# Signatures of Hermitian forms and unitary representations

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Representation Theory of Real Reductive Groups, July 28, 2009 Calculating signatures

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#### **Outline**

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Unitarity algorithm

 $G(\mathbb{R})$  = real points of complex connected reductive alg G

Problem: find  $\widehat{G(\mathbb{R})}_{u} = \text{irr unitary reps of } G(\mathbb{R}).$ 

Harish-Chandra:  $\widehat{G}(\mathbb{R})_u \subset \widehat{G}(\mathbb{R}) = \text{quasisimple irr reps.}$ 

Unitary reps = quasisimple  $\underset{\longleftarrow}{\text{reps}}$  with  $\underset{\longleftarrow}{\text{pos}}$  def invt form.

Example:  $G(\mathbb{R})$  compact  $\Rightarrow \widehat{G}(\mathbb{R})_u = \widehat{G}(\mathbb{R}) = \text{discrete set.}$ 

Example:  $G(\mathbb{R}) = \mathbb{R}$ ;

$$\widehat{G(\mathbb{R})} = \{\chi_z(t) = e^{zt} \ (z \in \mathbb{C})\} \simeq \mathbb{C}$$
 $\widehat{G(\mathbb{R})}_u = \{\chi_{i\xi} \ (\xi \in \mathbb{R})\} \simeq i\mathbb{R}$ 

Suggests:  $\widehat{G(\mathbb{R})}_u = \text{real pts of cplx var } \widehat{G(\mathbb{R})}$ . Almost...

 $\widehat{G}(\overline{\mathbb{R}})_h = \text{reps with invt form: } \widehat{G}(\overline{\mathbb{R}})_u \subset \widehat{G}(\overline{\mathbb{R}})_h \subset \widehat{G}(\overline{\mathbb{R}}).$ 

Approximately (Knapp):  $\widehat{G(\mathbb{R})} = \operatorname{cplx}$  alg var, real pts  $\widehat{G(\mathbb{R})}_h$ ; subset  $\widehat{G(\mathbb{R})}_u$  cut out by real algebraic ineqs.

Today: conjecture making inequalities computable.

 $G = SL(2,\mathbb{R}) = 2 \times 2$  real matrices of determinant 1 G acts on upper half plane  $\mathbb{H} \rightsquigarrow \text{repn } E(\nu)$  on  $\nu^2 - 1$ eigenspace of Laplacian  $\Delta_{\mathbb{H}}$ .

Spectrum of  $\Delta_{\mathbb{H}}$  on  $L^2(\mathbb{H})$  is  $(-\infty, -1] \longleftrightarrow \nu \in i\mathbb{R}$ . Most  $E(\nu)$  irr; always unique irr subrep  $J(\nu) \subset E(\nu)$ . Ex:  $E(1) = \text{harmonic fns on } \mathbb{H} \supset J(1) = \text{constant fns}$ 

 $J(\nu) \simeq J(\nu') \Leftrightarrow \nu = \pm \nu' \Rightarrow \widehat{G}_{sph} = \{J(\nu)\} \simeq \mathbb{C}/\pm 1.$ Cplx conj for real form of  $\widehat{G}_{sph}$  is  $\nu \mapsto -\overline{\nu}$ ; real points

$$\widehat{G}_{sph,h}\simeq \left(i\mathbb{R}\cup\mathbb{R}
ight)/\pm 1\subset\mathbb{C}/\pm 1$$

These are sph Herm reps. Unitary pts (Bargmann):

$$\widehat{G}_{sph,u}\simeq \left(i\mathbb{R}\cup\left[-1,1
ight]
ight)/\pm1\subset\mathbb{C}/\pm1$$

Moral: have nice families of reps like  $E(\nu)$ ; interesting irreducibles are smaller...

## Categories of representations

*G* cplx reductive alg  $\supset G(\mathbb{R})$  real form  $\supset K(\mathbb{R})$  max cpt.

Rep theory of  $G(\mathbb{R})$  modeled on Verma modules. . .

 $H \subset B \subset G$  maximal torus in Borel subgp,  $h^* \leftrightarrow \text{highest weight reps}$ 

 $M(\lambda)$  Verma of hwt  $\lambda \in \mathfrak{h}^*$ ,  $L(\lambda)$  irr quot

Put cplxification of  $K(\mathbb{R}) = K \subset G$ , reductive algebraic.

 $(\mathfrak{g}, K)$ -mod: cplx rep V of  $\mathfrak{g}$ , compatible alg rep of K.

Harish-Chandra: irr  $(\mathfrak{g}, K)$ -mod  $\iff$  "arb rep of  $G(\mathbb{R})$ ."

X parameter set for irr  $(\mathfrak{g}, K)$ -mods

 $\emph{I}(x)$  std  $(\mathfrak{g}, K)$ -mod  $\leftrightarrow x \in X$   $\emph{J}(x)$  irr quot

Set X described by Langlands, Knapp-Zuckerman: countable union (subspace of  $\mathfrak{h}^*$ )/(subgroup of W).

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#### Character formulas

Can decompose Verma module into irreducibles

$$M(\lambda) = \sum_{\mu \leq \lambda} m_{\mu,\lambda} L(\mu) \qquad (m_{\mu,\lambda} \in \mathbb{N})$$

or write a formal character for an irreducible

$$L(\lambda) = \sum_{\mu \le \lambda} M_{\mu,\lambda} M(\mu) \qquad (M_{\mu,\lambda} \in \mathbb{Z})$$

Can decompose standard HC module into irreducibles

$$I(x) = \sum_{y < x} m_{y,x} J(y) \qquad (m_{y,x} \in \mathbb{N})$$

or write a formal character for an irreducible

$$J(x) = \sum_{y \le x} M_{y,x} I(y) \qquad (M_{y,x} \in \mathbb{Z})$$

Matrices *m* and *M* upper triang, ones on diag, mutual inverses. Entries are KL polynomials eval at 1.

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Hermitian dual of V

$$V^h = \{ \xi : V \to \mathbb{C} \text{ additive } | \ \xi(zv) = \overline{z}\xi(v) \}$$

(If V is K-rep, also require  $\xi$  is K-finite.)

#### Sesquilinear pairings between V and W

$$\mathsf{Sesq}(\textit{V},\textit{W}) = \{\langle,\rangle\colon \textit{V}\times\textit{W}\to\mathbb{C}, \mathsf{lin}\;\mathsf{in}\;\textit{V}, \mathsf{conj}\text{-}\mathsf{lin}\;\mathsf{in}\;\textit{W}\}$$

$$\mathsf{Sesq}(V,W) \simeq \mathsf{Hom}(V,W^h), \quad \langle v,w \rangle_T = (Tv)(w).$$

Cplx conj of forms is (conj linear) isom

$$\mathsf{Sesq}(V,W) \simeq \mathsf{Sesq}(W,V).$$

Corr (conj linear) isom is Hermitian transpose

$$\operatorname{\mathsf{Hom}}(V,W^h)\simeq\operatorname{\mathsf{Hom}}(W,V^h),\quad (T^hw)(v)=(Tv)(w).$$

Sesq form  $\langle,\rangle_T$  Hermitian if

$$\langle \mathbf{v}, \mathbf{v}' \rangle_{\mathcal{T}} = \overline{\langle \mathbf{v}', \mathbf{v} \rangle}_{\mathcal{T}} \Leftrightarrow \mathcal{T}^h = \mathcal{T}.$$

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## Defining a rep on $V^h$

Suppose *V* is a  $(\mathfrak{g}, K)$ -module. Write  $\pi$  for repn map.

Want to construct functor

cplx linear rep 
$$(\pi, V) \rightsquigarrow \text{cplx linear rep } (\pi^h, V^h)$$

using Hermitian transpose map of operators. REQUIRES twisting by conjugate linear automorphism of  $\mathfrak{g}$ .

Assume

$$\sigma \colon G \to G$$
 antiholom aut,  $\sigma(K) = K$ .

Define  $(\mathfrak{g}, K)$ -module  $\pi^{h,\sigma}$  on  $V^h$ ,

$$\pi^{h,\sigma}(X) \cdot \xi = [\pi(-\sigma(X))]^h \cdot \xi \qquad (X \in \mathfrak{g}, \xi \in V^h).$$

$$\pi^{h,\sigma}(k)\cdot \xi = [\pi(\sigma(k)^{-1})]^h \cdot \xi \qquad (k \in K, \xi \in V^h).$$

Traditionally use

 $\sigma_0$  = real form with complexified maximal compact K.

We need also

 $\sigma_c = \text{compact real form of } G \text{ preserving } K.$ 

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 $V = (\mathfrak{g}, K)$ -module,  $\sigma$  antihol aut of G preserving K.

A  $\sigma\text{-invt}$  sesq form on  $\emph{\textbf{V}}$  is sesq pairing  $\langle,\rangle$  such that

$$\langle X \cdot v, w \rangle = \langle v, -\sigma(X) \cdot w \rangle, \quad \langle k \cdot v, w \rangle = \langle v, -\sigma(k^{-1}) \cdot w \rangle$$

$$(X \in \mathfrak{g}; k \in K; v, w \in V).$$

#### Proposition

 $\sigma$ -invt sesq form on  $V \leadsto (\mathfrak{g}, K)$ -map  $T \colon V \to V^{h,\sigma} \colon \langle v, w \rangle_T = (Tv)(w).$ 

Form is Hermitian iff  $T^h = T$ .

Assume V is irreducible.

 $V \simeq V^{h,\sigma} \Leftrightarrow \exists$  invt sesq form  $\Leftrightarrow \exists$  invt Herm form A  $\sigma$ -invt Herm form on V is unique up to real scalar.

 $T \to T^h \Leftrightarrow \text{real form of cplx line Hom}_{\mathfrak{g},K}(V,V^{h,\sigma}).$ 

## Invariant forms on standard reps

Recall multiplicity formula

$$I(x) = \sum_{y \leq x} m_{y,x} J(y) \qquad (m_{y,x} \in \mathbb{N})$$

for standard  $(\mathfrak{g}, K)$ -mod I(x).

Want parallel formulas for  $\sigma$ -invt Hermitian forms. Need forms on standard modules.

Form on irr  $J(x) \xrightarrow{\text{deformation}} \text{Jantzen filt } I_n(x) \text{ on std,}$  nondeg forms  $\langle , \rangle_n$  on  $I_n/I_{n+1}$ .

Details (proved by Beilinson-Bernstein):

$$I(x) = I_0 \supset I_1 \supset I_2 \supset \cdots, \qquad I_0/I_1 = J(x)$$
  
 $I_n/I_{n+1}$  completely reducible

$$[J(y)\colon I_n/I_{n+1}]= {\sf coeff}\ {\sf of}\ q^{(\ell(x)-\ell(y)-n)/2}\ {\sf in}\ {\sf KL}\ {\sf poly}\ Q_{y,x}$$

Hence  $\langle , \rangle_{I(x)} \stackrel{\text{def}}{=} \sum_{n} \langle , \rangle_{n}$ , nondeg form on gr I(x). Restricts to original form on irr J(x). Calculating signatures

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 $\mathbb{Z} =$ Groth group of vec spaces.

These are mults of irr reps in virtual reps.

 $\mathbb{Z}[X] = \text{Groth grp of finite length reps.}$ 

For invariant forms...

 $\mathbb{W} = \mathbb{Z} \oplus \mathbb{Z} = \text{Groth grp of fin diml forms.}$ 

Ring structure

$$(p,q)(p',q') = (pp'+qq',pq'+q'p).$$

Mult of irr-with-forms in virtual-with-forms is in  $\mathbb{W}$ :

 $\mathbb{W}[X] \approx$  Groth grp of fin lgth reps with invt forms.

Two problems: invt form  $\langle,\rangle_J$  may not exist for irr J; and  $\langle,\rangle_J$  may not be preferable to  $-\langle,\rangle_J$ .

Char formulas for invt forms

Easy Herm KL polys

Unitarity algorithm

Fix  $\sigma$ -invt Hermitian form  $\langle , \rangle_{J(x)}$  on each irr admitting one; recall Jantzen form  $\langle , \rangle_n$  on  $I(x)_n/I(x)_{n+1}$ .

MODULO problem of irrs with no invt form, write

$$(I_n/I_{n-1},\langle,\rangle_n)=\sum_{y\leq x}w_{y,x}(n)(J(y),\langle,\rangle_{J(y)}),$$

coeffs 
$$w(n)=(p(n),q(n))\in \mathbb{W};$$
 summand means  $p(n)(J(y),\langle,\rangle_{J(y)})\oplus q(n)(J(y),-\langle,\rangle_{J(y)})$ 

Define Hermitian KL polynomials

$$Q_{y,x}^{\sigma} = \sum_{n} w_{y,x}(n)q^{(l(x)-l(y)-n)/2} \in \mathbb{W}[q]$$

Eval in  $\mathbb{W}$  at  $q = 1 \leftrightarrow \text{form } \langle, \rangle_{I(x)}$  on std. Reduction to  $\mathbb{Z}[q]$  by  $\mathbb{W} \to \mathbb{Z} \leftrightarrow \text{KL poly } Q_{v,x}$ .

## Hermitian KL polynomials: characters

Matrix  $Q_{y,x}^{\sigma}$  is upper tri, 1s on diag: INVERTIBLE.

$$P_{x,y}^{\sigma} \stackrel{\mathsf{def}}{=} (-1)^{l(x)-l(y)}((x,y) \; \mathsf{entry} \; \mathsf{of} \; \mathsf{inverse}) \in \mathbb{W}[q].$$

Definition of  $Q_{x,y}^{\sigma}$  says

$$(\operatorname{gr} I(x), \langle, \rangle_{I(x)}) = \sum_{y \leq x} Q_{x,y}^{\sigma}(1)(J(y), \langle, \rangle_{J(y)});$$

inverting this gives

$$(J(x),\langle,\rangle_{J(x)}) = \sum_{y\leq x} (-1)^{I(x)-I(y)} P_{x,y}^{\sigma}(1)(\operatorname{gr} I(y),\langle,\rangle_{I(y)})$$

Next question: how do you compute  $P_{x,y}^{\sigma}$ ?

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Unitarity algorithm

 $\sigma_c = \text{cplx conj for cpt form of } G, \, \sigma_c(K) = K.$ 

Plan: study  $\sigma_c$ -invt forms, relate to  $\sigma_0$ -invt forms.

## Proposition

Suppose J(x) irr  $(\mathfrak{g}, K)$ -module, real infl char. Then J(x) has  $\sigma_c$ -invt Herm form  $\langle, \rangle_{J(x)}^c$ , characterized by

 $\langle , \rangle_{J(x)}^c$  is pos def on the lowest K-types of J(x).

Proposition  $\Longrightarrow$  Herm KL polys  $Q_{x,y}^{\sigma_c}$ ,  $P_{x,y}^{\sigma_c}$  well-def.

Coeffs in  $\mathbb{W} = \mathbb{Z} \oplus s\mathbb{Z}$ ;  $s = (0, 1) \longleftrightarrow$  one-diml neg def form.

Conj: 
$$Q_{x,y}^{\sigma_c}(q) = \frac{s^{\frac{\ell_o(x) - \ell_o(y)}{2}}}{2} Q_{x,y}(qs), \quad P_{x,y}^{\sigma_c}(q) = \frac{s^{\frac{\ell_o(x) - \ell_o(y)}{2}}}{2} P_{x,y}(qs).$$

Equiv: if J(y) appears at level n of Jantzen filt of I(x), then Jantzen form is  $(-1)^{(I(x)-I(y)-n)/2}$  times  $\langle , \rangle_{J(y)}$ .

Conjecture is false... but not seriously so. Need an extra power of *s* (shown in red) on the right side.

Conjecture  $\leftrightarrow$  KL polys  $\leftrightarrow$  *integral* roots.

Simple form of Conjecture ⇒ Jantzen-Zuckerman translation across non-integral root walls preserves signatures of ( $\sigma_c$ -invariant) Hermitian forms.

It ain't necessarily so.

 $SL(2,\mathbb{R})$ : translating spherical principal series from (real non-integral positive)  $\nu$  to (negative)  $\nu-2m$  changes sign of form iff  $\nu \in (0,1) + 2\mathbb{Z}$ .

Orientation number  $\ell_0(x)$  is

- 1. # pairs  $(\alpha, -\theta(\alpha))$  cplx nonint, pos on x; PLUS
- 2. # real  $\beta$  s.t.  $\langle x, \beta^{\vee} \rangle \in (0, 1) + \epsilon(\beta, x) + 2\mathbb{N}$ .
- $\epsilon(\beta, x) = 0$  spherical, 1 non-spherical.

$$(J(x),\langle,\rangle_{J(x)}^c) = \sum_{y \le x} (-1)^{I(x)-I(y)} P_{x,y}(s) (\operatorname{gr} I(y),\langle,\rangle_{I(y)}^c)$$

for  $\sigma^c$ -invt forms in terms of forms on stds, same inf char.

Polys  $P_{x,y}$  are KL polys: computed by atlas.

Std rep  $I = I(\nu)$  deps on cont param  $\nu$ . Put  $I(t) = I(t\nu)$ ,  $t \ge 0$ .

If std rep  $I = I(\nu)$  has  $\sigma$ -invt form so does I(t)  $(t \ge 0)$ .

(signature for I(t)) = (signature on  $I(t + \epsilon)$ ),  $\epsilon \ge 0$  suff small.

Sig on I(t) differs from  $I(t - \epsilon)$  on odd levels of Jantzen filt:

$$\langle , \rangle_{\operatorname{gr} I(t-\epsilon)} = \langle , \rangle_{\operatorname{gr} I(t)} + (s-1) \sum_{m} \langle , \rangle_{I(t)_{2m+1}/I(t)_{2m+2}}.$$

Each summand after first on right is known comb of stds, all with cont param strictly smaller than  $t\nu$ . ITERATE...

$$\langle,\rangle_J^c = \sum_{I'(0) \text{ std at } \nu' = 0} v_{J,I'}\langle,\rangle_{I'(0)}^c \qquad (v_{J,I'} \in \mathbb{W}).$$

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Cplx conjs  $\sigma_c$  (compact form) and  $\sigma_0$  (our real form) differ by Cartan involution  $\theta$ :  $\sigma_0 = \theta \circ \sigma_c$ . Irr ( $\mathfrak{g}$ , K)-mod  $J \rightsquigarrow J^{\theta}$  (same space, rep twisted by  $\theta$ ).

## Proposition

J admits  $\sigma_0$ -invt Herm form if and only if  $J^\theta \simeq J$ . If  $T_0 \colon J \overset{\sim}{\to} J^\theta$ , and  $T_0^2 = \operatorname{Id}$ , then

$$\langle \mathbf{v}, \mathbf{w} \rangle_J^0 = \langle \mathbf{v}, T_0 \mathbf{w} \rangle_J^c.$$

 $T\colon J\stackrel{\sim}{ o} J^{ heta}\Rightarrow T^2=z\in\mathbb{C}\Rightarrow T_0=z^{-1/2}T\leadsto \sigma ext{-invt Herm form.}$ 

To convert formulas for  $\sigma_c$  invt forms  $\leadsto$  formulas for  $\sigma_0$ -invt forms need intertwining ops  $T_J \colon J \stackrel{\sim}{\to} J^\theta$ , consistent with decomp of std reps.

Char formulas for

Easy Herm KL polys

Unitarity algorithm

rk  $K = \text{rk } G \Rightarrow \text{Cartan inv inner: } \exists \tau \in K, \text{Ad}(\tau) = \theta.$  $\theta^2 = 1 \Rightarrow \tau^2 = \zeta \in Z(G) \cap K.$ 

Study reps  $\pi$  with  $\pi(\zeta) = z$ . Fix square root  $z^{1/2}$ .

If  $\zeta$  acts by z on V, and  $\langle,\rangle_V^c$  is  $\sigma_c$ -invt form, then  $\langle v,w\rangle_V^0 \stackrel{\text{def}}{=} \langle v,z^{-1/2}\tau\cdot w\rangle_V^c$  is  $\sigma_0$ -invt form.

$$\langle , \rangle_J^{\mathcal{C}} = \sum_{I'(0) \text{ std at } \nu' = 0} V_{J,I'} \langle , \rangle_{I'(0)}^{\mathcal{C}} \qquad (V_{J,I'} \in \mathbb{W}).$$

translates to

$$\langle , \rangle_J^0 = \sum_{I'(0) \text{ std at } \nu' = 0} V_{J,I'} \langle , \rangle_{I'(0)}^0 \qquad (V_{J,I'} \in \mathbb{W}).$$

I' has LKT  $\mu' \Rightarrow \langle, \rangle_{I'(0)}^0$  definite, sign  $z^{-1/2}\mu(I')(t)$ . **J** unitary  $\Leftrightarrow$  each summand on right pos def. Computability of  $v_{J,I'}$  needs conjecture about  $P_{x,y}^{\sigma_c}$ .

Easy Herm KL

Unitarity algorithm

Fix "distinguished involution"  $\delta_0$  of G inner to  $\theta$ 

Define extended group  $G^{\Gamma} = G \times \{1, \delta_0\}.$ 

Can arrange  $\theta = Ad(\tau \delta_0)$ , some  $\tau \in K$ .

Define  $K^{\Gamma} = \operatorname{Cent}_{G^{\Gamma}}(\tau \delta_0) = K \rtimes \{1, \delta_0\}.$ 

Study  $(\mathfrak{g}, K^{\Gamma})$ -mods  $\longleftrightarrow$   $(\mathfrak{g}, K)$ -mods V with  $D_0 \colon V \overset{\sim}{\to} V^{\delta_0}, \ D_0^2 = \operatorname{Id}.$ 

Beilinson-Bernstein localization:  $(\mathfrak{g}, K^{\Gamma})$ -mods  $\longrightarrow$  action of  $\delta_0$  on K-eqvt perverse sheaves on G/B.

Should be computable by mild extension of Kazhdan-Lusztig ideas. Not done yet!

Now translate  $\sigma_c$ -invt forms to  $\sigma_0$  invt forms

$$\langle \mathbf{v}, \mathbf{w} \rangle_{V}^{0} \stackrel{\text{def}}{=} \langle \mathbf{v}, \mathbf{z}^{-1/2} \tau \delta_{0} \cdot \mathbf{w} \rangle_{V}^{c}$$

on  $(\mathfrak{g}, K^{\Gamma})$ -mods as in equal rank case.

## Possible unitarity algorithm

Hope to get from these ideas a computer program; enter

- real reductive Lie group  $G(\mathbb{R})$
- general representation  $\pi$

and ask whether  $\pi$  is unitary.

Program would say either

- $\blacktriangleright$   $\pi$  has no invariant Hermitian form, or
- $\pi$  has invt Herm form, indef on reps  $\mu_1$ ,  $\mu_2$  of K, or
- $\blacktriangleright$   $\pi$  is unitary, or
- ► I'm sorry Dave, I'm afraid I can't do that.

Answers to finitely many such questions  $\leadsto$  complete description of unitary dual of  $G(\mathbb{R})$ .

This would be a good thing.

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