

Sums of three cubes

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Diophantine equations

Many of the oldest problems in number theory involve equations of the form

$$P(x_1, \dots, x_n) = k$$

where P is a polynomial with integer coefficients and k is a fixed integer. We seek integer solutions in x_1, \dots, x_n .

Some notable examples:

- $x^2 + y^2 - z^2 = 0$ [Babylonians?]
(119, 120, 169), (4601, 4800, 6649), ... [Babylonians ~1800 BCE]
- $x^2 - 4729494y^2 = 1$ [Archimedes 251 BCE]
7760271406...9455081800 cattle [German-Williams-Zarnke, 1965]
- $v^5 + w^5 + x^5 + y^5 - z^5 = 0$ [Euler 1769]
(27, 84, 110, 133, 144) [Lander-Parkin 1966]
- $x^3 + y^3 = 1729$ [Hardy 1919]
(1, 12), (9, 10), ... [Ramanujan 1919]

Algorithm to find (or determine existence of) solutions?

Q: Is there an algorithm that can answer all such questions? [Hilbert 1900]

A: No! [Davis, Robinson, Davis-Putnam, Robinson, Matiyasevich 1970]

But if we restrict the degree of the polynomial P , things may get easier.

Q: What about degree one? [Euclid ~250 BCE, Diophantus ~250]

A: Yes! [Euclid ~250 BCE, Brahmagupta 628]

Q: What about degree two? [Babylonians, Diophantus, Hilbert 1900]

A: Yes! [Babylonians, Diophantus, Fermat, Euler, Legendre, Lagrange]
[Siegel 1972]

Q: What about degree three? [Waring 1770]

A: We have no idea.

Sums of squares

Q: Which primes are sums of two squares?

A: 2 and primes congruent to 1 modulo 4. [Fermat, Christmas 1640]

Q: Which prime powers are sums of two squares?

A: Even powers and powers of primes that are sums of two squares.

Q: Which positive integers are sums of two squares?

A: Those whose prime power factors are sums of two squares.
[Diophantus, Fermat, Euler 1749]

Q: Which positive integers are sums of three squares?

A: Those not of the form $4^a(8b + 7)$. [Legendre 1797]

Q: Which positive integers are sums of four squares?

A: All of them. [Diophantus, Lagrange 1770]

Sums of two cubes

Q: Which primes are sums of two cubes?

A: The prime 2 and primes of the form $3x^2 - 3x + 1$ for some integer x .

This list of primes begins 2, 7, 19, 37, 61, 127, 271, 331, 397, 547, 631, 919, ...

We believe this list to be infinite, but this is not known.

Proof:

- $x^3 + y^3 = (x + y)(x^2 - xy + y^2)$, so either $x + y = 1$ or $x^2 - xy + y^2 = 1$.
- If $x^2 - xy + y^2 = 1$ then $x = y = 1$, in which case $x^3 + y^3 = 2$.
- If $x + y = 1$ then $x^2 - x(1 - x) + (1 - x)^2 = 3x^2 - 3x + 1$ must be prime.

There are infinitely many primes of the form $x^3 + 2y^3$ [Heath-Brown 2001]. This implies that infinitely many primes are the sum of three cubes.

Digression

What happens if we allow rational cubes? For example

$$13 = \left(\frac{2}{3}\right)^3 + \left(\frac{7}{3}\right)^3$$

is a sum of rational cubes, but 13 is not a sum of integer cubes.

This amounts to finding rational points on the elliptic curve $x^3 + y^3 = n$, which can also be written as $E_n: Y^2 = X^3 - 432n^2$.

We know that $E(\mathbb{Q}) \simeq T \oplus \mathbb{Z}^r$, where $\#T \leq 16$ and $r := r(E)$ is the *rank* of E . Under the BSD conjecture, $r(E) > 0$ if and only if

$$L_E(s) := \prod_p (1 - a_p p^{-s} + \chi(p) p^{1-2s})^{-1}$$

has a zero at $s = 1$ (here $a_p := p + 1 - \#E(\mathbb{F}_p)$ and $\chi(p) = 1$ for $p \nmid \Delta(E)$).

If $p \equiv 4, 7, 8 \pmod{9}$ then $r(E_p) > 0$ and if $p \equiv 2, 5 \pmod{9}$ then $r(E_p) = 0$.¹

The case $p \equiv 1 \pmod{9}$ is more complicated, but fairly well understood.

¹Assuming BSD.

Sums of two cubes

Let us now consider an arbitrary integer k . If we have

$$k = x^3 + y^3 = (x + y)(x^2 - xy + y^2),$$

then we can write $k = rs$ with $r = x + y$ and $s = x^2 - xy + y^2$.

If we now put $y = r - x$, we obtain the quadratic equation

$$s = 3x^2 - 3rx + r^2,$$

whose integer solutions we can find using the quadratic formula.

This yields an algorithm to determine all integer solutions to $x^3 + y^3 = k$:

- Factor the integer k .
- Use this factorization to enumerate all $r, s \in \mathbb{Z}$ for which $k = rs$.
- If $t := \sqrt{12s - 3r^2} \in \mathbb{Z}$ then output $x = (3r + t)/6$ and $y = (3r - t)/6$.

Example:

For $k = 1729 = 19 \cdot 91$ we find $t = 3$, yielding $x = 10$ and $y = 9$.

For $k = 1729 = 13 \cdot 133$ we find $t = 33$, yielding $x = 12$ and $y = 1$.

Sums of four or more cubes

Every integer has infinitely many representations as the sum of five cubes. This follows from the identity

$$6m = (m + 1)^3 + (m - 1)^3 - m^3 - m^3.$$

If we write $k = 6a + r$, then $r^3 \equiv r \pmod{6}$ and, we can apply this identity to $m = f(n) := (k - (6n + r)^3)/6$ for any integer n , yielding the parameterization

$$k = (6n + r)^3 + (f(n) + 1)^3 + (f(n) - 1)^3 - f(n)^3 - f(n)^3.$$

A more complicated collection of similar identities (and extra work in one particularly annoying case) shows that all $k \not\equiv \pm 4 \pmod{9}$ can be represented as a sum of four cubes in infinitely many ways [Demjanenko 1966].

It is conjectured that in fact every integer k has infinitely many representations as a sum of four cubes [Sierpinski], but the case $k \equiv \pm 4 \pmod{9}$ remains open.

Sums of three cubes

Not every integer is the sum of three cubes. Indeed, if $x^3 + y^3 + z^3 = k$ then

$$x^3 + y^3 + z^3 \equiv k \pmod{9}$$

The cubes modulo 9 are $0, \pm 1$; there is no way to write ± 4 as a sum of three. This rules out all $k \equiv \pm 4 \pmod{9}$, including 4, 5, 13, 14, 22, 23, 31, 32, ...

There are infinitely many ways to write $k = 0, 1, 2$ as sums of three cubes. For all $n \in \mathbb{Z}$ we have

$$\begin{aligned}n^3 + (-n)^3 + 0^3 &= 0, \\(9n^4)^3 + (3n - 9n^4)^3 + (1 - 9n^3)^3 &= 1, \\(1 + 6n^3)^3 + (1 - 6n^3)^3 + (-6n^2)^3 &= 2.\end{aligned}$$

Multiplying by m^3 yields similar parameterizations for k of the form m^3 or $2m^3$. For $k \not\equiv \pm 4 \pmod{9}$ not of the form m^3 or $2m^3$ the question is completely open.

Remark 1: The parameterizations above are not exhaustive [Payne, Vaserstein 1992].

Remark 2: Every $k \in \mathbb{Z}$ is the sum of three rational cubes [Ryley 1825].

Mordell's challenge

There are two easy ways to write 3 as a sum of three cubes:

$$1^3 + 1^3 + 1^3 = 3,$$
$$(-5)^3 + 4^3 + 4^3 = 3.$$

In a 1953 paper Mordell famously wrote:

I do not know anything about the integer solutions of $x^3 + y^3 + z^3 = 3$ beyond the existence of. . . it must be very difficult indeed to find out anything about any other solutions.

This remark sparked a 65 year search for additional solutions.

None were found, but researchers did find solutions for many other values of k in the process of trying to answer Mordell's challenge.

20th century timeline for sums of three cubes

Progress on $x^3 + y^3 + z^3 = k$ with $k > 0$ and $|x|, |y|, |z| \leq N$:

- 1908 Werebrusov finds a parametric solution for $k = 2$.
- 1936 Mahler finds a parametric solution for $k = 1$.
- 1942 Mordell proves any other parameterization has degree at least five (likely none exist).
- 1953 Mordell asks about $k = 3$.
- 1955 Miller, Woollett check $k \leq 100$, $N = 3200$, solve all but nine $k \leq 100$.
- 1963 Gardiner, Lazarus, Stein: $k \leq 1000$, $N = 2^{16}$, crack $k = 87$, all but seventy $k \leq 1000$.
- 1992 Heath-Brown, Lioen, te Riele crack $k = 39$.
- 1992 Heath-Brown conjectures infinity of solutions for all $k \not\equiv \pm 4 \pmod{9}$.
- 1994 Koyama checks $k \leq 1000$, $N = 2^{21} - 1$, finds 16 new solutions.
- 1994 Koyama checks $k \leq 1000$, $N = 3414387$, finds 2 new solutions.
- 1994 Conn, Vaserstein crack $k = 84$.
- 1995 Jagy cracks $k = 478$.
- 1995 Bremner cracks $k = 75$ and $k = 768$.
- 1995 Lukes cracks $k = 110$, $k = 435$, and $k = 478$.
- 1996 Elkies checks $k \leq 1000$, $N = 10^7$ finding several new solutions (follow up by Bernstein).
- 1997 Koyama, Tsuruoka, Sekigawa check $k \leq 1000$, $N = 2 \cdot 10^7$ finding five new solutions.
- 1999-2000 Bernstein checks $k \leq 1000$, $N \geq 2 \cdot 10^9$, cracks $k = 30$ and ten other $k \leq 1000$.
- 1999-2000 Beck, Pine, Tarrant, Yarbrough Jensen also crack $k = 30$, and $k = 52$.

At the end of the millennium, only 33, 42, 74 and twenty-four other $k \leq 1000$ were open.

Poonen's challenge

To add further fuel to the fire, Poonen opened his AMS Notices article "Undecidability in number theory" with the following paragraph:

Does the equation $x^3 + y^3 + z^3 = 29$ have a solution in integers?

Yes: $(3, 1, 1)$, for instance. How about $x^3 + y^3 + z^3 = 30$?

Again yes, although this was not known until 1999: the smallest solution is $(283059965, -2218888517, 2220422932)$.

And how about 33? This is an unsolved problem.

This spurred another 10 years of searches, with 33 nearly as desirable as 3.

Elsenhans and Jahnel searched to $N = 10^{14}$ cracking nine more $k \leq 1000$.

Huisman pushed on to $N = 10^{15}$ and cracked $k = 74$ in 2016.

Earlier this year Andrew Booker finally answered Poonen's challenge with

$$8866128975287528^3 - 8778405442862239^3 - 2736111468807040^3 = 33,$$

leaving 42 as the only unresolved case below 100 (and ten other $k \leq 1000$).

But no progress on Mordell's challenge, even with $N = 10^{16}$ [Booker].

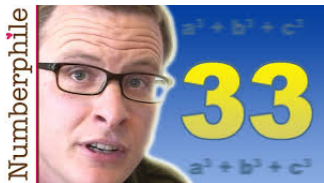
Popularization



Brady Haran



74 is Cracked!
(Sander Huisman)



The uncracked problem with 33
(Tim Browning)



42 is the new 33
(Andrew Booker)

Mathematician solves 64-year-old 'Diophantine puzzle' (Newsweek)

"... the mathematician is now working with Andrew Sutherland of MIT in an attempt to find the solution for the final unsolved number below a hundred: 42."

The significance of 42 [Douglas Adams]

“O Deep Thought computer. . . We want you to tell us....The Answer.”

“The Answer to what?” asked Deep Thought.

“Life!” urged Fook. “The Universe!” said Lunkwill.

“Everything!” they said in chorus.

Deep Thought paused for a moment’s reflection. . .

“There is an answer. But, I’ll have to think about it.”

seven and a half million years pass

“Good Morning,” said Deep Thought at last. “Er...good morning, O Deep Thought” said Loonquawl nervously, “do you have...”

“An Answer for you?” interrupted Deep Thought. “Yes, I have.”

“Forty-two,” said Deep Thought, with infinite majesty and calm.

Deep Thought designs Earth to compute the Ultimate Question whose answer is 42. Mice (the most intelligent beings on earth) take charge of this ten million year project. Unfortunately Earth is destroyed by the Vogons before the project is completed.

Search algorithms

We seek solutions to $x^3 + y^3 + z^3 = k$ for some fixed k (say $k = 3$ or $k = 42$).
How long does it take to check all $x, y, z \in \mathbb{Z}$ with $\max(|x|, |y|, |z|) \leq N$?

- 1 Totally naive brute force: $O(N^3)$ arithmetic operations.
- 2 Less naive brute force (is $x^3 + y^3 - k$ a cube?): $O(N^{2+o(1)})$ operations.
- 3 Using our algorithm for sums of two cubes: $N^{1+o(1)}$ operations (expected).

None of these is fast enough to go past $N = 10^{16}$ in a reasonable timeframe.

We instead follow the approach suggested by Heath-Brown, Lioen, and te Riele, which is designed to attack a specific value of k (in contrast to Elkies approach, which attacks many k at once).

With suitable optimizations this gives a (heuristic) complexity of $O(N(\log \log N)^{1+o(1)})$ arithmetic operations (in our range of interest these are 64-bit or 128-bit word operations using 1-3 clock cycles).

The setup and the strategy

Assume $x^3 + y^3 + z^3 = k > 0$, $|x| \geq |y| \geq |z| \geq \sqrt{k}$, $k \equiv \pm 3 \pmod{9}$ cubefree.

$$k - z^3 = x^3 + y^3 = (x + y)(x^2 - xy + y^2)$$

Define $d := |x + y|$ so that z is a cuberoot of k modulo d .

$$\{x, y\} = \left\{ \frac{\operatorname{sgn}(k - z^3)}{2} \left(d \pm \sqrt{\frac{4|k - z^3| - d^3}{3d}} \right) \right\},$$

Thus d, z determine x, y , and one finds that $d < \alpha|z|$, where $\alpha := \sqrt[3]{2} - 1 \approx 0.26$. One also finds that $3 \nmid d$ and $\operatorname{sgn}(z)$ is determined by $d \pmod{3}$ and $k \pmod{9}$.

Given N , our strategy is to enumerate all $d \in \mathbb{Z} \cap (0, \alpha N)$ coprime to 3, and for each d enumerate all $z \in \mathbb{Z}$ satisfying $z^3 \equiv k \pmod{d}$ with $|z| \leq N$ such that

$$3d(4\operatorname{sgn}(z)(z^3 - k) - d^3) = \square \tag{1}$$

is a square. Every such (d, z) yields a solution (x, y, z) , and we will find all solutions satisfying our assumptions with $|z| \leq N$ (even if $|x|, |y| > N$).

Elliptic curves again

With k fixed and d as above, if we put $B_d := -2(6d)^3(d^3 + 4\text{sgn}(z)k)$, then the solutions to (1) are precisely the affine integral points on the elliptic curve

$$E_d: Y^2 = X^3 + B_d.$$

For small values of d it may be feasible to determine the integral points on E_d (especially when the analytic rank is 0).

Doing so addresses infinitely many possibilities for z in one fell swoop. But this is typically feasible only when d is quite small (say $d \leq 50$).

The problem of finding integral representations for k as a sum of three cubes can thus be reduced to the problem of finding integral points on a one-parameter family of elliptic curves over \mathbb{Q} (with complex multiplication).

This does not make the problem any easier, it highlights the challenge.

Finding all integral points on an elliptic curve with small coefficients is a doable (but nontrivial) task; finding the integral points on 10^{16} elliptic curves with 50 digit coefficients is not even remotely feasible.

Major complexity obstacles

problem: To compute cuberoots of $k \bmod d$ we need the factorization of d .

solution: Enumerate d combinatorially, as a product of prime powers along with cuberoots of $k \bmod d$ (also lets us efficiently skip useless d).

problem: There are $\Omega(N \log N)$ pairs (d, z) we potentially need to consider.

solution: For $d \leq N^{3/4}$ (say) we sieve arithmetic progressions of $z \bmod d$ using small auxiliary primes $p \nmid d$. Each p reduces the number of pairs (d, z) by a factor of about 2, and $O(\log \log N)$ such p suffice.

We don't literally sieve, we dynamically CRT-lift progressions mod d to progressions mod pd , but only use the lifts that satisfy $(1) \bmod p$ (about half, on average, and we can select p that give less than half).

With this approach the total number of pairs (d, z) with $d \leq N^{3/4}$ we need to consider becomes $o(N)$, and for $d > N^{3/4}$ we heuristically expect $O(N)$.

Minor complexity obstacles (and opportunities)

problem: CRT lifting (used both in enumeration and sieving) requires modular inversions (potentially impacting our bit complexity goal)

solution: Batched inversions (a la Montgomery) reduce the average cost of an inversion to the equivalent of three modular multiplications.

problem: Testing (1) over \mathbb{Z} requires about 200 cycles.

solution: Testing modulo several small auxiliary primes using precomputed bitmaps reduces this to less than 10 cycles per test, on average.

problem: Doing modular reductions via DIV instructions is horribly slow.

solution: Use Montgomery and/or Barrett reduction (we use both).

problem: For fixed d there are obvious constraints on $z \bmod 18$ and less obvious constraints on $z \bmod 27k$ (coming from cubic reciprocity). Most z 's we consider have no chance of producing a solution.

solution: Incorporate these constraints into our arithmetic progressions (use precomputation to determine cubic reciprocity constraints).

Implementation

- Heavily optimized C code using GCC intrinsics to access some particular features of the Intel instruction set.
- `smalljac` finite field implementation to compute cuberoots modulo primes (optimized Tonelli-Shanks) and to lift them modulo prime powers (standard Hensel lifting via p -adic Newton iteration).
- `primesieve` library [Walisch] to enumerate primes (this is crazy fast).
- `gmp` multiprecision arithmetic library to test (1) over \mathbb{Z} .
- Parallelization is achieved by partitioning d by largest prime factor. We split the work into jobs that only take a few hours (millions of jobs).
- `cygwin` to create a Microsoft Windows compatible executable so we can take full advantage of Charity Engine's crowd-sourced compute grid.
- We use two cores on each compute node and try to keep the memory footprint well under 1GB per core (share all precomputed tables).

The conjecture of Heath-Brown

One can heuristically estimate the number of solutions to $x^3 + y^3 + z^3 = k$ with $\max(|x|, |y|, |z|) \in [N_1, N_2]$ as a product of local densities.

Explicitly, assume k is cubefree, and for prime p and integer $n \geq 1$ define

$$N(p^n) := \#\{(x, y, z) \bmod p^n : x^3 + y^3 + z^3 \equiv k \bmod p^n\},$$

$$\sigma_p := \frac{N(p)}{p^2} \quad (p \neq 3), \quad \sigma_3 = \frac{N(9)}{81}, \quad \sigma_\infty := 6 \int_{N_1}^{N_2} \int_0^z \frac{dy}{3(z^3 - y^3)^{2/3}} dz = c \log \frac{N_2}{N_1},$$

where $c \approx 3.5332$. The expected number of solutions in $[N_1, N_2]$ is then

$$\prod_{p \leq \infty} \sigma_p = \delta_k \log \frac{N_2}{N_1},$$

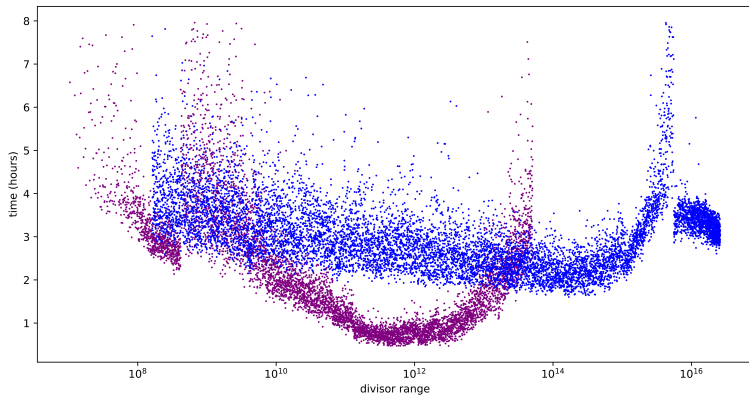
where δ_k is an explicit constant that depends only on k .

Heath-Brown's conjecture is that δ_k gives the correct logarithmic density of solutions. This implies that for all $k \not\equiv \pm 4 \pmod{9}$ there are infinitely many.

Heath-Brown vs Huisman for $3 \leq k < 100$

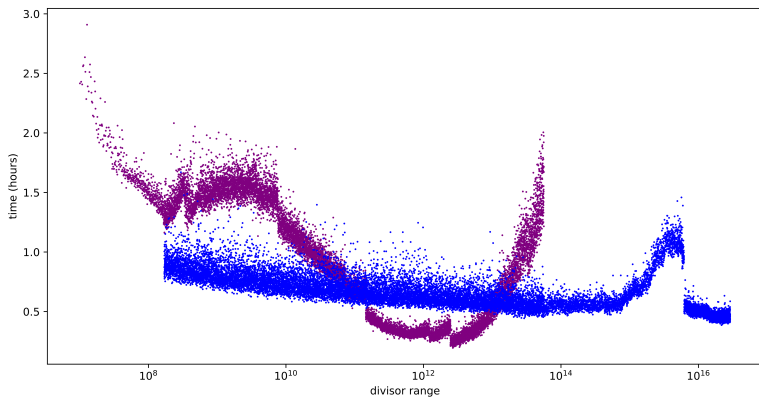
k	$\delta_k/6$	N_0	$N = 10^5$		$N = 10^{10}$		$N = 10^{15}$	
			expect	actual	expect	actual	expect	actual
3	0.061	12969857	0.7	2	1.4	2	2.1	2
93	0.072	1185438	0.8	2	1.6	3	2.5	3
74	0.086	106692	1.0	0	2.0	0	3.0	1
33	0.089	77368	1.0	0	2.0	0	3.1	0
30	0.090	68020	1.0	0	2.1	1	3.1	3
39	0.090	68358	1.0	0	2.1	1	3.1	1
12	0.100	22518	1.1	1	2.3	2	3.4	2
87	0.104	14593	1.2	1	2.4	2	3.6	3
75	0.112	7287	1.3	0	2.6	1	3.9	4
42	0.113	6728	1.3	0	2.6	0	3.9	0
60	0.119	4531	1.4	3	2.7	5	4.1	8
...								
37	0.335	20	3.9	3	7.7	6	11.6	8
82	0.406	12	4.7	3	9.3	8	14.0	13
9	0.427	11	4.9	3	9.8	8	14.8	15
44	0.434	11	5.0	1	10.0	7	15.0	16
7	0.437	10	5.0	3	10.1	11	15.1	18
57	0.458	9	5.3	10	10.6	17	15.8	23
...								
62	1.000	3	11.5	10	23.0	21	34.6	33
97	1.074	3	12.4	10	24.7	24	37.1	37
63	1.200	3	13.8	8	27.6	18	41.4	26
83	1.210	3	13.9	16	27.9	32	41.8	49
90	1.854	2	21.3	20	42.7	36	64.0	48
99	1.989	2	22.9	21	45.8	35	68.7	56

The search for 42



Each dot represents 50 cores.

The search for 3



Each dot represents 50 cores.

The result for 3

$$569936821221962380720^3 - 569936821113563493509^3 - 472715493453327032^3 = 3$$

$$d = |x + y| = 167 \cdot 649095133 = 108398887211 \approx 1.084 \times 10^{11}$$

$$x \approx 5.6993682 \times 10^{20}, \quad y \approx -5.6993682 \times 10^{20}, \quad z \approx -4.727 \times 10^{17}$$

$$\begin{array}{r} 185131426470358721030003064550489120286063150089838997749248000 \\ -185131426364725746289073278168542399539619802127338908944671229 \\ - \quad \quad \quad \underline{105632974740929786381946720746443347962500088804576768} \end{array}$$

Heath-Brown vs Huisman $100 \leq k < 1000$ (selected)

k	$\delta_k/6$	N_0	$N = 10^5$		$N = 10^{10}$		$N = 10^{15}$	
			expect	actual	expect	actual	expect	actual
858	0.029	1720798182665417	0.3	1	0.7	2	1.0	2
276	0.032	42958715811596	0.4	1	0.7	1	1.1	2
390	0.033	15332443619105	0.4	0	0.8	0	1.1	0
516	0.033	13632255817671	0.4	0	0.8	1	1.1	1
663	0.033	12076668982001	0.4	0	0.8	1	1.1	1
975	0.039	163996624946	0.5	0	0.9	0	1.3	0
165	0.040	90472906051	0.5	0	0.9	0	1.4	0
555	0.043	14746456526	0.5	1	1.0	2	1.5	2
921	0.044	6885076231	0.5	0	1.0	0	1.5	0
348	0.045	5369191063	0.5	2	1.0	2	1.5	3
906	0.050	536676769	0.6	0	1.1	0	1.7	0
366	0.051	324767552	0.6	0	1.2	0	1.8	1
579	0.051	348505529	0.6	0	1.2	0	1.8	0
654	0.057	46795226	0.7	2	1.3	2	2.0	3
114	0.058	26824751	0.7	0	1.3	0	2.0	0
705	0.062	8959243	0.7	1	1.4	2	2.2	2
732	0.063	7553865	0.7	0	1.5	0	2.2	0
402	0.079	321328	0.9	1	1.8	2	2.7	3
633	0.080	282820	0.9	0	1.8	0	2.8	0
537	0.089	80345	1.0	2	2.0	3	3.1	3
795	0.089	71223	1.0	0	2.1	0	3.1	0
641	0.128	2519	1.5	1	2.9	1	4.4	2
627	0.130	2248	1.5	0	3.0	0	4.5	0
956	0.217	102	2.5	3	5.0	6	7.5	8
782	0.453	10	5.2	3	10.4	5	15.7	11
855	2.641	2	30.4	27	60.8	51	91.2	77