

A local-global principle for rational isogenies of prime degree

Andrew V. Sutherland

Massachusetts Institute of Technology

July 13, 2010

<http://arxiv.org/abs/1006.1782>

Mazur's Theorem

Let E/\mathbb{Q} be an elliptic curve and let ℓ be a prime.

E can have a rational point of order ℓ only when

$$\ell \in \{2, 3, 5, 7\}.$$

E can admit a rational isogeny of degree ℓ only when

$$\ell \in \{2, 3, 5, 7, 11, 13, 17, 19, 37, 43, 67, 163\}.$$

All permitted cases occur.

The local-global question for ℓ -torsion

Suppose E has a rational point of order ℓ .
Then E has a point of order ℓ locally everywhere.

Suppose E has a point of order ℓ locally everywhere.
Must E have a rational point of order ℓ ?

No, but E is isogenous to such a curve (Katz 1981).

The local-global question for ℓ -isogenies

Suppose E admits a rational ℓ -isogeny.
Then E admits an ℓ -isogeny locally everywhere.

Suppose E admits an ℓ -isogeny locally everywhere.
Must E admit a rational ℓ -isogeny?

No, the curve defined by

$$y^2 + xy = x^3 - x^2 - 107x - 379,$$

with $j(E) = 2268945/128$, is a counterexample for $\ell = 7$.

But up to isomorphism, this is the *only* counterexample.

Main result

Theorem

Let E be an elliptic curve over \mathbb{Q} , let ℓ be a prime, and assume that $(j(E), \ell) \neq (2268945/128, 7)$.

If E admits an ℓ -isogeny locally at a set of primes with density 1, then E admits an ℓ -isogeny over \mathbb{Q} .

Strategy of the proof

1. Reduce the problem to group theory.

The mod- ℓ Galois representation

Let S contain ℓ and the primes where E has bad reduction. Let $\bar{\mathbb{Q}}_S$ be the maximal algebraic extension of \mathbb{Q} unramified outside of S .

The action of $\text{Gal}(\bar{\mathbb{Q}}_S/\mathbb{Q})$ on $E[\ell]$ yields a representation

$$\rho: \text{Gal}(\bar{\mathbb{Q}}_S/\mathbb{Q}) \rightarrow \text{Aut}(E[\ell]) \cong \text{GL}_2(\mathbb{F}_\ell),$$

which maps φ_p to a conjugacy class $\varphi_{p,\ell}$ of $\text{GL}_2(\mathbb{F}_\ell)$ with

$$\det(\varphi_{p,\ell}) \equiv p \pmod{\ell}, \quad \text{tr}(\varphi_{p,\ell}) \equiv p + 1 - |E(\mathbb{F}_p)| \pmod{\ell}.$$

Every $\varphi_{p,\ell}$ arises for a set of p with positive density.

Invariant subspaces of $E[\ell]$

Let G be the image of ρ in $\mathrm{GL}_2(\mathbb{F}_\ell)$.

Let Ω be the set of one dimensional subspaces of \mathbb{F}_ℓ^2 .

G acts on Ω via the Galois action on $E[\ell]$.

If E admits a rational ℓ -isogeny,
then G fixes some element of Ω .

If E admits an ℓ -isogeny locally everywhere,
then every element of G fixes an element of Ω .

A group-theoretic question

We are interested in subgroups $G \subset \mathrm{GL}_2(\mathbb{F}_\ell)$ such that

- (i) the determinant map from G to \mathbb{F}_ℓ^* is surjective;
- (ii) every element of G fixes some element of Ω ;
- (iii) no element of Ω is fixed by every element of G .

Do any such G actually exist?

If $\ell < 7$ or if $\ell \equiv 1 \pmod{4}$, the answer is no.

Otherwise, the answer is yes.

Subgroups of $GL_2(\mathbb{F}_\ell)$

A Cartan subgroup C is a semisimple maximal abelian subgroup, either split ($C \cong \mathbb{F}_\ell^* \times \mathbb{F}_\ell^*$) or nonsplit ($C \cong \mathbb{F}_{\ell^2}^*$).

Let G be a subgroup of $GL_2(\mathbb{F}_\ell)$ with image H in $PGL_2(\mathbb{F}_\ell)$. If $|G|$ is prime to ℓ then exactly one of the following holds:

- (a) H is cyclic and G is contained in a Cartan subgroup.
- (b) H is dihedral and G is contained in the normalizer of a Cartan subgroup but not in a Cartan subgroup.
- (c) H is isomorphic to A_4 , S_4 , or A_5 .

(this is a standard result, see Serre or Lang)

The main lemma

Let G be a subgroup of $\mathrm{GL}_2(\mathbb{F}_\ell)$ satisfying (i), (ii) and (iii). Then the following also hold:

- (iv) G is properly contained in the normalizer of a split Cartan subgroup, but not in the Cartan subgroup;
- (v) $\ell \geq 7$ and $\ell \equiv 3 \pmod{4}$;
- (vi) Ω contains a G -orbit of size 2.

The proof is essentially combinatorial.

Strategy of the proof

1. Reduce the problem to group theory.
2. Apply a result of Parent (and some CM theory).

The modular curve $X_{\text{split}}(\ell)$

$X_{\text{split}}(\ell)$ parametrizes elliptic curves whose mod- ℓ Galois image lies in the normalizer of a split Cartan subgroup.

Theorem (Parent 2005)

Assume $\ell \geq 11$, $\ell \neq 13$ and $\ell \notin \mathcal{A}$. The only non-cuspidal rational points of $X_{\text{split}}(\ell)(\mathbb{Q})$ are CM points.

The excluded set of primes \mathcal{A} is infinite, but happily it only contains primes congruent to 1 mod 4.

Ruling out complex multiplication (CM)

If E/\mathbb{Q} has CM by \mathcal{O} then $h(\mathcal{O}) = 1$.

If the mod- ℓ Galois image of E satisfies (i), (ii), and (iii), then the main lemma implies that E is ℓ -isogenous to two curves defined over a quadratic extension of \mathbb{Q} .

These curves must have CM by \mathcal{O}' with $h(\mathcal{O}') = 2$.

CM theory requires $[\mathcal{O} : \mathcal{O}'] = \ell$.

Since $h(\mathcal{O}')/h(\mathcal{O}) = 2$, we must have $\ell \leq 7$.

Strategy of the proof

1. Reduce the problem to group theory.
2. Apply a result of Parent (and some CM theory).
3. Handle the case $\ell = 7$.

The case $\ell = 7$

We are interested in elliptic curves whose Galois image in $\mathrm{PGL}_2(\mathbb{F}_7)$ is dihedral of order 6.

The modular curves that parametrize elliptic curves with a given level 7 structure have been classified by Elkies.

The corresponding modular curve C is a quotient of $X(7)$ that corresponds to a twist of $X_0(49)$.

The curve C has exactly 2 rational points over \mathbb{Q} .

They both correspond to the j -invariant $2268945/128$ of

$$y^2 + xy = x^3 - x^2 - 107x - 379.$$

A local-global principle for rational isogenies of prime degree

Andrew V. Sutherland

Massachusetts Institute of Technology

July 13, 2010

<http://arxiv.org/abs/1006.1782>