1).$$

Previous calculation of signature

$$\begin{array}{cccccccccccc} \dots & -6 & -4 & -2 & 0 & +2 & +4 & +6 & \dots & SO(2) \text{ reps} \\ \dots & + & + & + & + & + & + & + & \dots & \nu = 1 \end{array}$$

shows Jantzen form on $I_{ev}^1(1)$ (lim from *above*) **negative**.

So KL polys $Q_{hol(1)/antihol(1), ev(1)}^{\sigma_0} = \mathbf{s}$. Not too bad...

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Less encouraging $SL(2, \mathbb{R})$ example

$$G(\mathbb{R}) = SL(2, \mathbb{R}), K = SO(2)$$

Sph princ series $I_{ev}(3) \rightarrow J_{ev}(3) = 3\text{-diml}$, $I_{hol/antihol}(3)$
discrete series reps.

Put pos def form on $J_{hol/antihol}(1)$; form on $J_{ev}(3)$ pos on $SO(2)$ -inv.

Jantzen filtration of $I_{ev}(3)$ is

$$\underbrace{I_{ev}(3)}_{I_{ev}^0(3)} \supset \underbrace{J_{hol}(3) \oplus J_{antihol}(3)}_{I_{ev}^1(3)} \supset \underbrace{0}_{I_{ev}^2(3)}, \quad I^0/I^1 = J_{ev}(3).$$

Previous calculation of signature

$$\begin{array}{cccccccccccc} \dots & -6 & -4 & -2 & 0 & +2 & +4 & +6 & \dots & SO(2) \text{ reps} \\ \dots & - & - & - & + & - & - & - & \dots & \nu = 3 \end{array}$$

shows that Jantzen form on $I_{ev}^1(3)$ is positive

So KL polys $Q_{hol(3)/antihol(3), ev(3)}^{\sigma_0} = 1$. Starts to sounds complicated...

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Discouraging $SL(2, \mathbb{R})$ example

$$G(\mathbb{R}) = SL(2, \mathbb{R}), K = SO(2)$$

Nonsph princ series $I_{\text{odd}}(2) \rightarrow J_{\text{odd}}(2) = 2\text{-diml}$,
 $I_{\text{hol/antihol}}(2)$ discrete series reps.

Put pos def form on $J_{\text{hol/antihol}}(2)$; form on $J_{\text{ev}}(3)$ pos on $+1$
 $SO(2)$ -type, neg on -1 $SO(2)$ -type.

Jantzen filtration of $I_{\text{odd}}(2)$ is

$$\underbrace{I_{\text{ev}}(2)}_{I_{\text{ev}}^0(2)} \supset \underbrace{J_{\text{hol}}(2) \oplus J_{\text{antihol}}(2)}_{I_{\text{ev}}^1(2)} \supset \underbrace{0}_{I_{\text{ev}}^2(2)}, \quad I^0/I^1 = J_{\text{ev}}(2).$$

Calculation of signature gives

$$\begin{array}{cccccccc} \dots & -5 & -3 & -1 & +1 & +3 & +5 & \dots & SO(2) \text{ reps} \\ \dots & - & - & - & + & + & + & \dots & \nu = 2 \end{array}$$

Jantzen form on $I_{\text{ev}}^1(2)$ is neg on antihol, pos on hol

So KL polys $Q_{\text{antihol}(2), \text{odd}(2)}^{\sigma_0} = 1$, $Q_{\text{hol}(2), \text{odd}(2)}^{\sigma_0} = s$.

Sounds impossible. . .

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σ_c -invariant forms for $SL(2, \mathbb{R})$

$\sigma_c =$ antihol aut of $G \iff$ compact real form.

For $SL(2)$, $\sigma_c(g) = {}^t\bar{g}^{-1}$; fixed points $SU(2)$.

Finite-diml reps have **pos def** σ_c -invt forms.

σ_c -invt forms on disc ser $I_{\text{hol/antihol}}(m)$ **alternate** in sign
choose **pos** on $\pm(m+1)$, then **neg** on $\pm(m+3)$, etc.

σ_c -invt forms on sph princ series $I_{\text{ev}}(\nu)$:

...	-6	-4	-2	0	+2	+4	+6	...	$SO(2)$ reps
...	-	+	-	+	-	+	-	...	$\nu = 0$
...	-	+	-	+	-	+	-	...	$0 < \nu < 1$
...	-	+	-	+	-	+	-	...	$\nu = 1$
...	+	-	+	+	+	-	+	...	$1 < \nu < 3$
...	+	-	+	+	+	-	+	...	$\nu = 3$
...	-	+	+	+	+	+	-	...	$3 < \nu < 5$
...	-	+	+	+	+	+	-	...	$\nu = 5$

Jantzen form **always** positive on LKT of I^1 .

Interesting Herm KL polys $Q_{xy}^{\sigma_c}$ **always** = 1 (for this $SL(2, \mathbb{R})$ example).

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Herm KL polys for σ_c

$\sigma_c = \text{cplx conj}$ for cpt form of G , $\sigma_c(K) = K$.

Plan: study σ_c -invt forms, relate to σ_0 -invt forms.

Proposition

Suppose $J(x)$ irr (\mathfrak{g}, K) -module, real infl char. Then $J(x)$ has σ_c -invt Herm form $\langle, \rangle_{J(x)}^c$, characterized by

$\langle, \rangle_{J(x)}^c$ is pos def on the lowest K -types of $J(x)$.

Proposition \implies Herm KL polys $Q_{x,y}^{\sigma_c}$, $P_{x,y}^{\sigma_c}$ well-def.

Coeffs in $\mathbb{W} = \mathbb{Z} \oplus s\mathbb{Z}$; $s = (0, 1) \iff$ one-diml neg def form.

Fact: $Q_{x,y}^{\sigma_c}(q) = s^{\frac{\ell_o(x) - \ell_o(y)}{2}} Q_{x,y}(qs)$, $P_{x,y}^{\sigma_c}(q) = s^{\frac{\ell_o(x) - \ell_o(y)}{2}} P_{x,y}(qs)$.

Equiv: if $J(y)$ occurs at level k of Jantzen filt of $I(x)$, then Jantzen form is $(-1)^{(l(x) - l(y) - k)/2}$ times $\langle, \rangle_{J(y)}$.

... except for a small complicating sign from ℓ_o ...

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Orientation number

Fact without $\ell_o \iff$ KL polys \iff integral roots.

Fact without $\ell_o \Rightarrow$ Jantzen-Zuckerman translation across non-integral root walls preserves signatures of (σ_C -invariant) Hermitian forms.

It ain't necessarily so.

$SL(2, \mathbb{R})$: translating spherical principal series from (real non-integral positive) ν to (negative) $\nu - 2m$ changes sign of form iff $\nu \in (0, 1) + 2\mathbb{Z}$.

Orientation number $\ell_o(x)$ is

1. # pairs $(\alpha, -\theta(\alpha))$ cplx nonint, pos on x ; **PLUS**
2. # real β s.t. $\langle x, \beta^\vee \rangle \in (0, 1) + \epsilon(\beta, x) + 2\mathbb{N}$.

$\epsilon(\beta, x) = 0$ spherical, 1 non-spherical.

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Have computable formula (proof not yet written down)

$$(J(x), \langle, \rangle_{J(x)}^c) = \sum_{y \leq x} (-1)^{l(x)-l(y)} s^{\frac{\ell_{\sigma}(x)-\ell_{\sigma}(y)}{2}} P_{x,y}(s) (\text{gr } l(y), \langle, \rangle_{l(y)}^c)$$

for σ^c -invt forms in terms of forms on stds at same inf char.

Polys $P_{x,y}$ are KL polys, computed by `atlas` software.

Difficulty: forms on $\text{gr } l(\nu)$ change with continuous parameter ν .

$$\begin{aligned} Z &=_{\text{def}} \{z \in X \mid \text{continuous parameter } \nu(z) = 0\} \\ &= \{z \in X \mid l(z) \text{ tempered, real infl char}\} \end{aligned}$$

Z is countable, discrete; prefer to write $\langle, \rangle_{J(x)}^c$ using $\langle, \rangle_{l(x)}^c$.

Method: consider $l(t) = l(t\nu)$, $t \geq 0$. Deform $t = 1 \rightsquigarrow t = 0$.

Plan: keep track of signature changes, so rewrite each signature

$$\langle, \rangle_{l(y)}^c = \sum_{z \in Z} b_{y,z} \langle, \rangle_{l(z)}^c \quad (b_{y,z} \in \mathbb{W}).$$

Combining these two formulas will give

$$\langle, \rangle_{J(x)}^c = \sum_{z \in Z} v_{x,z} \langle, \rangle_{l(z)}^c \quad (v_{x,z} \in \mathbb{W}).$$

Coeffs all computable, and signatures on right also computable.

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Deforming to $\nu = 0$ for $SL(2, \mathbb{R})$

σ_c = antihol aut of $G \leftrightarrow$ compact real form.

For $SL(2)$, $\sigma_c(g) = t\bar{g}^{-1}$; fixed points $SU(2)$.

σ_c -invt forms on $gr I_{ev}(\nu)$ (level one in red):

...	-6	-4	-2	0	+2	+4	+6	...	$SO(2)$ reps
...	-	+	-	+	-	+	-	...	$0 \leq \nu < 1$
...	+	-	+	+	+	-	+	...	$\nu = 1$
...	+	-	+	+	+	-	+	...	$1 < \nu < 3$
...	-	+	+	+	+	+	-	...	$\nu = 3$
...	-	+	+	+	+	+	-	...	$3 < \nu < 5$

Deduce formulas σ_c -invariant signatures

$$I(\nu) = \begin{cases} I(0) & 0 \leq \nu < 1 \\ I(0) + (1-s)[I_{hol}(1) + I_{anti}(1)] & 1 \leq \nu < 3 \\ I(0) + (1-s)[I_{hol}(1) + I_{anti}(1) + I_{hol}(3) + I_{anti}(3)] & 3 \leq \nu < 5 \end{cases}$$

Same for general G : for std rep $I(\nu)$ with cont param ν , and $t > 0$, formula for **signature of σ_c -invt form**

$$gr I(t\nu) = gr I((t-\epsilon)\nu) + (1-s)(\text{odd levels of Jantzen filt of } I(t)).$$

What happened in the last three episodes

X = parameters for irr reps $\supset Z$ = parameters with continuous part zero.

Suppose irr $J(x)$ admits invt Hermitian form $\langle, \rangle_{J(x)}^\sigma$.

Std $I(x) \rightarrow J(x) \rightsquigarrow$ Jantzen filt $I(x) = I^0 \supset I^1 \supset I^2 \supset \dots$;

\rightsquigarrow nondeg form $\langle, \rangle_I^{k,\sigma}$ on I^k/I^{k+1} , $I^0/I^1 = J$, $\langle, \rangle_I^{0,\sigma} = \langle, \rangle_J^\sigma$

\rightsquigarrow nondeg form $\langle, \rangle_I^\sigma$ on $\text{gr } I$.

\rightsquigarrow Herm KL polys $Q_{x,y}^\sigma, P_{x,y}^\sigma$ (coeffs in $\mathbb{W} = \mathbb{Z} \oplus \mathfrak{s}\mathbb{Z}$)

In terms of these polys, can

1. write (signature of) $\langle, \rangle_{I(x)}^\sigma$ using $\langle, \rangle_{J(y)}^\sigma$;
2. invert formula to write $\langle, \rangle_{J(x)}^\sigma$ using $\langle, \rangle_{I(y)}$;
3. $\nu \rightsquigarrow 0 \rightsquigarrow$ write $\langle, \rangle_{J(x)}^\sigma$ using $\langle, \rangle_{I(z)}$ ($z \in Z$);

For σ_c -invariant forms, computed everything explicitly.

For this choice, Herm KL polys \approx ordinary KL polys.

Last step to unitarity: relating $\langle, \rangle^{\sigma_c} \longleftrightarrow \langle, \rangle^{\sigma_0}$

From σ_c to σ_0

Cplx conjs σ_c (compact form) and σ_0 (our real form) differ by **Cartan involution** θ : $\sigma_0 = \theta \circ \sigma_c$.

Irr (\mathfrak{g}, K) -mod $J \rightsquigarrow J^\theta$ (same space, rep twisted by θ).

Proposition

J admits σ_0 -invt Herm form if and only if $J^\theta \simeq J$. If $T_0: J \xrightarrow{\sim} J^\theta$, and $T_0^2 = \text{Id}$, then

$$\langle v, w \rangle_J^0 = \langle v, T_0 w \rangle_J^c.$$

$T: J \xrightarrow{\sim} J^\theta \Rightarrow T^2 = z \in \mathbb{C} \Rightarrow T_0 = z^{-1/2} T \rightsquigarrow \sigma$ -invt Herm form.

To convert **formulas for σ_c invt forms** \rightsquigarrow **formulas for σ_0 -invt forms** need intertwining ops $T_J: J \xrightarrow{\sim} J^\theta$, consistent with decomp of std reps.

Equal rank case

$\text{rk } K = \text{rk } G \Rightarrow$ Cartan inv **inner**: $\exists \tau \in K, \text{Ad}(\tau) = \theta$.

$\theta^2 = 1 \Rightarrow \tau^2 = \zeta \in Z(G) \cap K$.

Study reps π with $\pi(\zeta) = c$. Fix square root $c^{1/2}$.

If ζ acts by c on V , and \langle, \rangle_V^c is σ_c -invt form, then

$\langle v, w \rangle_V^0 \stackrel{\text{def}}{=} \langle v, c^{-1/2} \tau \cdot w \rangle_V^c$ is σ_0 -invt form.

$$\langle, \rangle_{J(x)}^c = \sum_{z \in Z} v_{x,z} \langle, \rangle_{l(z)}^c \quad (v_{x,z} \in \mathbb{W}).$$

translates to

$$\langle, \rangle_{J(x)}^0 = \sum_{z \in Z} v_{x,z} \langle, \rangle_{l(z)}^0 \quad (v_{x,z} \in \mathbb{W}).$$

$l(z)$ has LKT $\mu(z) \Rightarrow \langle, \rangle_{l(z)}^0$ **definite**, sign $c^{-1/2} \mu(\tau)$.

$J(x)$ **unitary** \Leftrightarrow summands are definite of same sign

Lec 1: $SL(2, \mathbb{R})$

Introduction

$SL(2, \mathbb{R})$

$SL(2, \mathbb{R})$ again

Lec. 2: Chars,
Herm forms

Char formulas

Herm forms

Jantzen filtrations

Lec 3: Herm KL
polys

Herm KL polys

$SL(2, \mathbb{R})$ once more

Easy Herm KL polys

Deforming to $\nu = 0$

Lec 4: Unitarity
algorithm

Unitarity algorithm

General case

Fix “distinguished involution” δ_0 of G inner to θ

Define extended group $G^\Gamma = G \rtimes \{1, \delta_0\}$.

Can arrange $\theta = \text{Ad}(\tau\delta_0)$, some $\tau \in K$.

Define $K^\Gamma = \text{Cent}_{G^\Gamma}(\tau\delta_0) = K \rtimes \{1, \delta_0\}$.

Study (\mathfrak{g}, K^Γ) -mods \longleftrightarrow (\mathfrak{g}, K) -mods V with
 $D_0: V \xrightarrow{\sim} V^{\delta_0}$, $D_0^2 = \text{Id}$.

Beilinson-Bernstein localization: (\mathfrak{g}, K^Γ) -mods \longleftrightarrow action of δ_0 on
 K -eqvt perverse sheaves on G/B .

Should be computable by mild extension of Kazhdan-Lusztig
ideas. **Not done yet!**

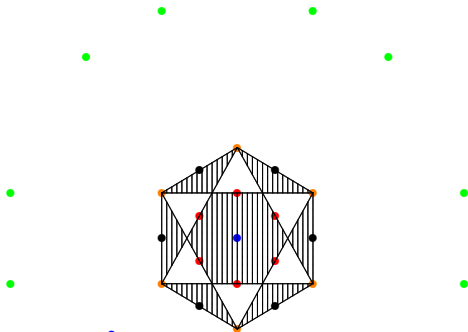
Now translate σ_c -invt forms to σ_0 invt forms

$$\langle v, w \rangle_V^0 \stackrel{\text{def}}{=} \langle v, c^{-1/2} \tau \delta_0 \cdot w \rangle_V^c$$

on (\mathfrak{g}, K^Γ) -mods as in equal rank case.

Example of $G_2(\mathbb{R})$

Real parameters for spherical unitary reps of $G_2(\mathbb{R})$



- Unitary rep from $L^2(G)$
- Arthur rep from 6-dim nilp
- Arthur rep from 8-dim nilp
- Arthur rep from 10-dim nilp
- Trivial rep

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Lec 4: Unitarity algorithm

Unitarity algorithm

Possible unitarity algorithm

Hope to get from these ideas a computer program; enter

- ▶ real reductive Lie group $G(\mathbb{R})$
- ▶ general representation π

and **ask whether π is unitary.**

Program would say either

- ▶ π has no invariant Hermitian form, or
- ▶ π has invt Herm form, indef on reps μ_1, μ_2 of K , or
- ▶ π is unitary, or
- ▶ **I'm sorry Dave, I'm afraid I can't do that.**

Answers to finitely many such questions \rightsquigarrow
complete description of unitary dual of $G(\mathbb{R})$.

This would be a good thing.