Signatures of Hermitian forms and unitary representations

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Outline

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Introduction

 $G(\mathbb{R})$ = real points of complex connected reductive alg GProblem: find $\widehat{G(\mathbb{R})}_{u}$ = irr unitary reps of $G(\mathbb{R})$. Harish-Chandra: $\widehat{G(\mathbb{R})}_{u} \subset \widehat{G(\mathbb{R})}$ = quasisimple irr reps.

Unitary reps = quasisimple reps with pos def invt form. Example: $G(\mathbb{R})$ compact $\Rightarrow \widehat{G(\mathbb{R})}_u = \widehat{G(\mathbb{R})} =$ discrete set.

Example:
$$G(\mathbb{R}) = \mathbb{R};$$

 $\widehat{G(\mathbb{R})} = \{\chi_z(t) = e^{zt} \ (z \in \mathbb{C})\} \simeq \mathbb{C}$
 $\widehat{G(\mathbb{R})}_u = \{\chi_{i\xi} \ (\xi \in \mathbb{R})\} \simeq i\mathbb{R}$

Suggests: $\widehat{G}(\mathbb{R})_u$ = real pts of cplx var $\widehat{G}(\mathbb{R})$. Almost...

 $\widehat{G(\mathbb{R})}_h$ = reps with invt form: $\widehat{G(\mathbb{R})}_u \subset \widehat{G(\mathbb{R})}_h \subset \widehat{G(\mathbb{R})}$. Approximately (Knapp): $\widehat{G(\mathbb{R})}$ = cplx alg var, real pts $\widehat{G(\mathbb{R})}_h$; subset $\widehat{G(\mathbb{R})}_u$ cut out by real algebraic ineqs.

Today: algorithm making inequalities computable.

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Example: $SL(2, \mathbb{R})$ spherical reps

 $G(\mathbb{R}) = SL(2, \mathbb{R})$ acts on upper half plane $\mathbb{H} \rightsquigarrow$ repn $E(\nu)$ on $\nu^2 - 1$ eigenspace of Laplacian $\Delta_{\mathbb{H}}$. Unique SO(2)-invt eigenfunction ϕ_{ν} equal 1 at *i*. Even for $\nu \in i\mathbb{R}$, $E(\nu)$ too fat to carry invt Herm form. Better: $I(\nu) = C_c^{\infty}(\mathbb{H})/(\text{image of } \Delta_{\mathbb{H}} - (\nu^2 - 1))$. Have *G*-eqvt linear map $I(\nu) \xrightarrow{A(\nu)} E(\nu)$,

$$\mathcal{A}(\nu)f(y) = \int_{\mathbb{H}} f(x)\phi_{\nu}(x^{-1}y)\,dy.$$

Proposition

For $\nu^2 - 1$ real, $I(\nu)$ admits non-zero invt Herm form

$$\langle f_1, f_2 \rangle = \int_{\mathbb{H}} (A(\nu)f_1(y))\overline{f_2(y)} \, dy$$

radical of form = ker $A(\nu)$ = max proper submod of $I(\nu)$.

Define $J(\nu) = I(\nu) / \ker A(\nu)$ (all $\nu \in \mathbb{C}$).

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$SL(2,\mathbb{R})$ spherical hermitian dual

 $I(\nu) = C_c^{\infty}(\mathbb{H})/(\operatorname{im} \Delta_{\mathbb{H}} - (\nu^2 - 1)), J(\nu) = I(\nu)/\operatorname{ker} A(\nu)$ $J(\nu) \simeq J(\nu') \Leftrightarrow \nu = \pm \nu' \Rightarrow \widehat{G(\mathbb{R})}_{sph} = \{J(\nu)\} \simeq \mathbb{C}/\pm 1.$ Cplx conj for real form of $\widehat{G(\mathbb{R})}_{sph}$ is $\nu \mapsto -\overline{\nu}$; real pts $\widehat{G(\mathbb{R})}_{sph\ h} \simeq (i\mathbb{R} \cup \mathbb{R})/\pm 1 \subset \mathbb{C}/\pm 1$

These are sph Herm reps. Which are unitary? Need "signature" of Herm form on inf-diml space $I(\nu)$. Harish-Chandra idea: $K = SO(2) \rightsquigarrow 1$ -diml subspaces

 $I(\nu)_{2m} = \{f \in I(\nu) \mid \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \cdot f = e^{2im\theta} f\}.$ $I(\nu) \supset \sum_{m} I(\nu)_{2m}, \quad \text{(dense subspace)}$ Decomp is orthogonal for any invariant Herm form. Signature + or - or 0 for each *m*. Form analytic in ν , so

changes in signature <---> orders of vanishing.

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Deforming signatures for $SL(2, \mathbb{R})$

Here's how signatures of the reps $I(\nu)$ change with ν .

- $\nu \in i\mathbb{R}$, $I(\nu)$ " \subset " $L^{2}(\mathbb{H})$: unitary, signature positive.
- $0 < \nu < 1$, $I(\nu)$ irr: signature remains positive.
- $\nu = 1$, form pos on quotient $J(1) \leftarrow I(1) \leftrightarrow SO(2)$ rep 0.
- $\nu = 1$, form has simple zero, pos "residue" on ker A(1).
- $1 < \nu < 3$, across zero at $\nu = 1$, signature changes.
- $\nu = 3$, form + on $J(3) \leftarrow I(3)$.
- $\nu = 3$, form has simple zero, neg "residue" on ker *A*(3).

 $3 < \nu < 5$, across zero at $\nu = 3$, signature changes. ETC.

Conclude: $J(\nu)$ unitary, $\nu \in [0, 1]$; nonunitary, $\nu \in (1, \infty)$.

• • •	-6	-4	-2	0	+2	+4	+6	 SO(2) reps
	+	+	+	+	+	+	+	 u = 0
	+	+	+	+	+	+	+	 $0 < \nu < 1$
	+	+	+	+	+	+	+	 u = 1
	_	_	_	+	_	_	_	 $1 < \nu < 3$
	-	—	_	+	_	-	—	 u = 3
	+	+	_	+	_	+	+	 $3 < \nu < 5$

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Spherical unitary dual for $SL(2, \mathbb{R})$...

... and a preview of more general groups.



Reps appear in families, param by ν in cplx vec space \mathfrak{a}^* . Pure imag params $\longleftrightarrow L^2$ harm analysis \longleftrightarrow unitary. Each rep in family has distinguished irr quotient $J(\nu)$. Difficult unitary reps \leftrightarrow deformation in real param Calculating signatures

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Categories of representations

G cplx reductive alg $\supset G(\mathbb{R})$ real form $\supset K(\mathbb{R})$ max cpt.

Rep theory of $G(\mathbb{R})$ modeled on Verma modules... $H \subset B \subset G$ maximal torus in Borel subgp, $\mathfrak{h}^* \leftrightarrow$ highest weight reps $M(\lambda)$ Verma of hwt $\lambda \in \mathfrak{h}^*$, $L(\lambda)$ irr quot Put cplxification of $K(\mathbb{R}) = K \subset G$, reductive algebraic. (\mathfrak{g}, K)-mod: cplx rep V of \mathfrak{g} , compatible alg rep of K. Harish-Chandra: irr (\mathfrak{g}, K)-mod $\leftrightarrow \mathfrak{m}$ "arb rep of $G(\mathbb{R})$."

X parameter set for irr (\mathfrak{g}, K) -mods

I(x) std (\mathfrak{g}, K) -mod $\leftrightarrow x \in X$ J(x) irr quot

Set X described by Langlands, Knapp-Zuckerman: countable union (subspace of \mathfrak{h}^*)/(subgroup of W).

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Character formulas

Can decompose Verma module into irreducibles $M(\lambda) = \sum_{\mu \leq \lambda} m_{\mu,\lambda} L(\mu) \qquad (m_{\mu,\lambda} \in \mathbb{N})$

or write a formal character for an irreducible

 $L(\lambda) = \sum_{\mu \leq \lambda} M_{\mu,\lambda} M(\mu) \qquad (M_{\mu,\lambda} \in \mathbb{Z})$

Can decompose standard HC module into irreducibles

 $I(x) = \sum_{y \leq x} m_{y,x} J(y) \qquad (m_{y,x} \in \mathbb{N})$

or write a formal character for an irreducible

$$J(x) = \sum_{y \leq x} M_{y,x} I(y) \qquad (M_{y,x} \in \mathbb{Z})$$

Matrices *m* and *M* upper triang, ones on diag, mutual inverses. Entries are KL polynomials eval at 1.

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Forms and dual spaces

V cplx vec space (or alg rep of K, or (g, K)-mod).

Hermitian dual of V

 $V^h = \{\xi : V \to \mathbb{C} \text{ additive } | \xi(zv) = \overline{z}\xi(v)\}$

(If V is K-rep, also require ξ is K-finite.)

Sesquilinear pairings between *V* and *W* Sesq(*V*, *W*) = { \langle, \rangle : *V* × *W* \rightarrow \mathbb{C} , lin in *V*, conj-lin in *W*}

 $\operatorname{Sesq}(V, W) \simeq \operatorname{Hom}(V, W^h), \quad \langle v, w \rangle_T = (Tv)(w).$

Cplx conj of forms is (conj linear) isom $\operatorname{Sesq}(V, W) \simeq \operatorname{Sesq}(W, V).$

Corr (conj linear) isom is Hermitian transpose

 $\operatorname{Hom}(V, W^h) \simeq \operatorname{Hom}(W, V^h), \quad (T^h w)(v) = (Tv)(w).$

Sesq form \langle, \rangle_T Hermitian if

$$\langle \mathbf{v}, \mathbf{v}' \rangle_T = \overline{\langle \mathbf{v}', \mathbf{v} \rangle}_T \Leftrightarrow T^h = T.$$

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Defining a rep on V^h

Suppose *V* is a (\mathfrak{g}, K) -module. Write π for repn map.

Want to construct functor

cplx linear rep $(\pi, V) \rightsquigarrow$ cplx linear rep (π^h, V^h)

using Hermitian transpose map of operators. REQUIRES twisting by conjugate linear automorphism of \mathfrak{g} .

Assume

 $\sigma \colon G \to G$ antiholom aut, $\sigma(K) = K$.

Define (\mathfrak{g}, K) -module $\pi^{h,\sigma}$ on V^h ,

$$\begin{split} \pi^{h,\sigma}(X)\cdot \xi &= [\pi(-\sigma(X))]^h\cdot \xi \qquad (X\in\mathfrak{g},\xi\in V^h).\\ \pi^{h,\sigma}(k)\cdot \xi &= [\pi(\sigma(k)^{-1})]^h\cdot \xi \qquad (k\in K,\xi\in V^h). \end{split}$$

Traditionally use

 $\sigma_0 = \text{ real form with complexified maximal compact } K.$ We need also

 $\sigma_c = \text{ compact real form of } G \text{ preserving } K.$

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Invariant Hermitian forms

 $V = (\mathfrak{g}, K) \text{-module, } \sigma \text{ antihol aut of } G \text{ preserving } K.$ A σ -invt sesq form on V is sesq pairing \langle, \rangle such that $\langle X \cdot v, w \rangle = \langle v, -\sigma(X) \cdot w \rangle, \quad \langle k \cdot v, w \rangle = \langle v, \sigma(k^{-1}) \cdot w \rangle$ $(X \in \mathfrak{g}; k \in K; v, w \in V).$

Proposition

 $\sigma \text{-invt sesq form on } V \iff (\mathfrak{g}, K) \text{-map } T \colon V \to V^{h,\sigma} \text{:} \\ \langle v, w \rangle_T = (Tv)(w).$

Form is Hermitian iff $T^h = T$. Assume V is irreducible. $V \simeq V^{h,\sigma} \Leftrightarrow \exists$ invt sesq form $\Leftrightarrow \exists$ invt Herm form

 $A \sigma$ -invt Herm form on V is unique up to real scalar.

 $T \to T^h \iff$ real form of cplx line $\operatorname{Hom}_{\mathfrak{g},\mathcal{K}}(V,V^{h,\sigma})$.

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Invariant forms on standard reps

Recall multiplicity formula

 $I(x) = \sum_{y \leq x} m_{y,x} J(y) \qquad (m_{y,x} \in \mathbb{N})$

for standard (\mathfrak{g}, K) -mod I(x).

Want parallel formulas for σ -invt Hermitian forms. Need forms on standard modules.

Form on irr $J(x) \xrightarrow{\text{deformation}} \text{Jantzen filt } I_n(x) \text{ on std},$ nondeg forms \langle, \rangle_n on I_n/I_{n+1} .

Details (proved by Beilinson-Bernstein):

$$I(x) = I_0 \supset I_1 \supset I_2 \supset \cdots, \qquad I_0/I_1 = J(x)$$

 I_n/I_{n+1} completely reducible

 $[J(y): I_n/I_{n+1}] = \text{coeff of } q^{(\ell(x)-\ell(y)-n)/2} \text{ in KL poly } Q_{y,x}$

Hence $\langle , \rangle_{I(x)} \stackrel{\text{def}}{=} \sum_{n} \langle , \rangle_{n}$, nondeg form on gr I(x). Restricts to original form on irr J(x). Calculating signatures

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Virtual Hermitian forms

 $\mathbb{Z} =$ Groth group of vec spaces.

These are mults of irr reps in virtual reps.

 $\mathbb{Z}[X]$ = Groth grp of finite length reps.

For invariant forms...

 $\mathbb{W} = \mathbb{Z} \oplus \mathbb{Z} =$ Groth grp of fin diml forms.

Ring structure

$$(p,q)(p',q')=(pp'+qq',pq'+q'p).$$

Mult of irr-with-forms in virtual-with-forms is in \mathbb{W} :

$\mathbb{W}[X] \approx$ Groth grp of fin lgth reps with invt forms.

Two problems: invt form \langle, \rangle_J may not exist for irr *J*; and \langle, \rangle_J may not be preferable to $-\langle, \rangle_J$. Calculating signatures

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Hermitian KL polynomials: multiplicities

Fix σ -invt Hermitian form $\langle, \rangle_{J(x)}$ on each irr admitting one; recall Jantzen form \langle, \rangle_n on $I(x)_n/I(x)_{n+1}$. MODULO problem of irrs with no invt form, write

 $(I_n/I_{n-1},\langle,\rangle_n)=\sum_{y\leq x}w_{y,x}(n)(J(y),\langle,\rangle_{J(y)}),$

coeffs $w(n) = (p(n), q(n)) \in \mathbb{W}$; summand means $p(n)(J(y), \langle, \rangle_{J(y)}) \oplus q(n)(J(y), -\langle, \rangle_{J(y)})$

Define Hermitian KL polynomials

$$Q_{y,x}^{\sigma} = \sum_{n} w_{y,x}(n) q^{(l(x)-l(y)-n)/2} \in \mathbb{W}[q]$$

Eval in \mathbb{W} at $q = 1 \leftrightarrow$ form $\langle, \rangle_{l(x)}$ on std. Reduction to $\mathbb{Z}[q]$ by $\mathbb{W} \to \mathbb{Z} \leftrightarrow \mathsf{KL}$ poly $Q_{y,x}$. Calculating signatures

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Hermitian KL polynomials: characters

Matrix $Q_{V,X}^{\sigma}$ is upper tri, 1s on diag: INVERTIBLE.

 $P_{x,y}^{\sigma} \stackrel{\text{def}}{=} (-1)^{l(x)-l(y)}((x,y) \text{ entry of inverse}) \in \mathbb{W}[q].$

Definition of $Q_{x,y}^{\sigma}$ says $(\operatorname{gr} I(x), \langle, \rangle_{I(x)}) = \sum_{y \leq x} Q_{x,y}^{\sigma}(1)(J(y), \langle, \rangle_{J(y)});$

inverting this gives

 $(J(x),\langle,\rangle_{J(x)}) = \sum_{y \le x} (-1)^{I(x)-I(y)} P^{\sigma}_{x,y}(1)(\operatorname{gr} I(y),\langle,\rangle_{I(y)})$

Next question: how do you compute $P_{x,y}^{\sigma}$?

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Herm KL polys for σ_c

 $\sigma_c = \text{cplx conj for cpt form of } G, \sigma_c(K) = K.$

Plan: study σ_c -invt forms, relate to σ_0 -invt forms.

Proposition

Suppose J(x) irr (\mathfrak{g}, K) -module, real infl char. Then J(x) has σ_c -invt Herm form $\langle, \rangle_{J(x)}^c$, characterized by

 $\langle,\rangle_{J(x)}^{c}$ is pos def on the lowest K-types of J(x).

Proposition \implies Herm KL polys $Q_{x,y}^{\sigma_c}$, $P_{x,y}^{\sigma_c}$ well-def.

Coeffs in $\mathbb{W} = \mathbb{Z} \oplus s\mathbb{Z}$; $s = (0, 1) \leftrightarrow one-diml neg def form.$ $Conj: <math>Q_{x,y}^{\sigma_c}(q) = s^{\frac{\ell_o(x) - \ell_o(y)}{2}} Q_{x,y}(qs)$, $P_{x,y}^{\sigma_c}(q) = s^{\frac{\ell_o(x) - \ell_o(y)}{2}} P_{x,y}(qs)$. Equiv: if J(y) appears at level *n* of Jantzen filt of I(x), then Jantzen form is $(-1)^{(I(x) - I(y) - n)/2}$ times $\langle, \rangle_{J(y)}$.

Conjecture is false... but not seriously so. Need an extra power of *s* on the right side.

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Orientation number

Conjecture \leftrightarrow KL polys \leftrightarrow *integral* roots.

Simple form of Conjecture \Rightarrow Jantzen-Zuckerman translation across non-integral root walls preserves signatures of (σ_c -invariant) Hermitian forms.

It ain't necessarily so.

 $SL(2, \mathbb{R})$: translating spherical principal series from (real non-integral positive) ν to (negative) $\nu - 2m$ changes sign of form iff $\nu \in (0, 1) + 2\mathbb{Z}$.

Orientation number $\ell_o(x)$ is

- 1. # pairs $(\alpha, -\theta(\alpha))$ cplx nonint, pos on x; PLUS
- 2. # real β s.t. $\langle x, \beta^{\vee} \rangle \in (0, 1) + \epsilon(\beta, x) + 2\mathbb{N}$.

 $\epsilon(\beta, x) = 0$ spherical, 1 non-spherical.

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Deforming to $\nu = 0$

Have computable formula (omitting ℓ_o)

 $(J(x),\langle,\rangle_{J(x)}^{c}) = \sum_{y \leq x} (-1)^{I(x)-I(y)} \mathcal{P}_{x,y}(s)(\operatorname{gr} I(y),\langle,\rangle_{I(y)}^{c})$

for σ^c -invt forms in terms of forms on stds, same inf char.

Polys $P_{x,y}$ are KL polys, computed by atlas software. Std rep $I = I(\nu)$ deps on cont param ν . Put $I(t) = I(t\nu), t \ge 0$. If std rep $I = I(\nu)$ has σ -invt form so does I(t) ($t \ge 0$). (signature for I(t)) = (signature on $I(t + \epsilon)$), $\epsilon \ge 0$ suff small. Sig on I(t) differs from $I(t - \epsilon)$ on odd levels of Jantzen filt:

$$\langle,\rangle_{\mathrm{gr}\ I(t-\epsilon)} = \langle,\rangle_{\mathrm{gr}\ I(t)} + (s-1)\sum_{m}\langle,\rangle_{I(t)_{2m+1}/I(t)_{2m+2}}.$$

Each summand after first on right is known comb of stds, all with cont param strictly smaller than $t\nu$. ITERATE...

$$\langle,\rangle_J^c = \sum_{I'(0) \text{ std at } \nu' = 0} v_{J,I'}\langle,\rangle_{I'(0)}^c \qquad (v_{J,I'} \in \mathbb{W}).$$

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From σ_c to σ_0

Cplx conjs σ_c (compact form) and σ_0 (our real form) differ by Cartan involution θ : $\sigma_0 = \theta \circ \sigma_c$. Irr (\mathfrak{g}, K)-mod $J \rightsquigarrow J^{\theta}$ (same space, rep twisted by θ).

Proposition

J admits σ_0 -invt Herm form if and only if $J^{\theta} \simeq J$. If $T_0: J \xrightarrow{\sim} J^{\theta}$, and $T_0^2 = Id$, then

 $\langle \mathbf{v}, \mathbf{w} \rangle_J^0 = \langle \mathbf{v}, T_0 \mathbf{w} \rangle_J^c.$

 $T \colon J \xrightarrow{\sim} J^{\theta} \Rightarrow T^2 = z \in \mathbb{C} \Rightarrow T_0 = z^{-1/2}T \rightsquigarrow \sigma$ -invt Herm form.

To convert formulas for σ_c invt forms \rightsquigarrow formulas for σ_0 -invt forms need intertwining ops $T_J: J \xrightarrow{\sim} J^{\theta}$, consistent with decomp of std reps.

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Equal rank case

rk *K* = rk *G* ⇒ Cartan inv inner: $\exists \tau \in K$, Ad(τ) = θ . $\theta^2 = 1 \Rightarrow \tau^2 = \zeta \in Z(G) \cap K$.

Study reps π with $\pi(\zeta) = z$. Fix square root $z^{1/2}$.

If ζ acts by z on V, and \langle, \rangle_V^c is σ_c -invt form, then $\langle v, w \rangle_V^0 \stackrel{\text{def}}{=} \langle v, z^{-1/2} \tau \cdot w \rangle_V^c$ is σ_0 -invt form.

$$\langle,\rangle_J^c = \sum_{l'(0) \text{ std at } \nu' = 0} v_{J,l'} \langle,\rangle_{l'(0)}^c \qquad (v_{J,l'} \in \mathbb{W}).$$

translates to

$$\langle,\rangle_J^0 = \sum_{l'(0) \text{ std at } \nu' = 0} v_{J,l'}\langle,\rangle_{l'(0)}^0 \qquad (v_{J,l'} \in \mathbb{W}).$$

I' has LKT $\mu' \Rightarrow \langle, \rangle^{0}_{\mu'(0)}$ definite, sign $z^{-1/2}\mu'(\tau)$. J unitary \Leftrightarrow each summand on right pos def. Calculating signatures

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General case

Fix "distinguished involution" δ_0 of G inner to θ Define extended group $G^{\Gamma} = G \rtimes \{1, \delta_0\}$. Can arrange $\theta = \operatorname{Ad}(\tau \delta_0)$, some $\tau \in K$. Define $K^{\Gamma} = \operatorname{Cent}_{G^{\Gamma}}(\tau \delta_0) = K \rtimes \{1, \delta_0\}$. Study $(\mathfrak{g}, K^{\Gamma})$ -mods $\longleftrightarrow (\mathfrak{g}, K)$ -mods V with $D_0: V \xrightarrow{\sim} V^{\delta_0}, D_0^2 = \operatorname{Id}$. Calculating signatures

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Unitarity algorithm

Beilinson-Bernstein localization: $(\mathfrak{g}, \mathcal{K}^{\Gamma})$ -mods $\leftrightarrow action$ of δ_0 on \mathcal{K} -eqvt perverse sheaves on G/B.

Should be computable by mild extension of Kazhdan-Lusztig ideas. Not done yet!

Now translate σ_c -invt forms to σ_0 invt forms

$$\langle \mathbf{v}, \mathbf{w} \rangle_{\mathbf{V}}^{0} \stackrel{\text{def}}{=} \langle \mathbf{v}, \mathbf{z}^{-1/2} \tau \delta_0 \cdot \mathbf{w} \rangle_{\mathbf{V}}^{\mathbf{c}}$$

on $(\mathfrak{g}, K^{\Gamma})$ -mods as in equal rank case.

Possible unitarity algorithm

Hope to get from these ideas a computer program; enter

- ▶ real reductive Lie group $G(\mathbb{R})$
- general representation π

and ask whether π is unitary.

Program would say either

- π has no invariant Hermitian form, or
- π has invt Herm form, indef on reps μ_1 , μ_2 of K, or
- π is unitary, or
- I'm sorry Dave, I'm afraid I can't do that.

Answers to finitely many such questions \rightsquigarrow complete description of unitary dual of $G(\mathbb{R})$.

This would be a good thing.

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