

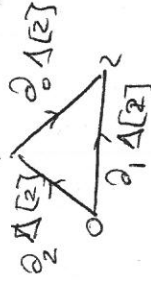
- History
  - Boardman-Vogt (1973)
  - Hirschowitz and Simpson (1992) - Segal categories
  - Toën and Vezzosi, HAG (higher topoi)
  - Rezk (higher topoi)
  - Lurie (higher topoi)

The notion of q.c

$$S = [\Delta^0, \text{set}] \text{ s. sets.}$$

$$\Delta[n], \partial\Delta[n] = \bigcup_{i \in \{0, \dots, n\}} \Delta[i]$$

$$\Lambda^k[n] = \bigcup_{l \neq i_0} \Delta[i_l]$$



A Kan complex is a simplicial set  $X$  which satisfies the Kan complex condition:

$$\forall \Lambda^i[n] \rightarrow X \quad \exists \Delta[n] \rightarrow X \quad (\text{you can fill every horn})$$

A quas-category is a s.s.  $X$  which satisfies the Boardman condition:

$$\forall \Lambda^i[n] \rightarrow X \quad \exists \Delta[n] \rightarrow X \quad 0 < i < n \quad (\text{you can fill every inner horn})$$

Other names: Boardman complex; weak Kan complex; inner Kan complex; infinity categories.

$QCat \subset S$  The full subcategory of q-cat

- $QCat$  is closed under products.
- $X \in QCat$  and  $A \in S \Rightarrow X^A \in QCat$  (Cordero and Porter?)

## 2) The Nerve Functor

$N: \text{Cat} \rightarrow S$  Cat is the category of small categories.

$\Delta \subset \text{Cat}$   $[n]$  is a Category (it is a poset).

$$(NE)_n = \text{Cat}([n], \mathcal{C}) = \left\{ c_0 \xrightarrow{f_0} c_1 \rightarrow \dots \xrightarrow{f_n} c_n \mid f_i \in \mathcal{C} \right\}$$

$N$  is fully faithful. We denote  $NE$  by  $\mathcal{C}$  (same information).  $N: \text{Cat} \subset S$

The functor  $N$  has a left adjoint  $\tau_1: S \rightarrow \text{Cat}$

$\tau_1 X$  is the fundamental category of a simplicial set  $X$ .

$$\tau_1 X = G(\tau_1 X) \quad G\mathcal{C} = \mathcal{C}^{-1}\mathcal{C} \quad (\text{groupoid generated by } \mathcal{C}).$$

$$X_0 \rightrightarrows X_1 \rightrightarrows X_2$$

$\tau_1 X$  only depends on the 2-skeleton

$$\tau_1(X \times Y) \xrightarrow{\sim} \tau_1(X) \times \tau_1(Y)$$

The functor  $\tau_1$  preserves finite products

$\text{Cat} \subset \text{QCat} \subset S$   $\tau_1$  induces  $ho$ .  $ho X$  is the homotopy category of a quasi-category  $X$ .

$$I = \Delta[1]$$

$a, b \in X_0$ , define  $X(a, b)$  the simplicial set of arrows  $a \rightarrow b$ , as

$$\begin{array}{ccc} X(a, b) & \longrightarrow & X^I \\ \downarrow & & \downarrow (s, t) \\ 1 & \xrightarrow{(a, b)} & X \times X \end{array} \quad \{0, 1\} \in I$$

$$\begin{array}{ccc} [f] & \xrightarrow{b} & [g] \\ \searrow & & \nearrow \\ a & \xrightarrow{[a, t]} & c \end{array}$$

$$\begin{array}{ccc} f & \xrightarrow{b} & h: \Lambda^1[2] \longrightarrow X \\ \searrow & & \downarrow \\ a & \xrightarrow{[a, t]} & c \end{array} \quad \Delta[2]$$

Fill it

Define  $[g] \cdot [f] = [0, t]$

Thm (Joyal) A g.c.  $X$  is a Kan complex if and only if  $ho X$  is a groupoid.

$$\begin{array}{ccc} \text{Gpd} \subset \text{Cat} & & \text{Cat} \cap \text{Kan} = \text{Gpd} \\ \downarrow \text{ho} & \downarrow \text{ho} & \\ \text{Kan ex} \hookrightarrow \text{QCat} & & \end{array}$$

$$\begin{array}{ccc} \text{Gpd} & \xleftrightarrow{g} & \text{Cat} \\ \uparrow \text{left adjoint} & & \downarrow \text{right adjoint} \\ \text{Gpd} & \xleftrightarrow{J} & \text{Cat} \end{array}$$

$J(C)$  = the gd of isomorphisms in  $C$   
(largest gpd contained as subcat).

$$\text{Kan} \xleftrightarrow{J} \text{QCat} \quad J(X) = \text{largest sub-Kan ex of a quasi-cat.}$$

This is the construction of  $J(X)$ .

$$\begin{array}{ccc} JX & \xrightarrow{\quad} & J(ho X) \\ \downarrow & \lrcorner & \downarrow \\ X & \xrightarrow{\quad} & ho X \end{array}$$

(by adjointness)

The 2-cat  $\mathcal{S}$

$\mathcal{L}(A, B) := \mathcal{L}(B^A)$  This defines a category of arrows from  $A$  to  $B$ .

Composition  $C^B \times B^A \xrightarrow{c} C^A$   
 $\mathcal{L}(C^B) \times \mathcal{L}(B^A) \longrightarrow \mathcal{L}(C^A)$  ( $\mathcal{L}$  preserves products)

Can define the notions of equivalence and of adjoints. (as in any 2-cat).

$$A \xrightleftharpoons[g]{f} B \quad gf = 1_A, fg = 1_B \quad \text{categorical equivalence of s-sets.}$$

An equivalence of quasi-categories (if  $A$  and  $B$  are quasi-categories).

A map  $f: A \rightarrow B$  is an equivalence in  $\text{QCat}$  iff it is fully faithful and essentially surjective.

1)  $A(a, b) \rightarrow B(fa, fb)$  is a homotopy equivalence  
(def of fully faithful)

2) The map  $\tau_0(f): \tau_0(A) \rightarrow \tau_0(B)$   
 $\tau_0(A)$  = set of isomorphism class of objects in  $\mathcal{C}(A)$ .

Def A map  $u: A \rightarrow B$  is a weak categorical equivalence if the map

$\tau_0(u, X): \tau_0(B, X) \rightarrow \tau_0(A, X)$  is bijective  $\forall X \in \text{Obj}$ .

$\tau_0(A, B) = \tau_0(B^A)$

Thm The category  $\mathcal{S}$  admits a Quillen model structure in which the w.e are the ~~weak~~ weak cat. equiv and the cof are the mono.

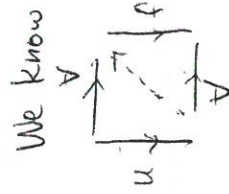
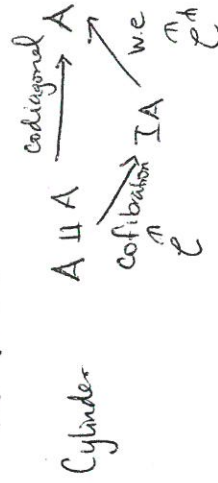
The fibrations are called pseudo-fibrations.

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Observation A model structure on a category is determined by its cofibrations and its fibrant objects.

Sketch of pf  $\mathcal{E} \rightarrow \text{Ho}(\mathcal{E})$   $(\mathcal{C}, \mathcal{W}, \mathcal{F})$   $\mathcal{E}_{\mathcal{F}}$  = subcategory of fibrant objects.

$\text{Ho}(\mathcal{E})(A, B) = h(A, B) = \mathcal{E}(A, B) / \text{left htpy relation}$  for A cofibrant, B fibrant



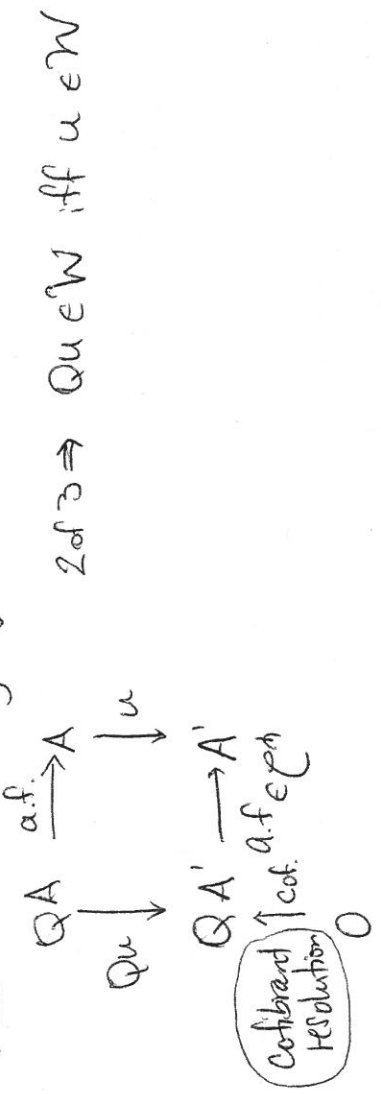
u, h f means  $\mathcal{U}$  has left lifting property w.r.t  $f$

$\mathcal{M}^h = \{f \mid \forall u \in \mathcal{M}, u \text{ h } f\}$  ;  $\mathcal{M} = \{u \mid \forall f \in \mathcal{M}, u \text{ h } f\}$

With cylinder can construct left htpy relation. With  $\text{Ho}(\mathcal{E})(A, B)$  can construct

$\mathcal{W}$ : A map  $u: A \rightarrow A'$  between cofibrant objects is in  $\mathcal{W}$  iff  $\forall X$  fibrant the map  $h(u, X): h(A', X) \rightarrow h(A, X)$  is bijective.

Now take  $A, A'$  any objects.



Cisinski model structure on a topos  $\mathcal{E}$

- $(\mathcal{C}, W, \mathcal{F})$  cofibrantly generated;  $\mathcal{C}$  = monomorphisms
- The classical model structure on  $S = [A^{op}, \text{Set}]$  is determined by the subcategory  $\text{Kan} \subset S$  (co fibrant objects).
- We may denote it  $(S, \text{Kan})$ .
- The fibrations are the Kan fibrations.
- The weak equivalences are the weak homotopy equivalences.
- For open Another model structure given by  $(S, \text{QCat})$
- The weak equivalences are the weak categorical equivalences
- The fibrations are the pseudo-fibrations

- The natural model structure on  $\text{Cat}$
- The weak equivalences are the equivalences of categories.
- The cofibrations are the functors monic on objects ( $u: A \rightarrow B$ ;  $u: \text{Ob}(A) \rightarrow \text{Ob}(B)$  monic)
- The fibrations are the pseudo-fibrations:
 
$$\begin{array}{ccc}
 A & \downarrow f & B \\
 \exists \alpha: C \xrightarrow{\sim} a & \text{s.t.} & f(\alpha) = \beta \\
 \forall a \in \text{Ob}(A) & \exists \text{ iso } \beta: b \xrightarrow{\sim} f(a) & 
 \end{array}$$
 (every isomorphism lifts)
- Other names: iso-fibrations, quasi-fibrations (not recommended)

(i) The notions of left, right and mid fibrations

$$\begin{array}{ccc} \Lambda^i[n] & \longrightarrow & X \\ \downarrow & \searrow f & \downarrow \\ \Delta[n] & \longrightarrow & Y \end{array}$$

Kan fibration

With  $0 < i \leq n$  we call it right fibration.

$0 \leq i < n$  left fibration

$0 < i < n$  mid fibration

$$f: X \rightarrow Y$$

Prop A map between quasi-categories is a pseudo-fibration iff it is a mid fibration and  $ho(f): ho(X) \rightarrow ho(Y)$  is a pseudo-fibration.

Cisinski theory  $\mathcal{E}$  a topos  $\mathcal{C} = \text{mono}$ ,  $\text{triv fib} = \mathcal{C}^{\text{triv}}$

Def A class of maps  $W \subseteq \mathcal{E}$  is called a localizer if

- $W$  has the "3 for 2" property
- $W \cap \mathcal{C}$  is saturated and accessible (ie  $(W \cap \mathcal{C}, \mathcal{F})$  a weak factorization system w/  $\mathcal{F} = (W \cap \mathcal{C})^{\perp}$ )
- $W \ni$  trivial fibrations.

Thm (Cisinski) Any set of maps  $\Sigma \stackrel{\subseteq}{=} \mathcal{E}$  is contained in a smallest localizer  $W(\Sigma)$ .

Given a localizer,  $(\mathcal{E}, W, \mathcal{F})$  gives a Cisinski model structure. The converse is also true. We can order the model structures by inclusion of localizers. There is a minimal localizer, taking  $\Sigma = \emptyset$ .

This poset gives a lattice.

The minimal structure on a topos  $\mathcal{E}$

$$\mathcal{I} \xrightarrow{\text{trivial fibration}} 1$$

$\mathcal{I} \subset$

$\{0, 1\}$

$\stackrel{||}{=} 1+1$

$X \in \mathcal{E}$  is fibrant for  $\mathcal{M}_0$  iff the  $X^I \rightrightarrows X$  are trivial fibrations.

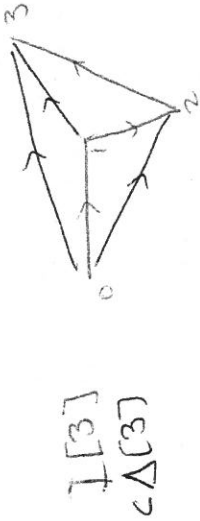
A mono  $A \rightarrow B$  is a weak equivalence for  $\mathcal{M}_0$  iff the map  $X^B \rightarrow X^A$  is a trivial

fibration  $\forall X$  fibrant.

5 (7)

Another description of the quasi-cat model structure on  $S$ .

The  $n$ -chain  $I[n]$  is the union of the segments  $(i, i+1)$  for  $0 \leq i < n$



We will call it the spine of  $\Delta[n]$

The localizer  $W_{cat}$  (weak categorical equivalences) is generated by the spine inclusions:  $I[n] \hookrightarrow \Delta[n]$ . ( $n \geq 0$ ).

-- Cisinski

-- Mark Weber

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(Chapter 12)

Higher Quasi-categories

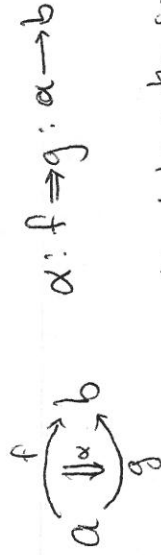
Cal  $n$ -Cat  $n=2$

A 2-category is a category enriched over Cat. Let  $A$  be a 2-cat.

- Set of objects  $Ob A$

-  $\forall a, b \in A$   $A(a, b)$  a category of arrows (1-cells).

The arrows between arrows are called 2-cells.



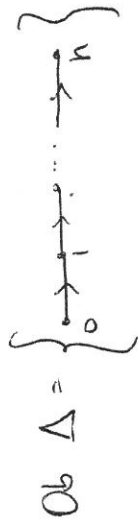
$\Delta \subset \text{Cat}$ . We would like to have the same thing in  $n$ -Cat.

$\Theta_n \subset n$ -Cat  $\Theta_n$  is a category, the objects are  $n$ -categories.

②  $n=2$

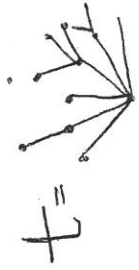


diagrams of the type  $\left\{ \begin{array}{l} \text{may introduce bubbles,} \\ \text{2-cells} \end{array} \right\}$   
 globular graphs  
 (introduced by Batanin)

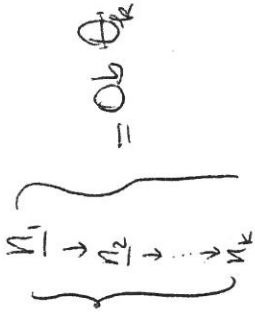


Each ~~these~~ diagram generates freely a 2-category. Convenient to represent the diagram by a tree.

Order preserving map  $\mathbb{Q} \rightarrow \mathbb{G}$



planar tree of height 2.



$\Theta_2 \subset 2\text{-Cat}$  full subcategory of 2-cat, since each diagram generates a 2-category.

$[t] \in \text{Ob } \Theta_2$   $t = \text{tree of height 2.}$

$R: \Theta_2 \rightarrow \text{Top}$

$\Theta_2^{\text{op}}$  = category of 2-disks

Interval: a linearly ordered set  $I$  with first and last elts denoted  $0 \in I$  and  $1 \in I$ .

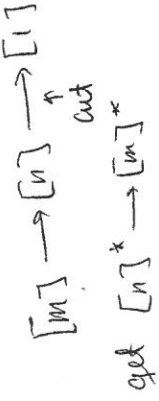
Interval is strict if  $0 \neq 1$ .

A morphism of intervals  $I \xrightarrow{f} J$  is an ordered preserving map, with  $f(0) = 0, f(1) = 1$ .  
 $f \leq g$  linearly ordered, has top and bottom elt.

$[n] \in \Delta, [n]^* = \text{Hom}([n], [1])$

$[0]^* = [1]$  strict interval.

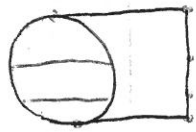
Can pull back the cut



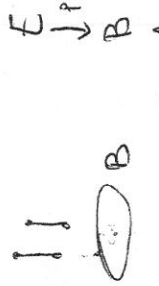
$\mathcal{D}' = \Delta^{\text{op}}$  = category of finite strict intervals.



$\mathcal{D}_2^{op} = \mathcal{D}^2 = \text{category of finite 2-disks. (Batavini-Street-Makka-Zawadzki-Berger)}$



projects onto an interval and each fiber is an interval except at the endpoints where it degenerates to a point:  $A$

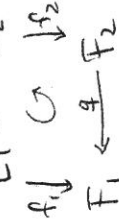


A bundle of intervals is an interval object in  $\text{Set}/B$

A 2-disk is a bundle of intervals  $E_1 \xleftarrow{p} E_2$  with  $E_1$  a strict interval

s.t.  $p^{-1}(a)$  is degenerate iff  $a \in \{0, 1\} \subset E_1$

$f_1$  is a morphism of intervals  
 $f_2$ : fiberwise a morphism of intervals.

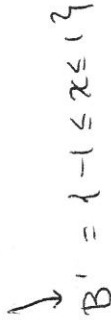


A map of 2-disks:



We will use this to define  $R: (\mathcal{D}^2)^{op} \rightarrow \text{Top}$

Euclidean disk  $B^2 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 1\}$



$E \in \mathcal{D}^2$  compact convex, contravariant by construction.

$R(E) = \text{Hom}(E, B^2) \subset \mathbb{R}^N$   $[t] \in \mathcal{D}_2$   $R([t])$



$$-1 \leq x_1 \leq x_2 \leq x_3 \leq x_4 \leq 1$$

$$-1 \leq y_1 \leq y_2 \leq -1 \leq y_3 \leq y_4 \leq y_5 \leq 1$$

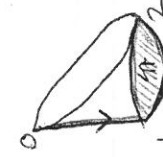
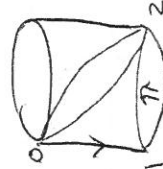
$$x_2^2 + y_1^2 \leq 1$$

$$x_3^2 + y_2^2 \leq 1$$

$$x_3^2 + y_3^2 \leq 1$$

$$x_3^2 + y_4^2 \leq 1$$

$$x_3^2 + y_5^2 \leq 1$$



$\mathcal{D}$ -cell

$R: \mathcal{D}_2 \rightarrow \text{Top}$

presheaves on  $\mathcal{D}_2$

The spine is the Batavini diagram.

⑩  $S[E] \subset \Theta_n[E]$  Spine inclusion.

Cisinski: weak equivalences containing spine inclusions.  
Fibrant objects =  $n$ -Q Cat (use  $n$ -cubes) need a combinatorial description

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Equivalence with simplicial categories

$S\text{Cat}$  = category of categories enriched over  $S$  (ie simplicial categories)

$$\text{Ob } C, C(x,y) \in S \quad \forall x,y \in \text{Ob } C$$

$$C(x_1, x_2) \longrightarrow D(fx_1, fx_2) \text{ maps in } S.$$

simplicial functor  $f: C \rightarrow D$

$ho: S\text{Cat} \rightarrow \text{Cat}$ ;  $ho C$  is the homotopy category of  $C$

$$(ho C)(x,y) = \text{Tot } C(x,y)$$

A map  $f: C \rightarrow D$  is a Dwyer-Kan equivalence if:

- 1) it is homotopy fully faithful:  $C(x,y) \rightarrow D(fx,fy)$  is w.h.e  $\forall x,y \in C$ .
- 2) <sup>htry</sup> essentially surjective:  $ho(f): ho(C) \rightarrow ho(D)$  is essentially surj.

A map  $f: C \rightarrow D$  is a Dwyer-Kan fibration if:

- 1) the map  $C(x,y) \rightarrow D(fx,fy)$  is a Kan fibration  $\forall x,y \in \text{Ob } C$ .
  - 2) The functor  $ho(f): ho(C) \rightarrow ho(D)$  is a pseudo-fibration.
- The functor  $ho(f)$  admits a model structure  $(E, W, F)$

Thm (J. Bergner) The category

$$W = \{DK\text{-equiv}\}, F = \{DK\text{-fib}\}$$

The cohered nerve functor  $\tilde{N}: S\text{Cat} \rightarrow S$  (extends the usual nerve functor)



$$C_*: \Delta \rightarrow S\text{Cat} \quad \tilde{N}(C)_n = S\text{Cat}(C_*[n], C)$$

Defined by Cordier.

We'll define  $C_* : \text{Cat} \rightarrow \text{SCat}$  (recall  $\Delta \subset \text{Cat}$ )

$C_*(A)$  obtained from a cotriple.

(reflexive) Graph  $\xrightleftharpoons[F]{U} \text{Cat} \supset \mathcal{D} \subset \mathcal{C}$   $C = FU$  a comonad

$$X_0 \xrightleftharpoons[U]{S} X_1$$

Get a simplicial resolution:  $A \xleftarrow{\varepsilon} C(A) \xleftarrow{\varepsilon} C^2(A) \xleftarrow{\varepsilon} \dots$

$$(C_*(A)(x,y))_n = C^{n+1}(A)(x,y)$$

$C_*([n])$  has a simple description

$$\text{Ob } C_*([n]) = \{0, 1, \dots, n\} \quad C_*([n])(i,j) = \begin{cases} \emptyset & \text{if } i > j \\ \text{set of paths } i \rightarrow j & \text{in } [n] \text{ if } i \leq j \end{cases}$$



$i \rightarrow j$  is a path given by  $\{i, j\} \in S \in [i, j]$ . (We get a simplicial cube).

It is a poset (by inclusion), so we take the nerve.

$$\begin{aligned} \tilde{N}(C)_0 &= \text{Ob } C \\ \tilde{N}(C)_1 &= \text{Arr}(C) = \text{UC}(x,y)_{x,y \in \text{Ob } C} \end{aligned}$$

homotopy in  $C(a,c)$

Then the functor  $\tilde{N}$  has a left adjoint  $C_1 : \text{S} \rightarrow \text{SCat}$  and the pair  $(C_1, \tilde{N})$  is

Lurie, Joyal

a Quillen equivalence  $(\text{S}, \text{QCat}) \rightleftarrows (\text{SCat}, \text{Bergner})$

Cor (Cordier, Porter) The coherent nerve of a category enriched over Kan complexes is a quasi-category.

Ex Kan = category of Kan complexes.  $\tilde{N}(\text{Kan}) = \mathcal{U}$  - big quasi-cat of all homotopy types.  
 Archetype of an utopos (higher topos or homotopos) (upper-topos)

QCat is enriched over Kan cxs.  $(\mathcal{J}(Z))$  is the Kan complex of isomorphisms of  $\mathbb{E}$   
 $\text{Hom}(x,y) = \mathcal{J}(Y^x)$

$$\textcircled{2} \tilde{N}(\text{QCat}) = \mathcal{U}_1$$

$$\mathcal{U}_0 \in \mathcal{U}_1 \subset \mathcal{U}_2 \subset \dots$$

we will talk about this construction later.

$A \in \text{Cat}$ , presheaves:  $\hat{A} = [A^{\text{op}}, \text{Set}]$

We need an analogue for quasi-categories:

$$\mathcal{U}^{A, \text{op}} = [A^{\text{op}}, \mathcal{U}]$$

You can localize a quasi-category  $X$  w.r.t. a set  $\Sigma$  of arrows in  $X$ .

$\mathcal{J} =$  groupoid generated by one isomorphism  $0 \rightarrow 1$

$$I = \Delta[1]$$

$$\mathcal{J} \times \Sigma \longrightarrow X \quad \text{arrow } f \in X \iff f: I \rightarrow X$$

$$\mathcal{J} \times \Sigma \xrightarrow{\quad} L(X, \Sigma)$$

homotopy pushout

(take a pushout, then take a fibrant replacement to get a q-cat)

$L(\mathcal{E}) := L(\mathcal{E}, W) \approx \tilde{N}(\text{DK localization of } \mathcal{E})$

$\mathcal{E}$  a model category  
 Joyal dreams of a simple construction for the localization of a model category.  
 $L(\mathcal{E})$  (as a q-cat) is complete and cocomplete if  $\mathcal{E}$  is combinatorial (Simpson)

2/8/08 CRM # Joyal

### Homotopy Factorization Systems

Factorization sys on a category  $\mathcal{E}$ :

a) A pair  $(A, B)$  of subcategories s.t

A and B contain the isomorphism

b)  $\forall A \xrightarrow{f} B$  admits a factorization

$$A \xrightarrow{f} B \quad \text{with } u \in A, p \in B$$

and this factorization is unique up to unique isomorphism, i.e.

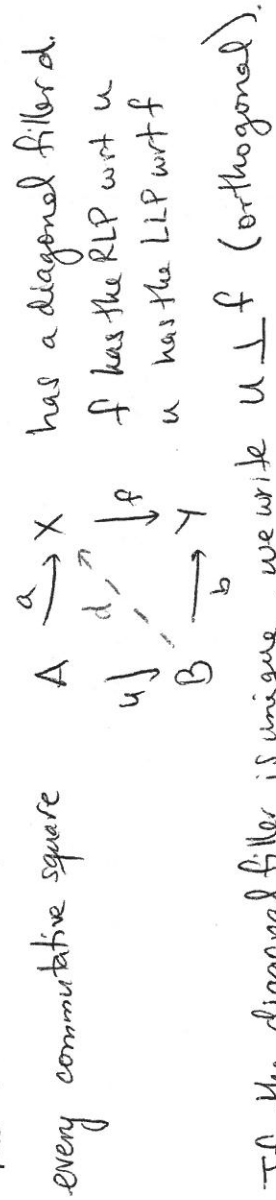
$$A \xrightarrow{f} B \quad \Rightarrow \exists! \text{ iso } u: E \rightarrow E' \text{ s.t.}$$

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ u \nearrow & & \nearrow p \\ E & & E' \end{array} \quad \begin{array}{ccc} & & \nearrow p \\ & & B \\ & \swarrow u & \\ & E' & \nearrow p_i \end{array} \quad \text{commutes.}$$

$$u, u' \in A, p, p' \in B$$

Ex  $\mathcal{E} = \text{Set}$ ,  $\mathcal{A} = \{\text{surjection}\}$ ,  $\mathcal{B} = \{\text{mono}\}$  (13)

The relation  $u \dashv f$  between two maps  $u: A \rightarrow B$ ;  $f: X \rightarrow Y$  means that



If the diagonal filler is unique, we write  $u \perp f$  (orthogonal).

If  $(\mathcal{A}, \mathcal{B})$  is a strict fact. sys. then  $\mathcal{A} \perp \mathcal{B}$

$\mathcal{M} = \text{class of maps.}$

$$\mathcal{M}^\perp = \{f \mid \forall g \in \mathcal{M} \dashv f\}; \quad \mathcal{M}^\perp = \{f \mid f \dashv \mathcal{M}\}, \quad \mathcal{M}^\perp = \{f \mid \mathcal{M} \perp f\}$$

$$\mathcal{M}^\perp = \{f \mid f \perp \mathcal{M}\}$$

If  $(\mathcal{A}, \mathcal{B})$  is a strict fact. sys. then

a)  $\mathcal{B} = \mathcal{A}^\perp = \mathcal{A}^\perp$  and  $\mathcal{A} = \mathcal{B}^\perp = \mathcal{B}^\perp$

b)  $\mathcal{A}$  has the right cancellation property and  $\mathcal{B}$  has the left cancellation property.

$$\forall u \in \mathcal{A} \text{ and } u \circ a \Rightarrow \forall v \in \mathcal{A} \quad (\text{RCP})$$

$$\forall u \in \mathcal{B} \text{ and } v \circ u \Rightarrow \forall v \in \mathcal{B} \quad (\text{LCP})$$

$$\begin{array}{ccc}
 A & \xrightarrow{u} & B & \xrightarrow{v} & C \\
 & & \searrow & \nearrow & \\
 & & & & \text{vu}
 \end{array}$$

2) A weak factorization sys on a category  $\mathcal{E}$  is a pair  $(\mathcal{A}, \mathcal{B})$  of classes of maps st

a)  $\mathcal{B} = \mathcal{A}^\perp$  and  $\mathcal{A} = \mathcal{B}^\perp$

b) every map  $f: A \rightarrow B$  admits a factorization  $f = pu$  with  $u \in \mathcal{A}$  and  $p \in \mathcal{B}$ .

Ex If  $(\mathcal{C}, \mathcal{W}, \mathcal{F})$  is a model structure then  $(\mathcal{C} \cap \mathcal{W}, \mathcal{F})$  and  $(\mathcal{C}, \mathcal{F} \cap \mathcal{W})$  are weak factorization systems

Ex In Cat:  $\mathcal{A} = \text{class of final maps}$ ;  $\mathcal{B} = \text{class of discrete fibrations.}$

is a fact. sys.

14.5 A functor  $E$  is called a discrete fibration if for all  $e \in \text{Ob } E$   
 $\downarrow P$  and  $f: b \rightarrow p(e)$  in  $B$  there exists a unique

arrow  $g: a \rightarrow e$  s.t.  $P(g) = f$ .

ie, if  $I = \{0 \rightarrow 1\}$   $i_1: 1 \rightarrow I$  as target, a ~~map~~ <sup>functor</sup>  $P$  is a discrete fibration iff  $i_1 \perp P$

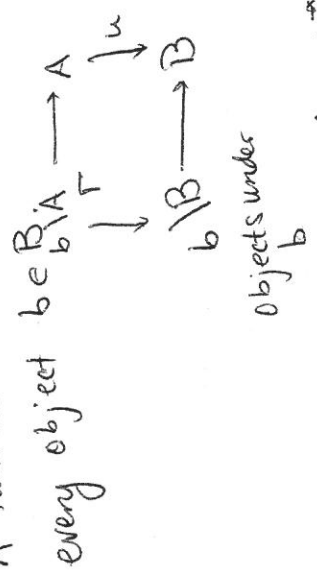
A presheaf  $X: B^{op} \rightarrow \text{Set}$  on  $B$ .

$\text{el}(X) (= B/X) = \coprod_{b \in \text{Ob } B} X(b)$  , ie pairs  $(b, x)$    
 $b \in \text{Ob } B, x \in X(b)$ .

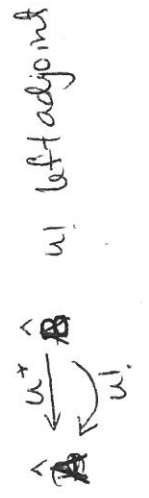
$(\text{el } X) \xrightarrow{f} (b, y)$  is  $a \xrightarrow{f} b$  s.t.  $X(f)(y) = x$

$\text{el}(X)$  projection functor is a discrete functor.  
 $P: \downarrow B$   $[B^{op}, \text{Set}] \simeq \text{Discrete Fib}/B \subset \text{Cat}/B$   
 presheaf cat

A functor  $u: A \rightarrow B$  is final if the category  $b \setminus A$  is connected for



$\text{Ob}(b \setminus B) = \{b \rightarrow a\}$



$A \xrightarrow{u} B$  induces  $\hat{B} \xrightarrow{u^*} \hat{A}$ ,  $u_!$  is the left Kan extension functor.

$u$  is final iff  $u_!(1) = 1$ .

3) Homotopy fact. sys. in a model cat  $E = (C, W, F)$

Take Cat with its natural model structure.

$A$  = class of essentially surjective functors.

$B$  = class of fully faithful functors.



(16) ~~Represent~~ Ex In the model cat  $(S, \text{ocat})$  there exists a Bousfield class  $(A, B')$  where  $B' =$  class of right fibrations

And  $A'$  the class of anodyne maps  
right

2/11/08 CRM // Joyal

- Connection with homotopical categories

"Homotopy limit functor and homotopical categories" (Dwyer-Hirschhorn-Kan-Smith)

Homotopical category  $(C, W)$   $C$ : category,  $W$ : a subcategory of  $C$

satisfying certain axioms.

For example  $C \rightarrow W^C \dots$

Looking as it is in  $S$ .

$$W \times I \longrightarrow C$$



$$W \times J \longrightarrow W^C \text{ pushout in Cat.}$$

$$J = \{0 \rightsquigarrow 1\}$$

Pushout in  
(Htpy po).

$$S : W \times I \longrightarrow C$$



$$W \times J \longrightarrow L(C, W)$$

Dwyer-Kan localization

Any quasi-category  $X$  is equivalent to a quasi-category  $L(C, W)$  for

a homotopical category  $(C, W)$ .

ie the theory of htpical categories is more or less equivalent to the theory of quasi-categories.

$X =$  a simplicial set, in part a presheaf.

$\text{el}(X) =$  cat of elements of  $X$

An object in  $\text{el}(X)$  is a map

$$\Delta[n] \rightarrow X$$

$$\Delta[m] \rightarrow \Delta[m]$$

$$\Delta/X = \text{el}(X)$$

An arrow is a com triangle





There is a natural map:

$$\boxed{\lambda: \text{el}(X) \longrightarrow X}$$

(actually  $N(\text{el}(X)) \rightarrow X$ ).

$$\lambda_0: \text{el}(X)_0 \longrightarrow X_0 \quad 1 \xrightarrow{n} \Delta[n]$$

$$(\Delta[n] \xrightarrow{\alpha} X) \longmapsto \lambda_0(\alpha) = \alpha(n) \text{ top vertex of the simplex.}$$

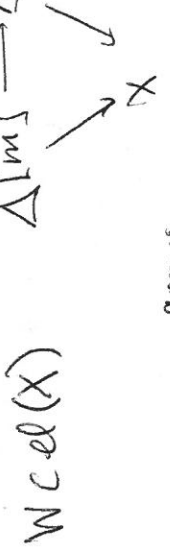
$$\begin{array}{c} \downarrow k_0 \\ \Delta[k_0] \xrightarrow{\alpha_0} \Delta[k_1] \xrightarrow{\alpha_1} \dots \xrightarrow{\alpha_n} \Delta[k_n] \\ \downarrow k_1 \quad \downarrow k_2 \quad \downarrow k_3 \\ \alpha = (\alpha_1, \dots, \alpha_n, \alpha) \quad X \xrightarrow{\lambda_n(x)} \lambda_n(x) \\ \text{chain of simplices} \quad \text{n-simplex} \\ \text{of length } n \end{array}$$

Push to  $\Delta[k_n]$  the top elements of each simplex, so get a map  $\Delta[n] \rightarrow \Delta[k_n] \xrightarrow{\alpha} X$  to get an  $n$ -simplex.

$\lambda$  is a homotopy localization!

$M \subset \Delta$  subcategory  $f \in \Delta[m] \rightarrow \Delta[n]$  f.e.m. if  $f(m) = n$

(preserves top-element)  $\Delta[m] \xrightarrow{f} \Delta[n]$  f.e.m. iff f.e.m. (as in  $\Delta$ )



$\lambda$  inserts the elements of  $W$  (sending them to degenerate 1-simplices, i.e. vertices).

$$L(\text{el}(X), W) \xrightarrow{\sim} X \quad (\text{if } X \text{ is a quasi-category}).$$

Abstract ideal objects:	Kan cks Quasi-categories Segal categories
Concrete presentations:	Set of ideal objects SSet double simplicial set homotopical categories

We need to work out the connection between these two things.  
On the RHS things are more concrete but more complicated. In this course, Joyal is trying to describe LHS. We should work on both sides.

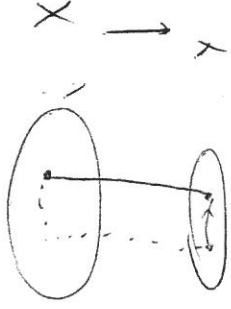
(18) Left & right fibrations

A map  $f: X \rightarrow Y$  is called a right fibration if it has the RLP wrt the horn inclusion  $\Lambda^k[n] \hookrightarrow \Delta[n]$   $0 < k \leq n$

Also called contravariant fibrations

$$I = \Delta[1] \quad i_0 \{1\} \hookrightarrow I \quad \begin{array}{ccc} X^I & \xrightarrow{X^i} & X \\ f^I \downarrow & & \downarrow f \\ Y^I & \xrightarrow{Y^i} & Y \end{array} \quad \text{get:}$$

$$\langle i, f \rangle : X^I \rightarrow Y^I \times_{Y^I} X$$



$f$  is a right fibration iff  $\langle i, f \rangle$  is a trivial fibration

Given  $(x, y) : y \xrightarrow{u} f(x)$  get lifting for  $y$ .

Is a condition of pulling back. So  $f$  can be called a contravariant fibration.

Thm For any simplicial set  $B$ , the category  $S/B$  admits a Cisinski model structure for which the fibrant objects are the right fibrations  $X \rightarrow B$ .

This is called the contravariant model structure.

If  $B$  is a category, then there is a Quillen equivalence between simplicial presheaves over  $B$   $[B^{op}, S]$  and  $S/B$  w/ contravariant model structure.

For any map  $u: A \rightarrow B$ , the pair  $u_! : S/A \rightleftarrows S/B : u^*$  is a Quillen adjunction.

Def  $u$  is final if  $Lu_!$  (left derived functors) takes a terminal object to a terminal object.

Def  $u$  is h. fully faithful if  $Lu_!$  is fully faithful.

Def  $u$  is dominant if  $RU^*$  is fully faithful.

Def  $u$  is a Morita equivalence if  $LU_1$  is an equivalence.

A map  $u: A \rightarrow B$  is final iff it admits a factorization  $eu = A \xrightarrow{u'} B' \xrightarrow{e} B$  where  $e$  is a weak cat. equivalence and  $u'$  is a right anodyne map.

Recall  $\exists$  a w. fact. syst.  $(A, B)$  with  $B = \text{class of right fibrations and } A = \text{class of right anodyne maps. (it is the saturated class generated by the horns } \Delta^k[n] \hookrightarrow \Delta[n] \text{ } 0 < k \leq n).$

Properties of  $(A, B)$  (This is a Bousfield fact sys on  $[S, \text{Cat}]$ )

$A \xrightarrow{a} A' \quad a, b \in \text{Wcat}$   
 $\downarrow u \quad \downarrow u'$   
 $B \xrightarrow{b} B'$

- If  $u$  and  $u'$  are monic, then  $u \in A \Leftrightarrow u' \in A$ .
- If  $u$  and  $u'$  are pseudo fibrations, then  $u \in B \Leftrightarrow u' \in B$ .

$A \xrightarrow{u} B \xrightarrow{v} C$  • if  $u$  and  $v$  are monic, then  $v \in A \Leftrightarrow u \in A \Rightarrow v \in A$   
(right cancellation property)  
• if  $u$  and  $v$  are pseudo fibrations, then  $v \in A \& v \in B \Rightarrow u \in B$   
(left c. prop).

2/12/08 // CRM // Joyal

Today: - Limits and colimits in a quasi-category (Pg 157)

- Limit sketches
- Locally presentable quasi-categories
- Factorization systems in a quasi-category

Initial (and terminal) objects in a quasi-cat

Def An object  $a$  in a quasi-category is initial if every map

$\partial \Delta[n] \xrightarrow{x} X$  with  $n > 0$  and

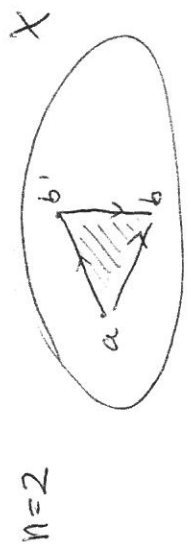
$x(0) = a$  has a filler  $\Delta[n] \xrightarrow{\bar{x}} X$

20)  $S \quad n=1 \quad \Delta[1] = I, \partial\Delta[1] = \{0,1\}$

A map  $\mathcal{X}: \{0,1\} \rightarrow X$  such that  $\mathcal{X}(0)=a$  is just an object  $b = \mathcal{X}(1)$ .

In this case, the condition means that for every object  $b \in X_0$  there exists an arrow from  $a$  to  $b$  ( $a \xrightarrow{f} b, f = \bar{x}$ )

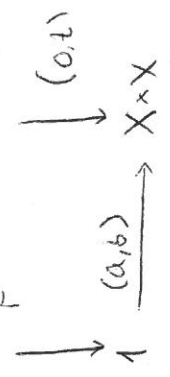
(You would like it to be unique up to homotopy)



ie, there is a htpy between any two arrows between  $a$  and  $b$ .  
The uniqueness of this htpy is taken care by  $n=3$ , and so on.

Remark When  $X$  is a category, this extends the notion of initial object.

Recall:  $X(a,b) \xrightarrow{f} X^I$



Thus An object  $a \in X$  is initial iff the simplicial set  $X(a,b)$  is contractible for every  $b \in X_0$ .  
(Contractible:  $X(a,b) \rightarrow 1$  is a htpy equiv)

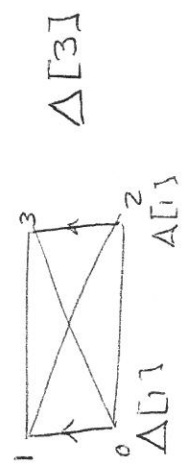
ie the arrow between  $a$  and  $b$  is htpy unique.

Thm A vertex  $a \in X$  is initial iff the map  $1 \xrightarrow{a} X$  is left adjoint to the map  $X \rightarrow 1$  (in the 2-category  $S^{2_1}$ ).

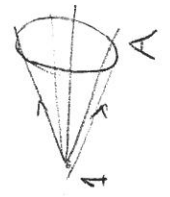
Cones

Based on the join  $A+B$  of two simplicial sets.

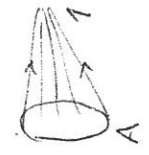
$\Delta[m] * \Delta[n] = \Delta[m+n+1]$



$1 * A = \text{projective cone}$



$A * 1 = \text{inductive cone}$



Definition of join (maybe not the most useful one)

$$i: \mathcal{D}I \subset I \quad (\text{ie } \{0,1\} \subset I)$$

$$i^*: S/I \xrightarrow{\cong} S/\mathcal{D}I : i^* \quad (\text{has a right adjoint})$$

$$S/\mathcal{D}I \simeq S/\{0,1\} = S * S$$

$$\text{So we have } i_*: S * S \xrightarrow{i^*} S/I \xrightarrow{\text{forget}} S$$

is the composite of a right adjoint and a left adjoint.

$$\text{It is associative: } (A * B) * C \simeq A * (B * C)$$

$$\text{Unit: } \phi * A \simeq A * \phi$$

It doesn't have a right adjoint. ( $A * \phi \neq \phi$  initial object)

We have natural inclusions  $A \subseteq A * B$  and  $B \subseteq A * B$

$$A \simeq A * \phi \longrightarrow A * B$$

$$(-) * B: S \longrightarrow B \setminus S$$

$$\{B \rightarrow X\}$$

$$A \longmapsto (B \rightarrow A * B)$$

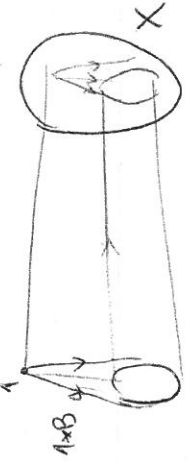
This functor does have a right adjoint.

The right adjoint associates to  $b: B \rightarrow X$  a simplicial set  $X/b$ .

A simplex  $\Delta[n] \rightarrow X/b$  is the same thing as a map  $\Delta[n] * B \rightarrow X$  (by adjointness) which extends the map  $b: B \rightarrow X$

A vertex  $a \in X/b$  is a map  $c: 1 * B \rightarrow X$  which extends

$$b: B \rightarrow X$$



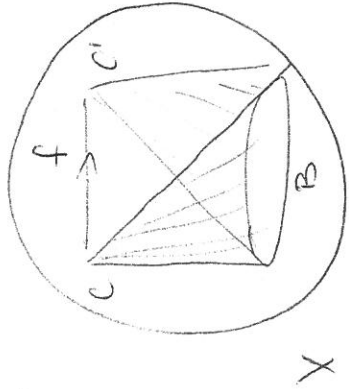
A vertex of  $X/b$  is a projective cone with base  $b$  in  $X$ .

$$B \quad \text{An object of } X/b \text{ is an arrow from } a \rightarrow b \quad (b: 1 \rightarrow X)$$

If  $B = \emptyset$ . An object is a generalized slice (this construction is a generalized slice)

The arrows in the case above are:  $a \rightarrow a'$

22) J

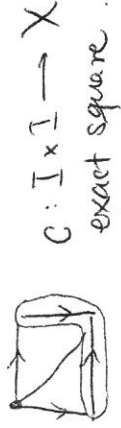


$c, c' \in X/b$   
 arrows  $f: \Delta[I] \triangleright B \rightarrow X$  extending  $b: B \rightarrow X$

Def A projective cone  $C: 1 \triangleright B \rightarrow X$  in  $X/b$  ( $b: B \rightarrow X$ ) is said to be exact if  $C$  is a terminal object of  $X/b$ .

The limit ( $\varprojlim b$ ) is defined to be the apex  $C(1)$  of an exact projective cone  $C$  with base  $b$ .

Pullback square  $I \times I$  is a projective cone



Thm A quasi-category is complete iff it admits products and pullbacks.

Limit sketches

A projective cone in a simplicial set is a map  $c: 1 \triangleright K \rightarrow A$ .

A limit sketch is a pair  $(A, P)$  where  $A$  is a simplicial set and  $P$  is a

set of projective cones in  $A$ .

A map  $A \xrightarrow{f} X$  ( $X$  a category)

is a model of  $(A, P)$  if  $f$  takes every

cone in  $P$  to an exact cone in  $X$ .

ie, If  $c: 1 \triangleright K \rightarrow A$  in  $P$ , then  $f \circ c: 1 \triangleright K \rightarrow X$  is exact.

(The quasi-category of Kan cosk.)

Most of the time, interested on models in  $U$  (the quasi-category of Kan cosk.)

$U$  is complete and cocomplete.

$\text{Mod}(A/P, X)$  the quasi-category of models  $(A, P) \rightarrow X$ .

$\prod_{X^A}$  full simplicial subset spanned by the models.

$\text{Mod}(A/P) = \text{Mod}(A/P, U)$

Def A quasi-category  $X$  is locally presentable if it is equivalent to a quasi-category  $\text{Mod}(A/P)$  for some limit sketch  $(A, P)$ .

2/13/08 CRM # Joyal

- Algebraic theory
- Cartesian theory
- Categories in a quasi-category
- Factorization systems in a quasi-category
- Higher Categories

Lawvere (1963)

- An algebraic theory is a category with finite products. A theory  $T$  is unsorted if  $\text{Ob } T = \{A^n \mid n \geq 0\}$  for some  $A \in \text{Ob } T$ .
- A model of  $T$  is a functor (product preserving)  $T \rightarrow \text{Set}$ .
- A model of  $T$  with values in a cd  $C$  (w/products) is a product preserving functor  $T \rightarrow C$ .

In q-cat

- An algebraic theory  $T$  is a quasi-category with finite products.  $X$  is a map
- A model for  $T$  w/ values in a quasi-category w/ finite products  $X$  is a map  $f: T \rightarrow X$  which preserves finite products.

$\text{Mod}(T, X) \subset X^T$  is the full simplicial subset of  $X^T$  spanned by the models.

quasi-cat If  $X = \mathcal{U}$ ,  $\text{Mod}(T) := \text{Mod}(T, \mathcal{U})$

•  $\text{Mod}(T)$  is complete and co complete.

•  $\text{Mod}(T) \subset \mathcal{U}^T$  admits a left adjoint.

AT the category of algebraic theories (in q-cat)

map:  $T \xrightarrow{f} T'$  is a product preserving map.

$AT \subset S$   
Subcat

245 The category  $\mathcal{A}T$  is a 2-category (since  $S$  is a 2-category)

It is symmetric monoidal.

$\text{Mod}(T, T')$  has finite products (product of two morphisms is pointwise).

This defines  $\text{Hom}(T, T')$  in  $\mathcal{A}T$ .

There is a tensor  $T \otimes T'$  universal property (unique up to equivalence)

$T \times T' \rightarrow X$  product preserving in each variable.

For any quasi-category with finite products  $X$ , we have an equivalence of

quasi-categories  $\text{Mod}(T \otimes T', X) \simeq \text{Mod}(T, \text{Mod}(T', X))$

In particular  $\text{Mod}(T \otimes T') \simeq \text{Mod}(T, \text{Mod}(T'))$

Ex  $\text{Mon}$ : the algebraic theory of monoids. (in  $q\text{-cat}$ ).

It is the same as the Lawvere theory of monoids (it is indeed a category).

$\text{Mon} \circ \text{Mon}$  is classically the theory of Abelian monoids.

$\text{Mod}(\text{Mon} \circ \text{Mon}, \text{Set}) = \text{Mod}(\text{Mon}, \text{Mod}(\text{Mon}, \text{Set}))$

(get a monoid in the category of monoids, and you can prove this is the same as an abelian monoid).

Conjecture:  $\text{Mon} \circ \text{Mon}$  (in  $q\text{-cat}$ ) is the theory of braided monoids.

More generally,  $\text{Mon}^{\circ n}$   $n$ -fold monoids should be the theory of  $E_n$ -spaces.

There is a simplicial category of  $E_n$ -spaces:  $\mathbb{F}E_n$

$\tilde{N}(E_n) \stackrel{?}{\simeq} \text{Mod}(\text{Mon}^{\circ n})$

$\mathcal{A}T$  has a unit  $\text{OB}$  for  $\odot$ .

$\text{OB}$  is the theory of a naked object. (no algebraic structure)

$(\text{OB})^{\text{op}} \simeq \text{finite sets}$



$\mu: \text{Ob} \rightarrow \text{Mon}$  canonical map.

$$\text{Ob} \xrightarrow{\mu} \text{Mon} \xrightarrow{\mu \circ \text{Mon}} \text{Mon}^2 \xrightarrow{\mu \circ \text{Mon}^2} \text{Mon}^3 \xrightarrow{\dots}$$

The colimit should be the theory of Eo-spaces. (conjecture)  $\sim \text{Mon}^\infty$ .

Grp = algebraic theory of gps.

$(\text{Grp})^{\text{op}}$  = category of finitely generated free groups.

$\text{Grp}^{\text{on}}$ : the conjecture should imply that this is the theory of  $n$ -fold loop spaces.

Cartesian theories  
T is a quasi-category with finite limits.

Def A cartesian theory  $T = \text{Mod}(T, \mathcal{U})$ , ... etc

$\text{Mod}(T, X)$ ,  $\text{Mod}(T) = \text{Mod}(T, \mathcal{U})$ , ... etc

Also  $\exists \text{TOT}$ , defined as a colimit, want  $\text{TOT} \rightarrow \text{TOT}$  preserving finite

limits in each variable.

A sketch  $(A, P)$  is finitary if every cone in  $P$  is finite.

$K$  finite

$$\text{cep} \quad c: 1 * K \longrightarrow A$$

$(A, P) \longrightarrow \text{TOP}$  universal model, Cartesian theory defined by the sketch

$\text{Mod}(A/P) \simeq \text{Mod}(T(A/P))$

Ex T is the theory of categories. It is the universal model for a sketch.

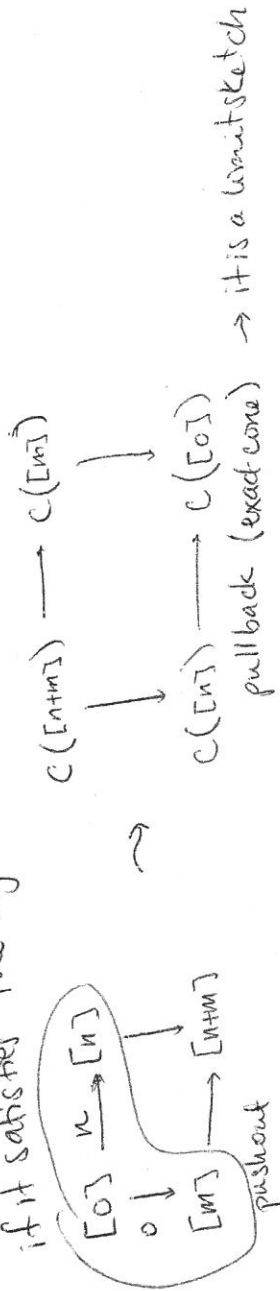
Ex T is the theory of categories. It is the universal model for a sketch.

What is a category object in a quasi-category  $X$ ? It is called a category object

is a map  $c: \Delta \rightarrow X$  (None...)

Def A simplicial object is a map  $c: \Delta \rightarrow X$  (None...)

if it satisfies the Segal conditions (Segal conditions)



16)  $\mathcal{J}$   $\text{Mod}(\mathcal{T}\text{Cat}) = \text{Cat}(\mathcal{U})$   
quasi-category of category objects in  $\mathcal{U}$

$\mathcal{T}\text{Gpd}$  defined similarly

$$i: \mathcal{T}\text{Cat} \longrightarrow \mathcal{T}\text{Gpd} \quad \longleftrightarrow$$
$$\text{Mod}(\mathcal{T}\text{Cat}) \xleftarrow{i^*} \text{Mod}(\mathcal{T}\text{Gpd}) \quad (\text{take a gpd and consider it as a cat})$$

$\mathcal{J}$   
 $i^*$  has a right adjoint  $J$ : subgroupoid of isomorphisms (it has a left adjoint too)

We say that a category  $\mathcal{C}$  satisfies the Rezk condition if the canonical map if the canonical map  $\text{Sk}_0(\mathcal{C}_0) \rightarrow J(\mathcal{C})$  is an isomorphism.  
ie The only isos of  $\mathcal{C}$  are the units.

$\mathcal{T}Cat$  is a quasi-cat w/ finite limits with the property that

$$Mod(\mathcal{T}Cat, X) = Cat(X) \subseteq X^{\Delta^{op}}$$

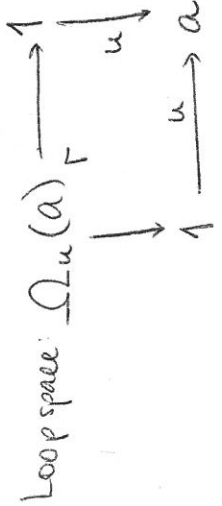
full simplicial set. | satisfying Segal conditions

$$C_n \rightrightarrows \underbrace{C_1 \times \dots \times C_1}_{n} \times C_0$$

$\mathcal{T}Spec$  (theory of spectra)  
 Def A pointed object in  $X$  (a Cartesian quasi-category) is a morphism

$$1 \xrightarrow{u} a$$

where 1 is the terminal object.

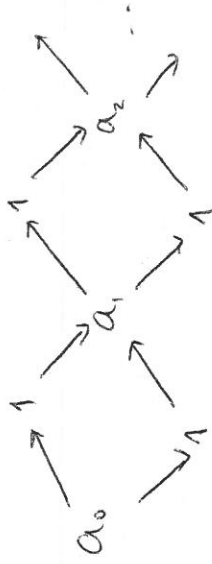
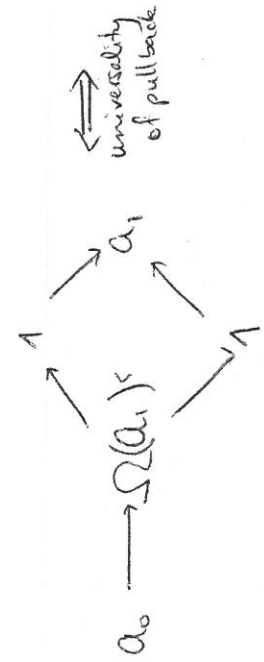


A prespectrum in  $X$  is an infinite sequence of pointed objects  $1 \xrightarrow{u_n} a_n$  (NZO), equipped with an infinite sequence of arrows

$$f_n : a_n \rightarrow \Omega(a_{n+1})$$

It is a spectrum if  $f_n : a_n \xrightarrow{\sim} \Omega(a_{n+1})$  is invertible.

It is given by a limit sketch:



data of prespectrum

28) The notion of a spectrum is defined by a finitary limit sketch  $(A, P)$

$$T(A/P) = TSpec \text{ (universal model)}$$

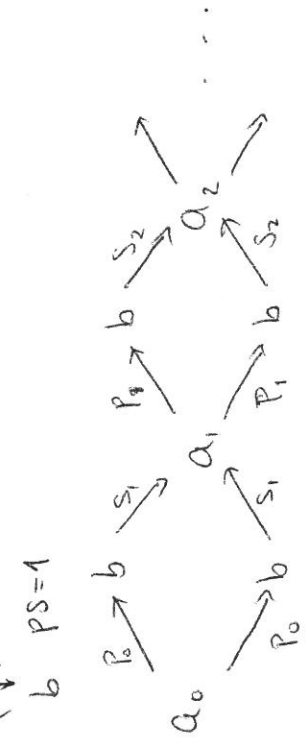
$$Mod(TSpec, X) = Spec(X) \text{ quasi-category of Spectra in } X$$

Example  $\tilde{N}(sComm) = X \text{ Spec}(X) =$

\*  $Spec(X/b)$  Spectrum with base  $b$

A spectrum in  $X/b, b \in X_0$

$s \int_{b, PS=1}^{a_0} a_0$  a pointed object /  $b$



To be a spectrum, you want each square to be a pullback.

$PSpec =$  theory of parametrized spectra (varying bases)

This morning, Toën was looking at  $PSpec(X)$  where  $X = \tilde{N}(sComm)$

Thm (G. Biedermann & J.)

If  $X$  is a higher topos (utopos) then  $PSpec(X)$

is also a utopos.

1)  $X = \mathcal{U} = \tilde{N}(Kan)$  archetype of a utopos.

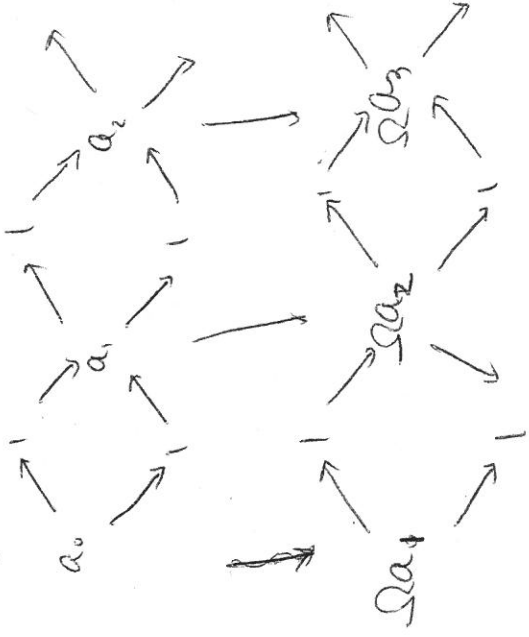
2)  $\mathcal{U}^{A^op}$  also a utopos

3) A left exact reflection of  $\mathcal{U}^{A^op}$  is a utopos.

I.e., a utopos is a subcategory  $X \hookrightarrow \mathcal{U}^{A^op}$  r left exact reflection

Simpson, Rezk

To every prespectrum  $\mathcal{U}$  associate a spectrum



Get a map of prespectra. Repeat the process, take colimit (stabilization process), get a spectrum. This construction preserves finite limits.

$$\text{Spec}(\mathcal{U}) \hookrightarrow \text{Spec}'(\mathcal{U}) \xrightarrow{\cong} \text{prespectra}$$

↖ ↗

→ but this is not a topos (have a basepoint)

Fix: let basepoint vary (b), replace by parametrized construction and it works!

$$\text{PSpec}(\mathcal{U}) \hookrightarrow \text{PSpec}'(\mathcal{U}) \cong \mathcal{U}^{\mathbb{D}} \text{ so a topos}$$

↖ ↗  
category of diagrams =  $\mathcal{U}^{\mathbb{D}}$

G. Bredermann has an idea to relate to Goodwillie calculus. (Goodwillie tangent space)



Motivations and objectives

Main problem in moduli theory  $F: \text{Comm} \longrightarrow \text{Sets}$  moduli functor  
Cat of comm rings Cat of sets

$A \in \text{Comm}$ , think of  $F(A)$  as the sets of families of objects in  $F$  parametrized by  $\text{Spec } A$ .

Main question: is  $F$  representable? i.e.  $\exists$ ? a scheme  $X$  together with

functional bijection  $F(A) = \text{Hom}(\text{Spec } A, X)$

When  $X$  exists, it is called a moduli space for  $F$ , or a solution to the moduli problem  $F$ . We also say,  $F$  is representable by  $X$ .

Remarks i) Moduli spaces are a nice way to construct interesting schemes.

2) Understanding  $X \rightleftharpoons$  " solving the classification problem related to  $F$ .  
 $M_g \rightleftharpoons$  " " " of alg curves.

Example:  $\Gamma = \text{distinct gp}$  (finitely presented).

Pb Classify linear f.d representations of  $\Gamma$ .

$R(\Gamma): \text{Comm} \longrightarrow \text{Sets}$

$A \longmapsto R(\Gamma)(A)$

= set of isomorphism classes of  $A[\Gamma]$ -modules where the underlying  $A$ -module is projective of finite type.

= set of isomorphism classes of vector bundles

on  $\text{Spec } A$  together with a  $\Gamma$ -action.

Q: Is  $R(\Gamma)$  representable?

$R'_n(\Gamma): \text{Comm} \longrightarrow \text{Sets}$

$A \longmapsto \text{Hom}_{G_p}(\Gamma, \text{GL}_n(A))$

$n \geq 0$

$R'(\Gamma) = \coprod_{n \geq 0} R'_n(\Gamma) \xrightarrow{\pi} R(\Gamma)$

$(\Gamma \xrightarrow{I} \text{GL}_n(A)) \longmapsto (A \xrightarrow{I} \Gamma)$

Fix underlying  $A$ -module to be  $A^n$ .

Strategy: 1)  $R'_n(\Gamma)$  is representable

2)  $R'_n(\Gamma) \rightarrow R(\Gamma)$  is  $GL_n$ -equivariant

$$\begin{array}{c} \nearrow \\ R'_n(\Gamma) / GL_n \end{array} \xrightarrow{\cong} R(\Gamma)$$

would like  $R'_n(\Gamma) / GL_n$  to be representable.

1)  $R'_n(\Gamma)$  is representable by an affine scheme.

$$GL_n: \text{Comm} \rightarrow \text{Sets}$$

$$A \mapsto GL_n(A)$$

presheaf of sets

$n \times n$  invertible matrices.

$$\begin{array}{ccc} \text{Spec } \mathbb{Z} [T_{ij}] [\det T_{ij}^{-1}] & \xrightarrow{\cong} & \mathbb{Z} [T_{ij}] [\det T_{ij}^{-1}] = \mathbb{C}_n \\ \uparrow & & \downarrow \\ \text{Spec } A & & A \end{array}$$

$$\text{In general } \Gamma = \langle g_1, \dots, g_m \rangle \langle r_1, \dots, r_p \rangle, \text{ Hom}(\Gamma, GL_n(A)) \rightarrow GL_n(A)^m$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \{1\} & \longrightarrow & GL_n(A)^p \end{array}$$

We have a fibred product of functors  $R'_n(\Gamma) \rightarrow GL_n(A)^m$

$$\begin{array}{ccc} \downarrow & \downarrow \varphi & \\ \{1\} & \longrightarrow & GL_n(A)^p \end{array}$$

but: affine schemes are stable under fibred products, i.e.

$$F \rightarrow \text{Spec } A \Rightarrow F \cong \text{Spec}(A \otimes B)$$

$$\text{Spec } B \rightarrow \text{Spec } C$$

$$\Rightarrow R'_n(\Gamma) = \text{Spec}(C_n^{\otimes m} \otimes_{C_n^{\text{cop}}} \mathbb{Z})$$

Observation  $\rightarrow C_n^{\otimes m}$  is not flat /  $C_n^{\text{cop}}$  (in general)

\*  $R'_n(\Gamma)$  is the schematic intersection of the graph of  $\varphi$  and  $GL_n^m \times \{1\}$  inside  $GL_n^m \times GL_n^p$  and the intersection can be bad (not transversal; not of the expected dimension).



$\Rightarrow R_n(\Gamma)$  is badly behaved  
 - badly singular  
 - not of the expected dimension.

Philosophical point 1: We should always replace with derived  $\otimes$ .

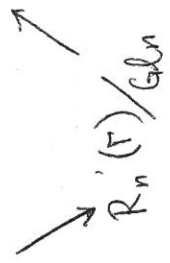
The "right" moduli space for  $R_n(\Gamma)$  is "Spec"  $(C_n^{om} \underset{C_n^{op}}{\otimes} Z)$

$\overset{\#}{\otimes}$  makes

Conclusion 1 We should replace Comm by another category for which  $\overset{\#}{\otimes}$  makes sense (ie simplicial comm rings).

$\mathbb{A}^1 R_n(\Gamma) / GL_n \xrightarrow{P} R(\Gamma)$   $GL_n(A)$  by conjugation on  $\text{Hom}(\Gamma, GL_n(A))$

$GL_n$  acts on  $R_n(\Gamma)$ , ie for  $A \in \text{Comm}$ , acts  $GL_n(A)$   
 $R_n(\Gamma) \rightarrow R(\Gamma)$  is conjugation invariant



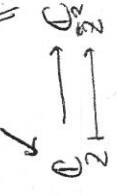
$P$  is close to be an isomorphism. It is a monomorphism, but it is not surjective. However it is locally surjective for the Zariski topology.

So  $P$  is a local isomorphism. So  $P$  is a scheme with a  $G$ -action ( $G$  a group scheme), the quotient

In general, if  $X$  is a scheme with a  $G$ -action ( $G$  smooth, affine)

sheaf  $X/G$  is not representable.  $\Downarrow t \mapsto -t$

eg  $X = A^1 = \text{Spec } Z[t]$ ,  $G = Z/2$  would be representable as



$X/Z/2$  is not representable. If it were then  $\mathbb{C}/Z/2$  would be representable as an analytic space.

In general when  $X/G$  has fixed points  $X/G$  is not representable. In general when  $X/G$  we should rather use the "groupoid"

Instead of the quotient (pre)sheaf  $X/G$  we should rather use the "groupoid"  $E$  is set  $\mathcal{O} H = \text{gp}$   $e \mapsto e' = t e H | h e = e'$   
 $[E/H] = \text{groupoid whose objects are cpts of } E$ , morphisms  $e \mapsto e' = t e H | h e = e'$

$$\textcircled{4} \tau_0([E/H]) \simeq E/H$$

$$\text{Aut}(e) = \{h \in H \mid h \cdot e = e\} \text{ stabilizer}$$

$$[R_n(\Gamma)/GL_n] : \text{Comm} \longrightarrow \text{Groupoids} \subseteq \mathcal{S}\text{Sets}$$

Philosophical pt 2: quotients should be derived

$$\Rightarrow \text{we need } \text{Comm} \longrightarrow \mathcal{S}\text{Sets}$$

Conclusion: In order to really understand  $R(\Gamma)$  we need a notion of representability (of 'schemes', "alg stacks") for functors

$$\mathcal{S}\text{Comm} \longrightarrow \mathcal{S}\text{Sets}$$

smp. comm. rings

2/5/08 / Toën

Simplicial presheaves and stacks

Reminder We need a notion of representability for functors  $F: \mathcal{S}\text{Comm} \longrightarrow \mathcal{S}\text{Sets}$ .

We start to study  $F: \text{Comm} \longrightarrow \mathcal{S}\text{Sets}$

$F: \mathcal{C}^{\text{op}} \longrightarrow \mathcal{S}\text{Sets}$  — fix universes

In this lecture:  $\mathcal{C}$  is a Grothendieck site,  $F: \mathcal{C}^{\text{op}} \longrightarrow \mathcal{S}\text{Sets}$  ("small") — "small presheaves" (see Choury's talk)

$(\mathcal{C}, \tau)$  is a Grothendieck site: —  $\mathcal{C}$  is a category with finite limits  
—  $\tau$  a topology on  $\mathcal{C}$ , i.e.  $\forall X \in \mathcal{C}$ , we are given

families + conditions:

a set of families  $\{X_i \rightarrow X\}_i$ , called Cohen ~~stack~~ families + conditions

\*  $\{X_i \rightarrow X\}$  is a Cohen family and  $\{Y_i \rightarrow X\}$ , then  $\{X_i \times_{Y_i} X\}$  is a Cohen family.

\*  $\{X \xrightarrow{\text{id}} X\}$  is a Cohen family  $\Rightarrow \{X_{ij} \rightarrow X\}_{i,j}$  is also Cohen.

\*  $\{X_i \rightarrow X\}$  is a Cohen family,

$$\mathcal{C} = \text{Fun}(\mathcal{C}^{\text{op}}, \text{Sets})$$

$\text{Pr}(\mathcal{C}) = \text{Cat of presheaves on } \mathcal{C}$

$\downarrow$  (left adj) (sheafifying)

$$\text{Sh}(\mathcal{C}) = \text{Cat of sheaves} = \{F \in \text{Pr}(\mathcal{C}) \mid \forall \{X_i \rightarrow X\} \text{ Cohen } (F(X) \rightarrow \prod_i F(X_i)) \Rightarrow \prod_{i,j} F(X_i \times X_j) \text{ exact}\}$$

$\text{Spr}(C) = \text{Cat of simplicial presheaves on } C = \text{Fun}(C^{\text{op}}, \text{Sets})$

T 5

There is a model cat. structure on  $\text{Spr}(C)$  for which fibrations (resp equiv)

are  $f: F \rightarrow G$  s.t.  $\forall X \in C, F(X) \rightarrow G(X)$  is a fibration (resp an equiv) for the standard model structure on  $\text{Sets}$ .

presheaf of connected cpts.

-  $F \in \text{Spr}(C)$      $\Pi_0^r(F): C^{\text{op}} \rightarrow \text{Set}$   
 $X \mapsto \Pi_0(F(X))$

$\Pi_0(F)$  is the associated sheaf to  $\Pi_0^r(F)$ .

$K \in \text{Ssets},$   
 $\Pi_i(K, x) := \tilde{\pi}_i(|K|, x)$

$X \in C, s \in F(X)_0$  (a point, 0-simplex)

Define  $\Pi_i^r(F, s): (C/X)^{\text{op}} \rightarrow \text{Sets}$   
 $(Y \rightarrow X) \mapsto \Pi_i(F(Y), u^*(s))$

$\Pi_i(F, s) :=$  associated sheaf to  $\Pi_i^r(F, s)$

Def Let  $f: F \rightarrow G$  in  $\text{Spr}(C)$  is a local equivalence if

\*  $\Pi_0(F) \rightarrow \Pi_0(G)$  is an iso

is an iso.

\*  $\forall X \in C, \forall s \in F(X)_0, \forall i > 0, \Pi_i(F, s) \rightarrow \Pi_i(G, f(s))$

(It induces weak equivalences fiberwise...)

projective fibrations

Thm (Joyal, Jardine - Blander)

The notion of local equivalences and cofibrations (ie the ones defined in model structure above) define a model category structure on  $\text{Spr}(C)$ .

(local model structure)

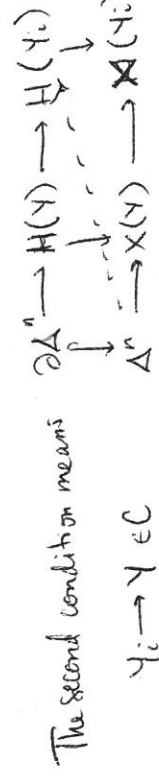
( $X$  as constant simplicial presheaf)

$X \in C, H \in \text{Spr}(C) \quad H \rightarrow X$  in  $\text{Spr}(C)$

$H_n \cong \coprod_{\alpha} X_{n,\alpha}; X_{n,\alpha} \in C$

$H_n \cong \text{Hom}(\Delta^n, H) \rightarrow \text{Hom}(\partial \Delta^n, H) \times_{\text{Hom}(\partial \Delta^n, X)} \text{Hom}(\Delta^n, X)$

is locally surjective



$H$  is contractible as an object over  $X$ .

$Y_i \rightarrow Y \in C$

② Thom (Dugger - Isaksen - Hollander)  $F \in \text{Spr}(C)$  is fibrant  $\iff$

\*  $\forall X \in C, F(X)$  is fibrant

$\kappa \times \dashv X \in C, \forall H \rightarrow X$  hyper covering

$$F(X) \xrightarrow{\text{w. equiv}} \text{holim}_A (F(H_0) \rightrightarrows F(H_1) \rightrightarrows \dots)$$

$$F(H_n) = \text{Hom}(H_n, F) \simeq \prod_a F(X_{n,a})$$

Def A stack on  $C$  is an object  $F \in \text{Spr}(C)$  satisfying condition \*\*.

Def The homotopy category of stacks is  $\text{Ho}(\text{Spr}(C))$  (for the local model structure)

Remark  $\text{Ho}^{\text{lev}}(\text{Spr}(C)) = \text{homotopy cat of } \text{Spr}(C)$  for the levelwise model str.

$\downarrow$  left adjoint (associated stack function)

$$\text{Ho}(\text{Spr}(C)) \simeq \{ F \in \text{Ho}^{\text{lev}}(\text{Spr}(C)) \mid F \text{ is a stack} \} \text{ full subset.}$$

Morphisms in  $\text{Ho}(\text{Spr}(C))$  are denoted by  $[-, -]$ .

$F \rightarrow H \leftarrow G$  diagram of stacks,  $F \times_H^L G$  is the ltpy fiber product of this diagram (constant simplicial set)

Basic examples:  $\text{Pr}(C) \hookrightarrow \text{Spr}(C)$

A presheaf of sets  $F$  is a stack  $\iff F$  is a sheaf.

$\text{Sh}(C) \hookrightarrow \text{Pr}(C) \hookrightarrow \text{Spr}(C) \rightarrow \text{Ho}(\text{Spr}(C))$ , the induced functor is fully faithful,  $\pi_i(F, s) = 0$ .

The ess image of this functor consists of F.s.t  $\forall X, \forall s \in F(X)_0, \pi_i(F, s) = 0$ .

Classifying stacks  $\bullet G: C^{\text{op}} \rightarrow \text{Simp} = \text{simplicial group}$

$$K(G, 1) = BG \rightarrow \text{Ssets}$$

$$\bullet A: C^{\text{op}} \rightarrow \text{SAb} \xrightarrow{B} \text{SAb} \xrightarrow{B} \dots \rightarrow \text{Ssets}$$

$$\implies K(A, n) = B(K(A, n-1)), \quad K(A, 0) = A$$

$X \in C, G$  is a sheaf of gps.

$[X, K(G, 1)] \simeq H^1(X, G)$  set of isomorphism classes of  $G$ -torsors on  $X$ .

$X \in C, A$  is a sheaf of ab gps  $[X, K(A, n)] \simeq H^n(X, A)$

Intrinsic horns  $\text{Ho}(\text{Spr}(C))$  is Cartesian closed.

$\forall F, G \exists \text{RHom}(F, G) \in \text{Ho}(\text{Spr}(C))$  s.t

$[H \times F, G] \simeq [H, \text{RHom}(F, G)]$  functorial on  $H \in \text{Ho}(\text{Spr}(C))$

This is a very good way to construct new stacks from old ones.

2/6/08 # CAM # Toen

Algebraic (n)-Stacks

$C = \text{Affine schemes} = \text{Comm}^{\text{op}}$   $A \in \text{Comm}$   $\text{Spec } A \in \text{Aff}$   $\text{Spec } A$  is flat

$A \rightarrow B$  is étale if  $\begin{cases} * A \rightarrow B \text{ is flat i.e. } \text{Spec } B \rightarrow \text{Spec } A \text{ is flat} \\ * B \text{ is finitely presented as an } A\text{-algebra } (B \simeq A[x_1, \dots, x_n] / (p_1, \dots, p_m)) \\ * B \text{ is flat as } B \otimes_A B \text{-algebra i.e. } \text{Spec } B \rightarrow \text{Spec } B \times_{\text{Spec } A} \text{Spec } B \\ \text{"local isomorphisms" (unramified)} \end{cases}$  is an open immersion

$\{A \rightarrow A_i\}$  is an étale covering if:

\*  $A \rightarrow A_i$  is étale

\*  $\{A\text{-mod} \rightarrow A_i\text{-mod}\}$  is a conservative family (reflects isomorphism)

This defines a topology on  $\text{Aff}$

$\text{Spec } B \rightarrow \text{Spec } A$  is a Zariski open immersion if it is étale and a monomorphism in  $\text{Aff}$ .

$\text{Spr}(\text{Aff}) + \text{local equiv.}$

$\downarrow$   
 $\text{Ho}(\text{Spr}(\text{Aff}))$  homotopy category of stacks.

$\downarrow$   
 $\text{Sh}(\text{Aff}) \cong \text{Aff}$  (Yoneda + descent: representable presheaves are sheaves)

Schemes

Def 1)  $F \xrightarrow{i} \text{Spec } A$  in  $\text{Ho}(\text{Spr}(\text{Aff}))$  is Zariski open if

a)  $F$  is a sheaf (0-truncated,  $\Pi_i(F_i) = 0 \forall i > 0$ )

b)  $\exists$  a family of Zariski open  $\{\text{Spec } A_i \rightarrow \text{Spec } A\}$  s.t

$i$  is a mono whose image = image  $\coprod \text{Spec } A_i \rightarrow \text{Spec } A$

2)  $F \rightarrow F'$  in  $\text{Ho}(\text{Spr}(\text{Aff}))$  is a Zariski open if  $\forall X = \text{Spec } A \in \text{Aff}$ ,

$\forall X \rightarrow F'$  the induced morphism  $F_X^h \rightarrow X$  is a Zariski open in the sense of 1).

⑧<sup>T</sup> 3) A stack  $F$  is a scheme if  $\exists X_i = \text{Spec } A_i \in \text{Aff} + X_i \rightarrow F$  s.t

- a)  $X_i \rightarrow F$  is a Zanski open
- b)  $\coprod X_i \rightarrow F$  is an <sup>surjective</sup> epimorphism of stacks ( $F \rightarrow F'$  is <sup>surjective</sup> epi if  $\Pi_0(F) \rightarrow \Pi_0(F')$  is an epimorphism of sheaves. (ess surjective maybe?))

Remark  $\rightarrow$  A scheme  $F$  is a sheaf.

$\rightarrow$  The full subcategory of schemes  $\subseteq \text{Ho}(\text{Str}(\text{Aff}))$  is equivalent to the category of Grothendieck's schemes.

$\rightarrow$  Schemes are stable by  $\coprod$  and  $\underline{X}$ .

Def  $A \rightarrow B$  is smooth if it is flat, finitely presented,  $B$  is of finite for dimension over  $\text{BIB}$

"submersion"

Spec  $B \rightarrow \text{Spec } A$  is smooth  $\iff$   $\exists \{X_i \rightarrow X\} \in \{Y_i \rightarrow Y\}$  atlas

Def  $X \rightarrow Y$  of schemes is smooth  $\iff$   $\exists \{X_i \rightarrow X\} \in \{Y_i \rightarrow Y\}$  atlas and  $X_i \rightarrow Y_i$  are smooth (étale)

A Need to check definitions are local so that it makes sense for affine too

Def 1 A stack  $F$  is 0-algebraic if it is a scheme

2) A morphism  $F \rightarrow F'$  of stacks is 0-representable (or 0-algebraic) if

$\forall X \in \text{Aff}, \forall X \rightarrow F', F_X^h, X$  is a scheme (0-algebraic),  $\forall X \in \text{Aff}$

3) A morphism  $F \rightarrow F'$  of stacks is 0-algebraic and smooth if  $\forall X \in \text{Aff}$

$F_X^h \rightarrow F'$ ,  $F_X^h \rightarrow X$  is a smooth morphism of schemes.  $(n > 0)$ ,  $(n-1)$ -algebraic

We assume we know the notions of  $(n-1)$ -algebraic and smooth morphism:

morphisms and  $(n-1)$ -alg and smooth morphism:  $F \rightarrow F' \rightarrow F \times F$  is  $(n-1)$ -algebraic (the stack of morphisms between 2 pts is  $(n-1)$ -rep)

4) A stack  $F$  is  $n$ -algebraic if  $\exists \{X_i \in \text{Aff}, X_i \rightarrow F$  smooth s.t  $\coprod X_i \rightarrow F$  is surjective in stacks.  $(X_i \rightarrow F$  is automatically  $(n-1)$ -algebraic)

$\iff F$  is the quotient of  $\coprod X_i$  by the action of a smooth  $(n-1)$ -algebraic groupoid:

$Y_1 \rightrightarrows Y_0 = \coprod X_i$   $Y_i$  is an  $(n-1)$  alg stack and  $s, t$  are smooth

5) A morphism  $f: F \rightarrow F'$  is n-algebraic  $\iff \forall X \in \text{Aff}, \forall X \rightarrow F',$

$F'_X \times_F X$  is n-algebraic

6) A morphism  $f: F \rightarrow F'$  is n-algebraic and smooth  $\iff \forall X \in \text{Aff}, \forall X \rightarrow F$

$\exists X_i \in \text{Aff} \{X_i \rightarrow F'_X \times_F X\}$  smooth atlas s.t.  $X_i \rightarrow F'_X \times_F X \rightarrow X$  is smooth.

7) A stack  $F$  is algebraic if it is algebraic for some  $n$ .

Remark  $\Rightarrow F$  n-algebraic  $\iff$  n-truncated:  $\forall X \in \text{Aff}, \forall s \in F(X), \pi_i(F, s) = 0 \forall i > n$ .

$\Rightarrow$   $\exists$  an equivalence between the full subcategory of algebraic stacks which

are sheaves and the category of algebraic spaces (Artin).

$\Rightarrow$   $\exists$  an equivalence between the full subcategory of algebraic stacks which

are 1-truncated ( $\pi_i(F, s) = 0 \forall i > 1$ ) and Artin's algebraic stacks.

$\Rightarrow$  The full subcategory of algebraic stacks is closed under  $\coprod$ , homotopy fibered products, smooth quotients.

Example (M. Artin) (2-Stack) Stack of abelian categories.

$\text{Ab} : \text{Comm} \rightarrow \text{Sets}^{\text{Cart}}$ .

$\text{Ab}(A) =$  category of  $A$ -linear abelian categories which are equivalent to  $R\text{-mod}$  for  $R$  an associative  $A$ -algebra projective of finite type/ $A$ .

+  $A$ -linear equivalences between them.

$A \rightarrow B$  in  $\text{Comm}$   $\text{Ab}(A) \rightarrow \text{Ab}(B)$   
 $C \mapsto B\text{-mod}(C) = C^{D/A} = \text{Fun}(B, C)$

$\text{Ab} : \text{Comm} \xrightarrow{\text{Ab}} \text{Cat} \xrightarrow{1,1} \text{Sets}$

Thm  $\text{Ab}$  is an algebraic stack which is 2-truncated.

Some results (not in the notes)

- 1) Dévissage
- 2) Numerical invariants  
    ↳ invariants of  $\mathbb{C}$ -manifolds (TQFT)
- 3) Rational and p-adic Hodge theory

1) Dévissage

$\mathcal{F}$  algebraic stack of finite type / Spec  $k$ ,  $k$  a Noetherian ring  
 e.g.  $\exists$  an affine scheme  $X$  finite type /  $k$  and  $X \rightarrow \mathcal{F}$  smooth a Has

\*  $\mathcal{F} \rightarrow \mathbb{A}^n$  ( $\forall n \geq 1$ ) are quasi-compact, i.e.



Thm  $\exists \phi = \mathcal{F}_0 \hookrightarrow \mathcal{F}_1 \hookrightarrow \mathcal{F}_2 \hookrightarrow \dots \hookrightarrow \mathcal{F}_n = \mathcal{F}$  of closed substacks,  $\exists$  schemes  $\{M_i\}_{i \in \mathbb{N}}$

together w/  $\mathcal{F}_i - \mathcal{F}_{i-1} \rightarrow M_i$  s.t

a)  $\pi_0(\mathcal{F}_i - \mathcal{F}_{i-1}) \xrightarrow{\text{flat}} M_i$  iso of sheaves (gerbe condition)

b)  $\forall$  affine  $X$ ,  $\forall X \xrightarrow{S} \mathcal{F}_i - \mathcal{F}_{i-1}$ ,  $\pi_m(\mathcal{F}_i - \mathcal{F}_{i-1}, S)$  is a flat group scheme /  $X \forall m \geq 0$

Consequences \* Any algebraic stack of f. type has a "formal" moduli space " $\Sigma M_i$ "  
 (can't glue them together, but useful to compute some things).

\* If  $k$  is a field,  $s: \text{Spec } k \rightarrow \mathcal{F}$ , then the sheaf  $\pi_n(\mathcal{F}, s)$  is always an alg. group over  $k$ .

" $\Sigma M_i$ " is not right:

$$\text{"} \Sigma M_i \prod_{m \geq 0} \pi_m(\mathcal{F}_i - \mathcal{F}_{i-1})^{-1} \text{"}$$

2) Numerical invariants  $k$  field

For varieties:  $K_0(\text{Var}/k) = \mathbb{Z}[\text{alg var}/k] / [X = U + Y]$  if  $Y \hookrightarrow X$  closed  $U = X - Y$

Ring for  $X \xrightarrow{\pi} Y$ .

A (multiplicative) numerical invariant for varieties is a ring morphism

$$\mathbb{Z}: K_0(\text{Var}/k) \rightarrow R = \text{comm. ring.}$$



Example a)  $k = \mathbb{F}_q$ ,  $K_0(\text{Var}/k) \rightarrow \mathbb{Z}$   
 $X \mapsto \#X(\mathbb{F}_q)$

b)  $k = \mathbb{C}$ ,  $K_0(\text{Var}/k) \rightarrow \mathbb{Z}$  Euler char  
 $X \mapsto \sum (-1)^i h_c^i(X, \mathbb{Q}) = \chi_c(X)$  (Betti numbers)

c)  $k = \mathbb{C}$ ,  $K_0(\text{Var}/k) \rightarrow \mathbb{Z}[u, v]$   
 $X \xrightarrow{f_H} \sum_{p,q} (-1)^{p+q} h^{p,q}(X) u^p v^q$

where  $X$  is smooth projective;  $h^{p,q}(X) = \dim H^p(X, \Omega^q)$

$u=v=1$  gpd  $f_H(X)(1,1) = \chi_c(X)$

d)  $\mathbb{Q}$ -adic Euler characteristic

$K_0(\text{Var}/\mathbb{F}_q) \rightarrow \mathbb{Z}[W_q]$   
 $X \mapsto \sum (-1)^i H_c^i(\bar{X}, \mathbb{Q}_\ell)$

$W_q = \left\{ \sum_{j \in \mathbb{Z}} \chi_j \mathbb{Q} \mid \chi_j \in \mathbb{C} \right\}$   
 $\chi_j(X) = q^{j/2}$

$\bar{X} = X \otimes_{\mathbb{F}_q} \bar{\mathbb{F}_q}$

Def An algebraic stack  $F$  is special if ~~for every field  $k$~~  it is of finite type/ $k$  and for every field  $K$ ,  $S: \text{Spec } K \rightarrow F$ :

- $\Pi_1(F, S)$  is a linear algebraic group.
- $\Pi_m(F, S)$  is a unipotent linear algebraic group  $\forall m > 1$ .

$K_0(\text{St}^{\text{sp}}) = \mathbb{Z}[\text{special alg stacks}] / \text{relations}$

relations:  $-F_0 \hookrightarrow F$  closed,  $F = F_0 + F_1 \Rightarrow F_1 = F - F_0$

$-F_0$  is a given special stack,  $F_1 \rightarrow F$  which is a "Zariski local" fibration w/ fibers  $F_0$ , then  $F_1 = F \times F_0$

(In the Grothendieck gp of varieties the 2nd relation is implied by the first)

Then  $L = A^1, \in K_0(\text{Var})$

$K_0(\text{Var}) [L^{-1}, (L^2 - 1)^{-1}]_{i > 0}$

$K_0(\text{St}^{\text{sp}}) [L^{-1}, (L^2 - 1)^{-1}]_{i > 0}$

② Corollary Any numerical invariant of alg varieties  $Z: K_0(\text{Var}) \rightarrow \mathbb{R}$  s.t  $Z(\mathbb{1})$  and  $Z(\mathbb{1}^i - \mathbb{1})$  are invertible, extends uniquely to a numerical invariant of special stacks.

All examples above except the topological Euler characteristic can be extended.

$$(Z(\mathbb{1} - \mathbb{1}) = 0)$$

Examples: 
$$\begin{array}{ccc} K_0(\text{Var}/\mathbb{C}) & \rightarrow & Z[u, v] \rightarrow Z[(uv)^{-1}] \rightarrow Z[[uv]] \llbracket uv \rrbracket \\ \downarrow \mathbb{1} & & \uparrow \text{P}_4 \\ K_0(\text{St}^{\text{sr}}) & & uv \end{array}$$

→ Hodge numbers are defined.

•  $K_0(\text{Var}/\mathbb{F}_q) \rightarrow \mathbb{Z} \rightarrow \mathbb{Q}$  # of rational pts

$\nearrow$   
 $K_0(\text{St}^{\text{sr}})$

$$\#(-)(\mathbb{F}_q) : K_0(\text{Var}/\mathbb{F}_q) \rightarrow \mathbb{Q}$$

$F(\mathbb{F}_q)$  is a finite hty type ( $\mathbb{P}_0$  is finite,  $\mathbb{P}_i$  are finite  $\forall i$ ,  $\forall$  base pt).

$$\#F(\mathbb{F}_q) = \sum_{\text{connected}} \prod_{m \geq 1} \frac{\#(\mathbb{F}_q^{\text{pts}})^m}{\#(\mathbb{F}_q)^m} \prod_{i \geq 1} |\pi_{0m}(F(\mathbb{F}_q), x)|^{(-1)^m}$$

If  $\pi_i = 0$  for  $i > 1$   $\sum_{x \in \pi_0} \frac{1}{|\pi_{0,1}|} =$  "orbifold number of pts"

2b) TQFTs (not very interesting ones)

$M$  a compact  $C^\infty$ -mfld.

Fix  $Z: K_0(\text{St}^{\text{sp}}) \rightarrow \mathbb{R}$  numerical invariant.

$$\text{Map}(M, F) := \mathbb{R} \text{Hom}(S(M), F)$$

$S(M)$  is the constant simplicial presheaf on affine schemes over étale top.

$\text{Map}(M, F)$  is again a special alg stack.

$$Z_F(M) := Z(\text{Map}(M, F)) \in \mathbb{R}$$

These  $Z_F$  come (in general) from TQFT.

$F$  a special alg stack

stack of morphisms from the simplicial set of simplices in  $M$  to  $F$ .

$$Z = \#(-) (\mathbb{F}_q) : K(\text{St}^{\text{sr}}) \longrightarrow \mathbb{Q}$$

$n\text{-Cob} = \text{cat of cobordisms of dim } n$  :  $\text{Ob} = \# \text{-dim mfd}$   
 $\text{arrows} = (n\#)\text{-dim cobordisms / iso}$

Symmetric monoidal cat.

$$\text{Monoidal functor } n\text{-Cob} \longrightarrow \mathbb{Q}\text{-vect}$$

$$\phi \longmapsto \mathbb{Q}$$

$$\mathbb{P} \text{ M } \longmapsto \text{auto of } \mathbb{Q} \in \mathbb{Q}$$

closed  
n-dim

$(\mathbb{F}_q)$  locally constant functions  
 on  $\text{Map}(N, F) (\mathbb{F}_q)$  to  $\mathbb{Q}$ .

$$\text{Map}(N, F) \rightsquigarrow \mathbb{Q}(\text{Map}(N, F) (\mathbb{F}_q))$$



$$\text{Map}(N, F) \xrightarrow{q} \text{Map}(N_1, F)$$

$p \swarrow$

$$\text{Map}(N_0, F)$$

is a tensor functor

$$q_! p^* : \mathbb{Q}(\text{Map}(N, F) (\mathbb{F}_q)) \longrightarrow \mathbb{Q}(\text{Map}(N_1, F) (\mathbb{F}_q))$$

which goes into the TQFT above

2/8/08 CRM // Toen

### Simplicial Commutative Rings



$\text{SComm} = \text{Comm}^{\Delta^{\text{op}}} = \text{category of simplicial commutative rings}$

$\pi_0(A) := \bigoplus_{n \geq 0} \pi_n(A)$  graded abelian group.

$A \in \text{SComm}$

$$(A \text{ is a simp Ab gp} : \pi_n(A) := \pi_n(A, 0))$$

$\pi_+(A)$  is a commutative-graded ring (ie comm w/ sign rules in graded-sense)

$$\alpha : S^m \rightarrow A, \beta : S^m \rightarrow A$$

(4)  $T \quad S^n \times S^m \rightarrow A \times A \xrightarrow{h} A$   
 $\downarrow \quad \nearrow$   
 $S^n \times S^m \cong S^{n+m} \quad \alpha, \beta$  (factors through)

$sComm \rightarrow sSet$  forgetful functor.

We define fibrations (and equivalences) as morphisms in  $sComm$  whose images in  $sSet$  are fibrations and equivalences.

$$f: A \rightarrow B \text{ equiv} \iff \pi_*(A) \xrightarrow{\sim} \pi_*(B)$$

Thm (We) This endows  $sComm$  with a (simplicial) model cat structure.

$A \in sComm \quad sA-Mod = \text{cat of simplicial } A\text{-modules}$   
 $= \text{simplicial ab gps} + \text{map } A \otimes M \rightarrow M + \text{conditions.}$

$sA-Mod \rightarrow sSet$  forgetful. This defines fibrations & equiv in  $sA-Mod$ .

Thm Get a model cat structure on  $sA-Mod$ .

$f: A \rightarrow B$  in  $sComm$  get  $sA-Mod \xleftarrow[-\text{forgetful}]{-\otimes_A^B} sB-Mod$ . This is a Quillen adjunction.

$(\otimes)$  is the level wise tensor product }  
 Get an induced adjunction:  $Ho(sA-Mod) \xleftarrow[-\text{forgetful}]{-\otimes_A^B} Ho(sB-Mod)$

$(-\otimes_A^B)$  is the derived tensor product

If  $f$  is an equivalence then  $(-\otimes_A^B)$  is a Quillen equivalence and

$M \mapsto M \otimes_A^H B$  is an equivalence of categories.

(The converse is also true).

$Comm \rightarrow sComm$  constant simplicial object.

It has a left adjoint:  $sComm \rightarrow Comm$   
 $A \mapsto \pi_0(A)$

$\rightarrow Comm \xrightarrow{\sim} Ho(sComm) \xleftarrow{\pi_0}$  is fully faithful.

~~Let~~  $A \in \mathcal{S}Comm$

$\mathcal{T}_0(A) - mod \hookrightarrow Ho(\mathcal{S}A - Mod)$  is fully faithful.

$\xleftarrow{\pi_0}$

### Cotangent complexes (André - Quillen cohomology)

• Non-simplicial situation:  $A \in \mathcal{S}Comm, M \in \mathcal{S}A - Mod$

$A \oplus M =$  trivial square zero extension of  $A$  by  $M$

underlying ab gp of  $A \oplus M$  is  $A \oplus M$ .

Ring structure:  $(a, m) \cdot (a', m') := (aa', am' + a'm)$

Ring structure

$$\begin{array}{ccc} a \mapsto (a, 0) & & \\ A \xrightarrow{\text{zero-section}} A \oplus M & \longrightarrow & A \\ (a, m) \mapsto & & a \end{array}$$

$\text{Sect}(A \oplus M \rightarrow A) \simeq \text{Der}(A, M)$

$\leadsto A - Mod \longrightarrow \text{Set}$  functorial in  $M$ . It is corepresentable, i.e.

$$M \longmapsto \text{Der}(A, M)$$

If  $\Omega'_A \in A - Mod$  st  $\text{Hom}(\Omega'_A, M) \simeq \text{Der}(A, M)$  functorially in  $M$ .

$\Omega'_A$  is called the "cotangent space" of  $A$ .

• Simplicial situation:  $A \in \mathcal{S}Comm, M \in \mathcal{S}A - Mod$ .

$A \oplus M \in \mathcal{S}Comm \quad (A \oplus M)_n = A_n \oplus M_n$

$A \oplus M \rightarrow A$  in  $\mathcal{S}Comm$

$A \oplus M \in \mathcal{S}Comm/A \rightsquigarrow A \oplus M \in Ho(\mathcal{S}Comm/A)$

Def  $\mathbb{R}Der(A, M) := \mathbb{R}Hom_{\mathcal{S}Comm/A}(A, A \oplus M)$ ;  $\underline{Hom}$  are the simplicial Hom sets,

$\mathbb{R}Hom$  is the derived version:  $\mathbb{R}Hom(X, Y) = \underline{Hom}(Q(X), R(Y))$

We call it the space of derived derivations of  $A$  with coeffs in  $M$ .

Thm (Quillen) The functor  $\mathcal{S}A - Mod \rightarrow Ho(\mathcal{S}Sets)$   
 $M \longmapsto \mathbb{R}Der(A, M)$

is corepresentable by  $\Omega'_A \in Ho(\mathcal{S}A - Mod)$ .  $\Omega'_A$  is called the cotangent ex of  $A$ .

(16) T  $\text{RinK} = A \in \text{sComm}$ ,  $Q(A) \xrightarrow{\sim} A$  cofibrant model

$$\begin{array}{c} \Omega_{\text{Q}(A)}^1 \in \text{Ho}(\text{sQ}(A)\text{-Mod}) \\ \downarrow \cong \\ \mathbb{L}_A \quad \text{Ho}(\text{sA-Mod}) \end{array}$$

$$\rightarrow \Pi_0(\mathbb{L}_A) \simeq \Omega_{\Pi_0(A)}^1$$

\* When  $A$  is a non-simplicial comm ring  $\mathbb{L}_A \neq \Omega_A^1$  (in general)

$$\rightarrow A \rightarrow B \text{ in sComm} \rightsquigarrow \mathbb{L}_A \overset{\text{cofiber}}{\otimes}_A B \rightarrow \mathbb{L}_B \rightarrow \mathbb{L}_{B/A} \in \text{Ho}(\text{sB-Mod})$$

relative cotangent ex of  $B/A$

Smooth and étale morphisms

Def  $A \xrightarrow{f} B$  in  $\text{Ho}(\text{sComm})$ .

1)  $f$  is homotopically finitely presented if  $\forall$  filtered system  $(C_\alpha)$  of objects in  $A/\text{sComm}$

$t \rightarrow B$  the natural map  $\text{colim}_\alpha \text{RHom}_{A/\text{sComm}}(B, C_\alpha) \rightarrow \text{RHom}_{A/\text{sComm}}(B, \text{colim}_\alpha C_\alpha)$  is an

equivalence

2)  $f$  is flat if  $\text{Ho}(\text{sA-mod}) \rightarrow \text{Ho}(\text{sB-Mod})$  is homotopically left

exact (ie commutes with homot. filtered products).

3)  $f$  is formally étale if  $\mathbb{L}_{B/A} \simeq 0$

4)  $f$  is formally smooth if  $\forall M \in \text{Ho}(\text{sB-mod})$  with  $\Pi_0(M) = 0$ ,

$[\mathbb{L}_{B/A}, M] = 0$  ( $\mathbb{L}_{B/A}$  is of  $\text{proj. dim} \leq 0$ ).

5)  $f$  is smooth, (étale) if it is formally smooth (étale) and homotopically

finitely presented (in the sense of 1))

if it is flat, homotopically finitely presented and

6)  $f$  is a Zariski open

$$\mathbb{L}_A \otimes_A B \xrightarrow{\sim} B \text{ in } \text{Ho}(\text{sB-Mod}).$$

All these properties are stable under composition, base change.

Thm  $A \xrightarrow{f} B$  flat, smooth, étale or Zariski open iff

in the non-simp. case.

$$\left\{ \begin{array}{l} \bullet \pi_0(A) \rightarrow \pi_0(B) \text{ is flat, smooth, étale or Zariski open} \\ \bullet \pi_x(A) \otimes_{\pi_0(A)} \pi_0(B) \xrightarrow{\sim} \pi_x(B) \end{array} \right.$$

Cor 1 smooth, étale are flat.

Cor 2 A non-simplicial comm ring,  $A \rightarrow B$  flat in sComm  $\Rightarrow B \xrightarrow{\sim} \pi_0(B)$

Cor 3  $\exists$  a Groth topology on  $\text{Ho}(s\text{Comm})$  or with covering families

$$\{ A \rightarrow A_i \text{ with } \begin{cases} A \rightarrow A_i \text{ étale } \forall i \\ \coprod \text{Spec } \pi_0(A_i) \rightarrow \text{Spec } \pi_0(A) \text{ surjective.} \end{cases} \}$$

These topologies

Z/NOB // CRM // TOen

Derived stacks and derived algebraic stacks

Reminders  $\mathcal{M}^{\text{op}}$  // sComm / model category of simplicial comm algebras.

smooth, flat, étale, Zariski open maps in  $\text{Ho}(s\text{Comm})$

$\exists$  topology on  $\text{Ho}(s\text{Comm})$  or (étale) w/ covering families  $\{A \rightarrow A_i\}$  or

$$\left\{ \begin{array}{l} A \rightarrow A_i \text{ étale} \\ \coprod \text{Spec } \pi_0(A_i) \rightarrow \text{Spec } \pi_0(A) \text{ surjective.} \end{array} \right.$$

Derived stacks  $\mathcal{M}$  a model category together w/ a Groth top on  $\text{Ho}(\mathcal{M})$ .

We want a model cat of  $\{\mathcal{M}^{\text{r}} \rightarrow \mathcal{S}\text{Sets}\}$  involving the model category structure on  $\mathcal{M}$  and

the topology  $\tau$ .

$\text{SPr}(\mathcal{M}^{\text{r}}) = \text{model cat of simplicial presheaves on } \mathcal{M}$ , with the projective levelwise

model structure (fib, equiv are defined levelwise)

Set theory problem solved using universes or small presheaves.

(18)  $T \quad M \xrightarrow[h(\text{model})]{h} \text{Spr}(M)$

$M^\wedge :=$  left Bousfield localization of  $\text{Spr}(M)$   
 along  $\{h_x \xrightarrow{h_u} h_y\}_{y \in N \subseteq M}$

$x \longmapsto h_x = \text{Hom}(-, x)$

$F: M^{\text{op}} \rightarrow \text{SSet}$  is fibrant in  $M^\wedge$  iff  $F(x)$  is fibrant  $\forall x \in M$

$(F(u): F(y) \rightarrow F(x))$  is an equiv  $\forall u: x \rightarrow y$  in  $W \subseteq M$ .

$\text{Ho}(M^\wedge) \cong \{F \in \text{Ho}(\text{Spr}(M)) \mid F \text{ is equiv preserving}\} \cong \text{Ho}(\text{Spr}(M))$

Remark  $M^\wedge$  is a model for the quasi-category  $U^{L(M)^{\text{op}}} \quad U = L(\text{SSet}_{\text{kan}})$

$L(M^\wedge) \cong U^{L(M)^{\text{op}}}$

$M^\wedge$  is called the model category of prestacks on  $M$ .

$F \in \text{Ho}(M^\wedge) \quad F: M^{\text{op}} \rightarrow \text{SSet}$  equiv preserving (ie take fibrant replacement).

$\Pi_0^{\text{Pr}}(F): M^{\text{op}} \rightarrow \text{Sets}$  factors through (since it is equiv preserving)

$$\begin{array}{c} \searrow \\ \text{Ho}(M)^{\text{op}} \\ \swarrow \end{array} \Pi_0^{\text{Pr}}(F)$$

Sheaf associated to  $\Pi_0^{\text{Pr}}(F)$  is denoted by  $\Pi_0(F)$ . It is a sheaf on  $\text{Ho}(M)$  for the topology  $\mathcal{I}$ .

$x \in M, s \in F(x) \quad \Pi_i(F, s) = ?$  Sheaf on  $\text{Ho}(M/x)^{\text{op}}$ . Assume  $x$  fibrant.

$\Pi_i^{\text{Pr}}(F, s): (M/x)^{\text{op}} \rightarrow \text{Sets}$

$(y \xrightarrow{u} x) \longmapsto \text{Map}(\text{Pr}(s), \Pi_i(F|_y, u \cdot s))$

If  $F$  is equiv preserving, factors through  $\text{Ho}(M/x)^{\text{op}}$

Observation:  $\mathcal{I}$  induces a topology on  $\text{Ho}(M/x)^{\text{op}}$  by the forgetful functor

$\text{Ho}(M/x) \rightarrow \text{Ho}(M)/x$

Comma-topology

Take  $\Pi_i(F, s)$  to be the associated sheaf to  $\Pi_i^{\text{Pr}}(F, s)$ . Is  $\Pi_i$  a sheaf on  $\text{Ho}(M/x)^{\text{op}}$ .



Def  $f: F \rightarrow F'$  between equiv preserving simp presheaves is a local equiv if

$$\left\{ \begin{array}{l} * \pi_0(F) \xrightarrow{\sim} \pi_0(F') \\ * \forall x \in M^{hb}, \forall s \in F(x), \pi_0(F, s) \xrightarrow{\sim} \pi_0(F', f(s)) \quad \forall i > 0 \end{array} \right.$$

Thm There is a model category structure on  $\text{Spr}(M)$  whose equivalences are the local equivalences; cofibrations are the projective cofibrations; denoted  $M^{h.c.}$ .

$$\begin{array}{c} \exists \text{ a Yoneda embedding } h: \text{Ho}(M) \hookrightarrow \text{Ho}(M^\wedge) \\ \text{fully faithful (Thm)} \end{array} \quad M \xrightarrow{k} \text{Spr}(M) \longrightarrow \text{Ho}(M^\wedge)$$

$M^\wedge$

$\swarrow \quad \searrow$

$\text{Ho}(M) \quad \text{Ho}(M^\wedge)$

\*  $\text{Ho}(M^{h.c.}) \approx \{ F \in \text{Ho}(M^\wedge) \mid \text{satisfying descent for hyper covering} \} \subseteq \text{Ho}(M^\wedge)$

Def  $\mathcal{C}$  is "sub-canonical" if  $h$  factors:  $\text{Ho}(M) \hookrightarrow \text{Ho}(M^{h.c.}) \cong \text{Ho}(M^\wedge)$

Fact  $\mathcal{C} = \text{étale topology}$ .

Def A derived stack is an object in  $\text{Ho}(\text{sComm}^{op, \text{ét}})$

The homotopy category of derived stacks is  $\text{Ho}(\text{sComm}^{op, \text{ét}})$

$$* \text{dAff} = \text{sComm}^{op} \quad \text{Ho}(\text{dAff}) \hookrightarrow \text{Ho}(\text{dAff}^{op, \text{ét}})$$

Fact: The étale topology is sub-canonical.

$$\begin{array}{ccc} \text{Comm} & \xleftarrow{\pi_0} & \text{sComm} \\ \text{Quillen pair} & \implies & \text{Quillen pair} \end{array} \quad j_! : \text{Aff} \xrightleftharpoons{\text{ét}} \text{dAff}^{op, \text{ét}} : j^* \quad (\text{Aff} = \text{Comm}^{op})$$

$$i = \mathbb{1} - j : \text{Ho}(\text{Aff}^{op, \text{ét}}) \xrightleftharpoons{\text{ét}} \text{Ho}(\text{dAff}^{op, \text{ét}}) : j^* = h^0 \quad (j^* \text{ exact already})$$

Fact:  $i$  is fully faithful.

$\implies$  ~~Stack~~ The homotopy category of stacks is a full subcategory of the homotopy category of derived algebraic stacks.

$F \in \text{Ho}(\text{dAff}^{op, \text{ét}})$ ,  $h^0(F)$  is called the classical part of  $F$ .

$h^0(F) \rightarrow F$  "F is some thickening of  $h^0(F)$ "



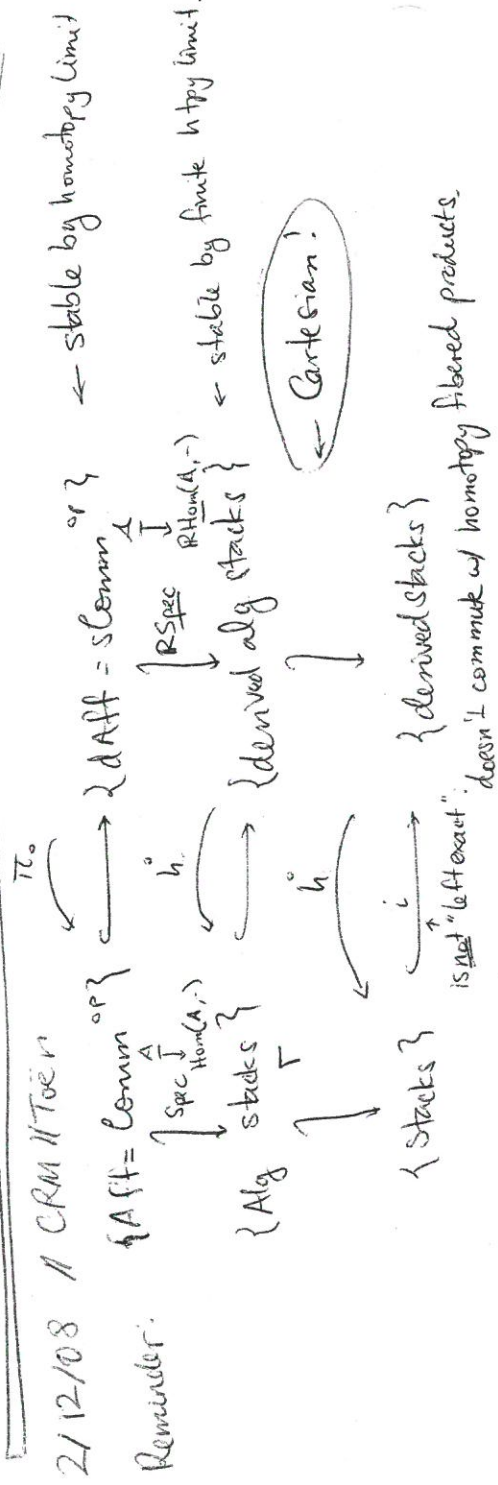
20T There are higher truncation functors  $h^i, h^c, \dots$

Def  $F_0$  is a stack. A derived extension of  $F_0$  is a derived stack  $F$  together with

$$F_0 \simeq h^0(F)$$

Any stack has a trivial extension  $i(F_0)$ . For moduli problems the "natural" extensions are not trivial.

Def A derived stack  $F$  is algebraic if  $\dots$  (same definition as non-derived case, check notes).



$RHom$  = derived simplicial Hom's of  $sComm$ .

$Spec\ A \times_{Spec\ B} = Spec(A \otimes_B B)$  as stacks  
 $= RSpec(A \otimes_B^L B)$  as derived stacks.

$$\begin{array}{ccc}
 F_1 & & F_2 \\
 \searrow & & \swarrow \\
 & F_3 & \\
 \end{array}
 \quad
 i(F_1 \times_{F_3} F_2) \longrightarrow i(F_1) \times_{i(F_3)} i(F_2)$$

$$h^0(i(F_1) \times_{i(F_3)} i(F_2)) = F_1 \times_{F_3} F_2$$

In other words,  $i(F_1) \times_{i(F_3)} i(F_2)$  is a derived extension of  $F_1 \times_{F_3} F_2$ .

Cotangent Complexes and Obstruction Theory

$F$  is a derived algebraic stack.

$R$  ring.  $\chi : X = Spec\ k \longrightarrow F$

$$M \in D^{\leq 0}(k) \quad k \oplus M \in \text{Comm}$$

$$H_0(S, k\text{-mod}) \quad X[M] := \mathbb{R}\text{Spec}(k \oplus M)$$

$$\begin{array}{ccc} X & \xrightarrow{x} & F \\ \downarrow & \nearrow & \\ X[M] & \text{derivations} & \end{array}$$

Def The space of derivations of  $F$  at  $x$  with coefficients in  $M$  is

$$\text{Der}_x(F, M) := \mathbb{R}\text{Hom}_{X/\text{dAff}^{\text{rel}}} (X[M], F) \cong \text{hohol}_{k[x]} (F(k \oplus M) \rightarrow F(k))$$

$\text{dAff}^{\text{rel}}$  = model category of derived stacks

$\mathbb{R}\text{Hom}$  = derived simplicial Hom's.

This is functorial in  $M$

$$D^{\leq 0}(k) \longrightarrow H_0(\text{Ssets})$$

Then  $\exists \mathbb{L}_{F,x} \in D(k)$  s.t.  $\text{Der}_x(F, M) \cong \mathbb{R}\text{Hom}(\mathbb{L}_{F,x}, M)$  functorially in  $M$ .

$\Delta \mathbb{L}_{F,x} \notin D^{\leq 0}(k)$  in general because  $h^0(F)$  is a stack, not 0-truncated.

Def 1  $\mathbb{L}_{F,x}$  is called the tangent ex of  $F$  at  $x$

2) We say the dimension of  $F$  at  $x$  is defined if  $\mathbb{L}_{F,x}$  is perfect (ie q-isom to a bounded ex of projective mod/ $k$  of finite rank).

3) If it is so  $\dim_x F := [\mathbb{L}_{F,x}] \in K_0(k)$

When  $k$  is a local ring  $\leadsto K_0(k) \longrightarrow \mathbb{Z} \quad \dim_x F \in \mathbb{Z}$ .

$\dim_x F$  is called the virtual dimension.

In general  $\dim_x F \notin \mathbb{Z}$  usual dimension of  $h^0(F)$  at  $x$

Rank  $F$  is a scheme, then  $\mathbb{L}_{F,x} \simeq \mathbb{L}_{x \rightarrow (\mathbb{L}_F)}$  where  $\mathbb{L}_F$  is usual cotangent ex.

Obstruction Theory

$I \rightarrow k \rightarrow k_0$  square zero ext of comm rings.

$I^2 = 0$   $I$  biherm.

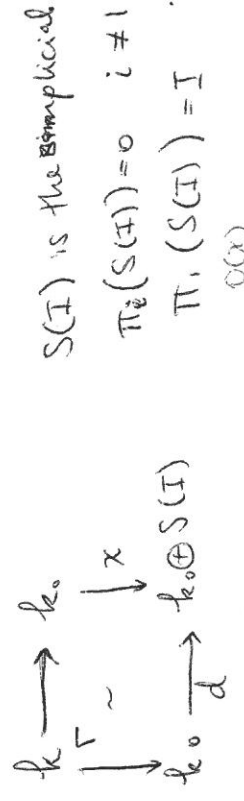
22)  $X = \text{Spec } k_0 \xrightarrow{x} F$  F derived alg stack



There  $\exists$  a class  $O(x) \in \text{Ext}^1(\mathbb{L}_{F,x}, I[1])$  s.t  
 $O(x) = 0$  iff a lift exists  
 When  $O(x) = 0$ , the space of lifts  $\text{RHom}_{X_0/\text{Aff}^{n,et}}(X, F)$  is a torsor

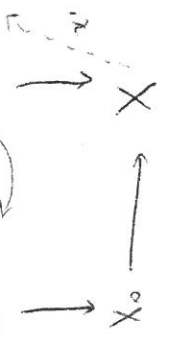
under the simplicial grp  $\text{RHom}(\mathbb{L}_{F,x}, I)$   
 In particular it is isomorphic to  $\text{RHom}(\mathbb{L}_{F,x}, I)$

Idea of pf:  $\exists$  a hty cart. square in  $S\text{Comm}$



$S(I)$  is the simplicial  $k_0$ -module  
 $\pi_i(S(I)) = 0 \quad i \neq 1$   
 $\pi_1(S(I)) = I$

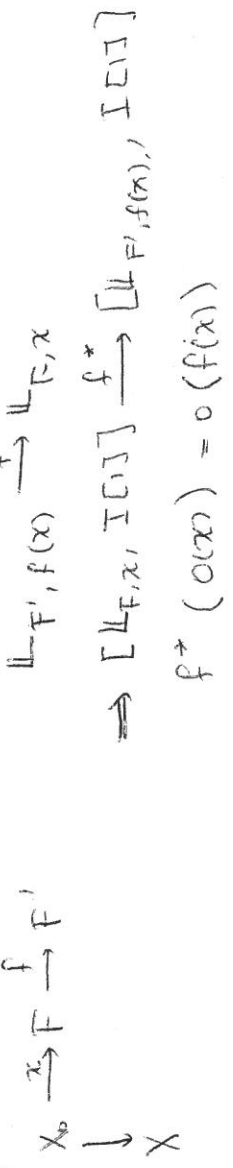
$\text{RSpec}(k_0 \oplus S(I)) = X_0 \xrightarrow{x} F$  is homotopy Cartesian in the category of derived alg stacks (not as a square of stacks)



$O(x) \in \text{Der}_x(F, S(I)) \simeq [\mathbb{L}_{F,x}, S(I)] = [\mathbb{L}_{F,x}, I[1]]$

$x'$  exists iff  $O(x) = 0$

Prop:  $O(x)$  is functorial in  $F$ , i.e.



In practice: When  $F_0$  is a non-derived moduli problem,  $F$  its "natural derived extension"  
 $x \in F_0(k) \rightarrow F(k)$

$\mathbb{L}_{F_0,x}$  is in general unknown (no description in terms of the moduli problem)

But  $\mathbb{L}_{F, \pi}$  is very easy to describe! (in terms of the moduli problem).

(see an example of  $\text{Rep}(F)$ )

$$F \rightarrow F' \xrightarrow{\mathbb{L}_{F, \pi}} \mathbb{L}_{F, \pi} \rightarrow \mathbb{L}_{F, y}$$

is a htpy cofiber sequence

$$\begin{array}{ccc} \uparrow & \xrightarrow{\sim} & \uparrow x \\ F_x & \xrightarrow{\quad} & \text{Spec } k = X \\ \downarrow & \swarrow & \downarrow y \end{array}$$

This is not true in the nonderived setting.

2/13/08 MCRM 11 Teen

Further Results

1) Artin's representability thm:

Thm (Lurie) F derived stack is algebraic and locally of finite presentation iff

- a)  $h^0(F)$  is  $n$ -truncated for some  $n$ .
- b)  $h^0(F)$  is locally of finite presentation:  $\forall$  filtered diagram  $A_\alpha$  of rings
 
$$\text{cdim}_\alpha h^0(F)(A_\alpha) \xrightarrow{\sim} h^0(F)(\text{colim}_\alpha A_\alpha) \Leftrightarrow \text{cdim}_\alpha F(A_\alpha) \xrightarrow{\sim} F(\text{colim}_\alpha A_\alpha)$$

c) Formal deformations are algebraizable:  $\forall$  complete local noetherian ring

$$\hat{A} = \varinjlim_n \hat{A}/m^n, \quad F(\hat{A}) \xrightarrow{\sim} \text{holim}_n F(\hat{A}/m^n)$$

d) F is Postnikov complete:  $F(A) \xrightarrow{\sim} \text{holim}_k F(\tau_{\leq k} A)$

$\forall A \in \text{Comm}, \tau_{\leq k} A \rightarrow \tau_{\leq k-1} A \rightarrow \dots$  Postnikov tower.

e) F has a good infinitesimal theory:

\*  $\forall X = \mathbb{R}\text{Spec } A \xrightarrow{x} F, \quad \text{Der}_x(F, -) : \text{Ho}(S\text{-mod}) \rightarrow \text{Ho}(S\text{sets})$   
 is corepresentable by  $\mathbb{L}_{F/x}$   $(N(A))$  which is compact,  $([\mathbb{L}_{F/x}, \oplus -] = \oplus([\mathbb{L}_{F/x}, -]))$

\*\*  $\forall A_0 \in \text{Comm}, \forall M \in S_{A_0}\text{-mod}$

$$\begin{array}{ccc} \mathbb{B} & \xrightarrow{F} & A_0 \\ \downarrow & & \downarrow \circ \\ A_0 & \xrightarrow{\infty} & A_0 \oplus S(M) \end{array}$$

$$\begin{array}{ccc} F(\mathbb{B}) & \rightarrow & F(A_0) \\ \downarrow & & \downarrow \\ F(A_0) & \rightarrow & F(A_0 \oplus S(M)) \end{array}$$

homotopy Cartesian

(24) T

Cor:  $X$  is a flat proper scheme (DM stack) over  $\text{Spec } k$ .

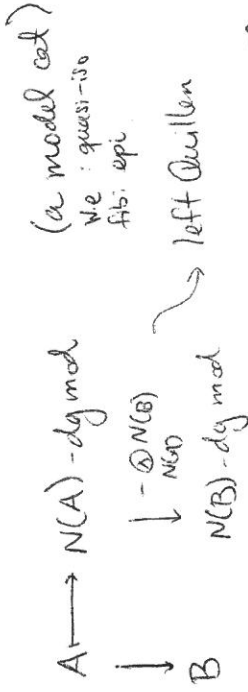
$\mathcal{Y}$  is a derived algebraic stack of finite presentation over  $\text{Spec } k$ .

Then  $\mathbb{R}\text{Hom}(X, \mathcal{Y})$  is a derived algebraic stack.  
derived Hom of  $\text{Ho}(\mathcal{A}ff^{st})$

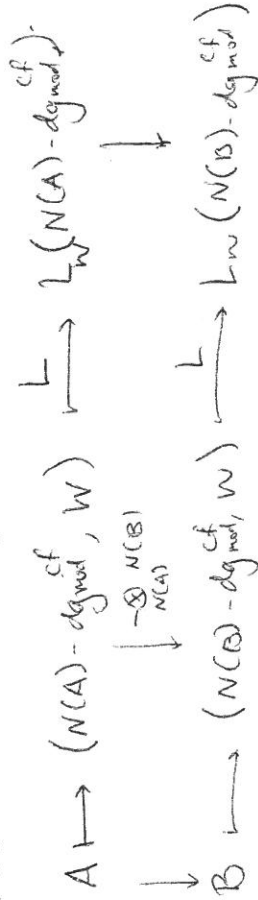
### 2) Derived categories

For a derived (algebraic) stack. We want to define  $\mathcal{D}_{q\text{-coh}}(F)$ .

$F = \mathbb{R}\text{Spec } A$ , then  $\mathcal{D}_{q\text{-coh}}(F) = \mathcal{D}(N(A))$   
 $N(A) \in \text{dg alg}$   
(normalized ring)



This is a left Quillen presheaf on  $\mathcal{S}Comm$ .



where  $L_W(N(A)\text{-dg}^{cf})$  is the quasi-category obtained by localizing.

$\mathcal{Q}Coh = \mathcal{A}ff^{st} = \mathcal{S}Comm \longrightarrow \mathcal{Q}Cat = \mathcal{S}Sets + \text{Joyal model structure}$   
 $\rightarrow \mathcal{Q}Coh \in \text{Ho}(\mathcal{Q}Cat_{\mathcal{S}Comm})$

Then  $\mathcal{Q}Coh$  is a stack in quasi-categories, i.e. derived

- a)  $\mathcal{Q}Coh$  sends equiv to equiv
- b)  $\mathcal{Q}Coh$  has descent for the étale topology:

$\mathcal{Q}Coh(A) \xrightarrow{\sim} \text{holim}_{N(A)} \mathcal{Q}Coh(B_n)$   
 $\text{Ho}(\text{derived stacks})$   
 $\mathcal{A} \rightarrow \mathcal{B}_*$  étale hypercovering in  $\mathcal{S}Comm$

$\mathcal{Q}Coh \in \text{Ho}(\mathcal{Q}Coh(\text{stacks}))$

$\{F: \mathcal{S}Comm \rightarrow \mathcal{Q}Cat \mid (a) \triangleleft (b)\} \in \text{Ho}(\mathcal{Q}Cat_{\mathcal{S}Comm})$

Def: The quasi-category of quasi-coherent exis on  $F$  is

$$\mathbf{RHom}(F, \mathcal{O}_{\text{Coh}}) =: \mathbf{LQcoh}(F)$$

$\uparrow$   
dense Hom of values in  $\mathbf{Ho}(\mathbf{QCoh})$

The derived category of  $F$  is  $\mathbf{D}_{\text{qcoh}}(F) := \mathcal{Z}_{\leq 1}(\mathbf{Lqcoh}(F))$

Remark - This is a stable quasi-category, i.e.: it has finite limits and

$$\Omega_*: \mathcal{Z}_{\leq 1}(\mathbf{Lqcoh}(F)) \xrightarrow{\sim} \mathcal{Z}_{\leq 1}(\mathbf{Lqcoh}(F))$$

$$x \longmapsto *x*$$

$\Rightarrow \mathbf{D}_{\text{qcoh}}(F) = \mathcal{Z}_{\leq 1}(\mathbf{Lqcoh}(F))$  has a natural triangulated structure.

Triangles = images of fibration sequences.

$$\Omega Y \rightarrow F \rightarrow X \rightarrow Y$$

$$- \mathbf{D}_{\text{qcoh}}(\mathbf{R}\text{Spec } A) \simeq \mathbf{D}(\mathbf{N}(A)) \Rightarrow \mathbf{D}_{\text{qcoh}}(X) \simeq \mathbf{D}_{\text{qcoh}}(\mathcal{O}_X), \quad X \text{ scheme.}$$

$F \vdash \mathbf{D}_{\text{qcoh}}(F)$  is functorial

$$\begin{array}{ccc} f \downarrow & f_* \downarrow & f^* \uparrow \\ F' & & \mathbf{D}_{\text{qcoh}}(F') \end{array} \quad \text{adjunction}$$

Then

$$\begin{array}{ccc} X' & \xrightarrow{g} & Y' \\ f \downarrow & \tau & \downarrow p \\ X & & Y \\ & & \xrightarrow{g} \end{array}$$

fiber product of derived schemes (quasi-compact, separated, or DM stacks in char 0).

$$p^*g_*: \mathbf{D}_{\text{qcoh}}(X) \rightarrow \mathbf{D}_{\text{qcoh}}(Y')$$

$\downarrow$

$$g_*f^*$$

No flatness assumption!

Ex Donaldson-Thomas invariant

$$X \text{ smooth proj } / \mathbb{C} \quad CY \quad (\omega_X = \mathcal{O}_X) \quad \dim 3.$$

26)  $\text{Coh}(X) \rightarrow \underline{\text{Coh}}(X)$  an algebraic stack of coherent sheaves on  $X$

$\downarrow$   
 $\underline{\text{R}}\underline{\text{Coh}}(X) \hookrightarrow \underline{\text{R}}\underline{\text{Coh}}(X)$  derived algebraic stack of coherent sheaves on  $X$

Substack of coherent sheaves with  $c_1=0$ . It is the fiber at the trivial object of the

$$\text{map } \underline{\text{Coh}}(X) \rightarrow \text{Pic}(X)$$

$$\underline{\text{Coh}}^{st, \nu}(X) \stackrel{\text{open}}{\subseteq} \underline{\text{Coh}}(X) \hookrightarrow \underline{\text{Coh}}(X)$$

coherent sheaves, stable + fixed numerical invariants  $\nu$

$$\underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X) \subseteq \underline{\text{R}}\underline{\text{Coh}}(X) \hookrightarrow \underline{\text{R}}\underline{\text{Coh}}(X)$$

In good cases  $\underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X)$  is a proper derived DM stack /  $\mathbb{C}$  ...

$$H^0(\pi_E) \simeq \text{Ext}_0^1(E, E) = \text{trace} \circ \text{ext}$$

$$H^1(\pi_E) \simeq \text{Ext}_0^2(E, E)$$

$$H^i(\pi_E) = 0 \quad i \neq 0, 1$$

$$\Rightarrow D_{\text{qCoh}}(\underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X)) \xrightarrow{P} D_{\text{qCoh}}(\mathbb{C}) = D(\mathbb{C}) \quad \begin{array}{l} \text{preserves bounded} \\ \text{coh. cxs.} \end{array}$$

$$P : \underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X) \rightarrow \text{Spec } \mathbb{C}$$

$$P_* : D_{\text{qCoh}}^b(\underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X)) \rightarrow D_{\text{qCoh}}^b(\mathbb{C})$$

$$D T_{\nu}(X) := \chi(P_*(D_{\text{R}}\underline{\text{Coh}}^{st, \nu})) \in \mathbb{Z}$$

Link  $i) \dim_E \underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X) = 0$  "virtually it is a finite # of pts"

$\Rightarrow$  Euler characteristic  $\chi(P_*(D_{\text{R}}\underline{\text{Coh}}^{st, \nu}))$  counts the virtual # of pts in  $\underline{\text{R}}\underline{\text{Coh}}^{st, \nu}(X)$

$x \mapsto$  Base change  $\Rightarrow X \rightarrow S$  smooth proj. family of CY var of dim 3,

$s \in S \mapsto D T_{\nu}(X_s)$  is locally constant.



Operads

An operad  $\mathcal{P}$  (in topological spaces) consists of spaces  $\mathcal{P}(n)$ ,  $n \geq 0$ , and structure maps.

Think of  $\mathcal{P}(n)$  as space of operations of  $n$  variables

\* special point  $1 \in \mathcal{P}(1)$

\* substitution  $\mathcal{P}(n) \times \mathcal{P}(k_1) \times \dots \times \mathcal{P}(k_n) \longrightarrow \mathcal{P}(k)$   $k = k_1 + \dots + k_n$

$(p, q_1, \dots, q_n) \longmapsto \mathcal{P}(q_1, \dots, q_n)$

\* "permutation of variables":  $\Sigma_n$  acts from the right on  $\mathcal{P}(n)$ .

The axioms express that these operations have their intended meaning.

For emphasis, we sometimes speak of symmetric operads.

A non-symmetric operad is one without the permutations.

An algebra for an operad  $\mathcal{P}$  is a space  $A$  together with an action by the

operations:  $\mathcal{P}(n) \times A^n \longrightarrow A$   $(p, a_1, \dots, a_n) \longmapsto \mathcal{P}(a_1, \dots, a_n)$

compatible with the structure maps.

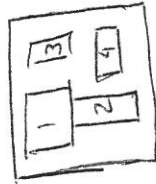
Ex 1)  $\mathcal{P}(n) = *$  for all  $n$ . A  $\mathcal{P}$ -algebra is the same as a commutative monoid. This  $\mathcal{P}$  is denoted  $\text{Comm}$ .

2)  $\mathcal{P} = \text{Ass}$ ,  $\text{Ass}(n) = \Sigma_n$ , substitution like substitution of

block matrices.

The algebras are monoids (in spaces).  
The algebras are monoids (in spaces).

3)  $\mathcal{P}(n) = \mathcal{D}_2(n)$  = configuration space of  $n$  little rectangles in the unit square



$\in \mathcal{D}_2(A)$ . The algebras are "double loop spaces (up to homotopy)".

② Link Every non- $\Sigma$  operad generates a symmetric operad with the same algebras.

Eg. The non- $\Sigma$  operad  $P$  with  $P(n) = \ast$  has as algebras the monoids, and Ass is its associated symmetric operad.

Link We can replace the "ambient" category of top spaces by any symmetric monoidal category.

### Colored operads

A colored operad  $P = (C, P)$  is a pair consisting of a set  $C$  of colors and for each sequence  $C_1, \dots, C_n$ ;  $C$  a space (or set, or object of some symmetric cat)

$$P(C_1, \dots, C_n; C)$$

of operations which take inputs of "types" (or colors)  $C_1, \dots, C_n$  to an output

of type  $C$ .

Similar structure maps:

$\ast 1_C \in P(C; C)$  for every  $C \in \mathcal{C}$

$\ast$  Substitution

$$P(C_1, \dots, C_n; C) \times P(d_1, \dots, d_k; C_1) \times \dots \times P(d_1, \dots, d_k^n; C_n) \longrightarrow P(d_1, \dots, d_k, d_1^2, \dots, d_k^n; C)$$

$\ast$  The symmetric group  $\Sigma_n$  acts: any  $\sigma \in \Sigma_n$  gives a map

$$\sigma^\ast: P(C_1, \dots, C_n; C) \longrightarrow P(C_{\sigma(1)}, \dots, C_{\sigma(n)}; C)$$

A P-algebra  $A$  consists of spaces (or...)  $A_C, C \in \mathcal{C}$  together with action

$$P(C_1, \dots, C_n; C) \times A_{C_1} \times \dots \times A_{C_n} \longrightarrow A_C$$

Link There is a corresponding notion of non- $\Sigma$  colored operad (and an associated notion of algebra). Every non- $\Sigma$  colored operad generates a (symmetric) colored operad with the same algebra.

A map of colored operads from  $\mathbb{P} = (C, P)$  to  $\mathbb{Q} = (D, Q)$  is given by  $M \textcircled{B}$   
 a map  $f: C \rightarrow D$  and maps  $\varphi = \{\varphi_{c_1, \dots, c_n; c}\} P(c_1, \dots, c_n; c) \rightarrow Q(f c_1, \dots, f c_n; f c)$   
 compatible with all the structure maps.

### Examples

1) Exercise: write down an operad on two colors whose ~~presentation~~ algebras are  
 pairs  $(A, M)$ ,  $A$  a monoid and  $M$  a space on which  $A$  acts  
 (or rings and modules)

2) For given set  $S$ , there is a non- $\Sigma$  operad  $\text{Cats}$  (or "Ass  $S$ ")  
 whose algebras are categories with the set  $S$  as objects.

$S \times S$  is the set of colors.

$$\text{Cats}((s_1, s'_1), \dots, (s_n, s'_n); (s, s')) = \begin{cases} \text{pt } s'_1 = s_2; s'_2 = s_3, \text{ etc } s'_n = s \\ \emptyset \text{ otherwise} \end{cases}$$

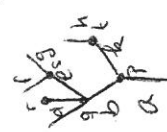
$$\text{Cats}(-; (s, s')) = \begin{cases} \text{pt } s = s' & n=0 \\ \emptyset \text{ otherwise} \end{cases}$$

For a  $\text{Cats}$ -algebra  $A$ , the set  $A(s, s')$  is the set of arrows from  $S$  to  $S'$ .

Every planar tree  $T$  defines a non- $\Sigma$  operad  $\Omega_P(T)$

Every tree

$T$  is symmetric operad  $\Omega(T)$  and in the non-planar case

A tree has input edges and an output edge  
 we number the input edges. 

- input edges
- output edges
- internal edges

The colors of  $\Omega_P(T)$  are the edges of the tree. (same for  $\Omega(T)$ )


The operations of  $\Omega_P(T)$  (resp  $\Omega(T)$ ) are generated by the vertices of the tree.

Eg  $p \in \Omega_P(T)(b, k; a)$   $r \in \Omega_P(T)(-; d)$   $t \in \Omega_P(T)(h, k)$   $\text{generators}$

(A)M induced operators are  $1_x \in \Omega_p(T)(x; X)$  for  $x \in \{a, b, \dots, k\}$

$$P \circ q = P(q; 1_k) \in \Omega_p(T)(c, d, e, k; a)$$

In  $\Omega(T)$ , we also have operations like  $(p \cdot \sigma) \in \Omega(T)(k, b, a)$

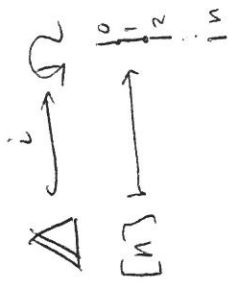
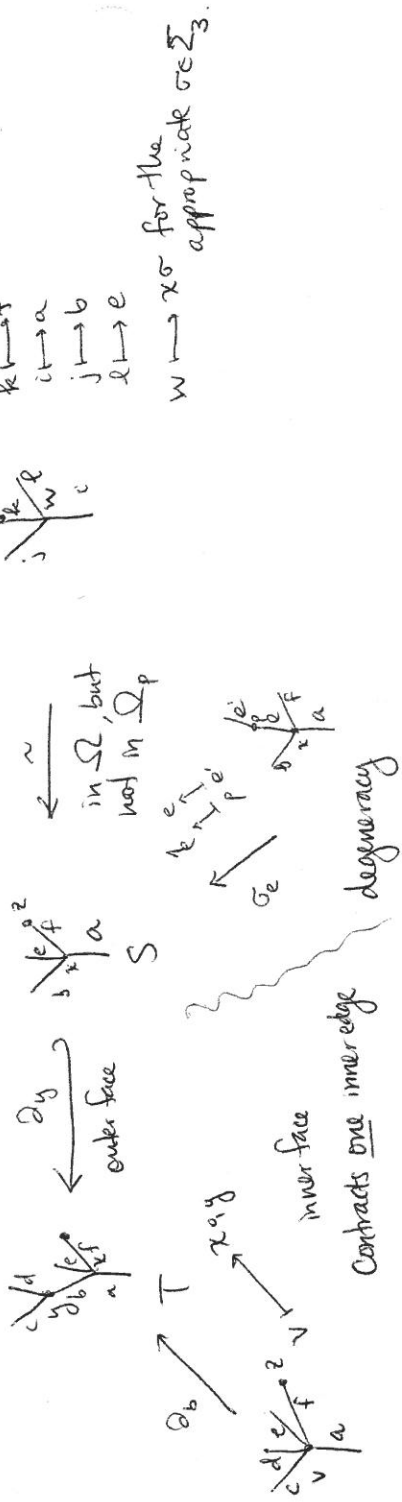
In the non-planar case these two trees are the same:  and the previous.

For a non-planar tree, the choice of a planar structure amounts to the choice of specifying generators for  $\Omega(T)$ .

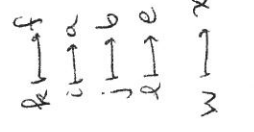
Def  $\Omega$  is the full subcategory of the category of colored operads whose objects are of the form  $\Omega(T)$ .



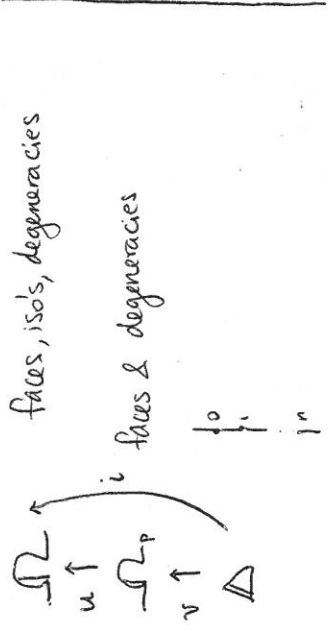
What sort of arrows do we have?



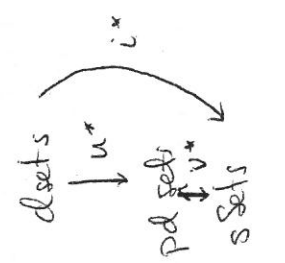
inner faces, outer faces and degeneracies correspond.



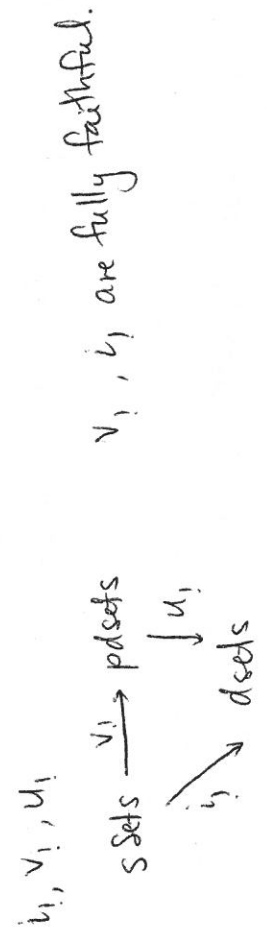
$w \mapsto x\sigma$  for the appropriate  $\sigma \in \Sigma_3$ .



Prestack categories  
 $\hat{\Omega}$  dendroidal sets  
 $\hat{\Omega}_P$  planar dendroidal sets  
 $\Delta$  simplicial sets



There are evident restriction functors  $i^*, u^*, v^*$ , which all have left adjoints

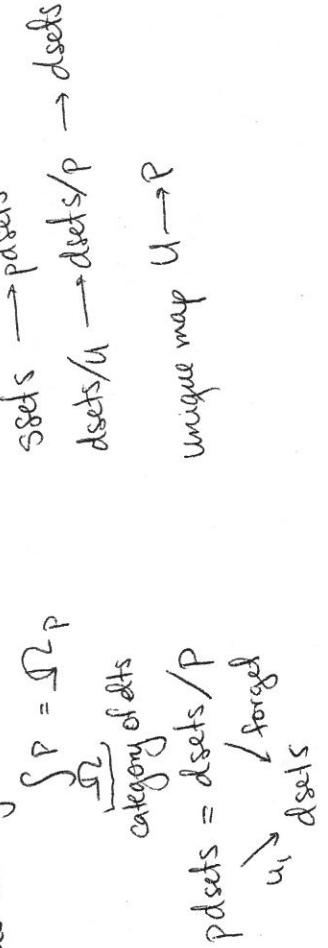


The representable object in  $dsets$  given by a tree  $T$  will be denoted by  $\Omega[T]$ , (just like  $\Delta[n]$  in  $ssets$ )  $i_!(\Delta[n]) = \Omega[i[n]]$

In general, a dendroidal set  $X$  will have dendrices  $x \in X(T) = \prod_T$  of "dimension" or "shape"  $T$ . The representable object  $\Omega[T] = i_! \Delta[0]$  will be denoted  $U$ , which will be a unit in a monoidal structure on  $dsets$ . Note  $U \leq 1$  (the map to the terminal object is mono)

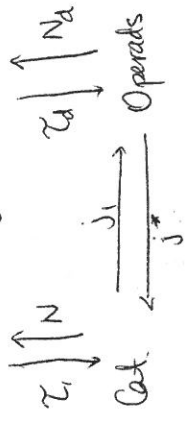


For a tree  $T$ , let  $P(T)$  be the set of planar structures on  $T$ . This an object of dendroidal sets



canonical maps

① M ssets  $\xrightleftharpoons[i^*]{i_1}$  dssets



$N_d$  = dendroidal nerve  
 $\tau_d$  = its left adjoint  
 $j^*$  = just take the unary operation  
 $j_1$  = its left adjoint; it views a category as an operad w/ unary operations only.

$$N_d(P)_T = \text{Hom}_{\text{operad}}(\Omega(T), P) ; \text{eg } N_d(\underbrace{\Omega(S)}_{\text{operad}}) = \underbrace{\Omega(S)}_{\text{dsset}}$$

$i_1, j_1, N, N_d$  are fully faithful.

Not everything commutes! (Even though there is a lot of commutation).  
 eg  $j^* \tau_d \neq \tau_1 i^*$

There is also a column in the middle, relating pdsets & non- $\Sigma$  operad.

Monoidal structure

- ssets : Cartesian closed  $\times$
- pdsets : simplicially enriched w/ tensors and cotensors
- dssets : closed symmetric monoidal  $\otimes$

$$i_1(X \times Y) = i_1(X) \otimes i_1(Y)$$

Boardman-Vogt tensor product of operads for  $P = (C, P), Q = (D, Q)$ ,

$P \otimes_{BV} Q$  is an ~~tensor~~ operad whose set of colors is  $C \times D$  and whose operations

are generated by  
 $p \otimes d \in P \otimes Q((c, d), \dots, (c, d))$  for  $p \in P(c_1, \dots, c_n; c), d \in D$   
 $c \otimes q \in P \otimes Q((c, d_1), \dots, (c, d_m); (c, d))$  for  $c \in C, q \in Q(d_1, \dots, d_m; d)$

Relations: These two operations distribute over each other. (using symmetries...)

\* (NB) We don't need symmetries if either  $p$  or  $q$  is unary.

Tensor product of dendroidal sets is defined by:

$$\left\{ \begin{array}{l} X \otimes Y \text{ preserves colimits in each variable} \\ \Omega[S] \otimes \Omega[T] := N_d(\underbrace{\Omega(S) \otimes_{BV} \Omega(T)}_{\text{operad, but not representable by a tree}}) \end{array} \right.$$

This will automatically be closed

$$\underline{\text{Hom}}(X, Y)_T = \text{Hom}_{\text{dssets}}(\Omega[T] \otimes X, Y)$$

By  $\otimes$ , we can tensor non- $\Sigma$  operads with operads having unitary operations only.

$$\text{ssets} \xrightarrow{v_!} \text{pdsets} \\ S \quad X, Y$$

~~$v_!$~~  doesn't make sense

but  $v_!(S) \otimes Y$  does make sense.

So pdset is a simplicial category, with  $\underline{\text{Hom}}(X, Y)_n = \text{Hom}_{\text{pdsets}}(v_!(\Delta[n]) \otimes X, Y)$

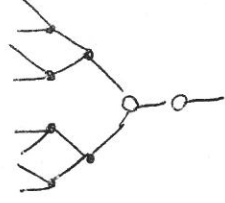
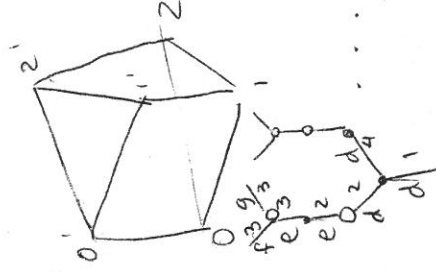
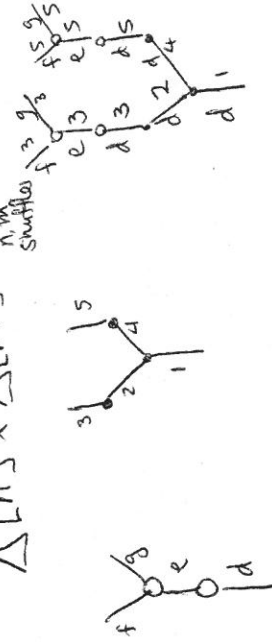
and has colimits  $(Y^S)_T = \text{Hom}_{\text{pdsets}}(\Omega_P[T] \otimes v_!(S), Y)$

$$\underline{\tau}_a(X \otimes Y) = \underline{\tau}_a(X) \otimes_{\text{BV}} \underline{\tau}_a(Y), \quad X, Y \text{ dssets}$$

What does  $\Omega[S] \otimes \Omega[T]$  look like?

$$\Delta[n] \times \Delta[m] = \bigcup_{\text{shuffles}} \Delta[n+m]$$

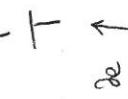
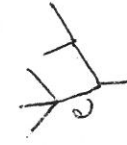
peculiar use



$$S \quad T$$

Union of  $\mathbb{A}$  dendrices, we can see how to glue together when they have faces in common.

Union of  $\mathbb{A}$  dendrices, we can see how to glue together when they have faces in common.



$$\Omega[T] \in \text{dssets}$$

$\partial\Omega[T]$  = union of all the faces

$\Delta^e[T] \rightarrow \Omega[T]$  union of all faces except the one given by

contracting the inner edge  $e$ .

inner horn

! in this case, inner horns correspond to those in ssets.

③<sup>M</sup> Rank A dendroidal set  $X$  is the nerve of an operad ( $X \cong N_d(P)$ ) iff every inner horn has a unique filler

$$\begin{array}{ccc} \Lambda^e[T] & \longrightarrow & X \\ \downarrow & \nearrow & \\ \Omega[T] & & \end{array}$$

Def A dendroidal set  $X$  which has this extension property (but not necessarily uniquely) will be called, alternatively, quasi-operad, (dendroidal inner Kan ops), infinity operad.

Let  $X$  be a dendroidal set,  $x \in X_T$ .  $x$  is called degenerate if  $\exists \begin{array}{c} \sigma \\ \text{degeneracy} \end{array} \mathbb{I} \rightarrow \mathbb{S}$  and  $y \in \mathbb{S}$  with  $x = \sigma^* y$ .

A non-degenerate  $x \in X_T$  is called normal if the only  $\alpha \in \text{Aut}(T)$  which fixes  $x$  is the identity.

$$\left( \alpha: T \rightarrow T, \alpha^*: X_T \rightarrow X_T \right) \\ x \mapsto \alpha^*(x)$$

A monomorphism  $X \hookrightarrow Y$  is called normal if all the non-deg dendrices in  $Y$  in  $X$  are normal.

$Y$  is normal if  $\emptyset \hookrightarrow Y$  is normal.  $Y$  are cofibrations/cofibrant objects.

We'll see that normal monos/objects are obtained by saturating the class of boundary

The normal monos can be obtained by saturating the inclusion  $\partial\Omega[T] \hookrightarrow \Omega[T]$  or alternatively by saturating the class of images under  $U_1$  of monos in  $\text{pdsets}$   $\begin{array}{ccc} \text{dsets}/P & \xrightarrow{\text{forget}} & \text{dsets} \\ \parallel & \searrow U_1 & \\ \text{pdsets} & \xrightarrow{U_1} & \text{dsets} \end{array}$

The saturation of the class of inner horn inclusions  $\Lambda^e[T] \hookrightarrow \Omega[T]$

will be referred to as the class of (inner) anodyne extensions.

The maps with the RLP wrt these will be referred to as inner Kan fibrations.



Prop If  $A \hookrightarrow B$  is normal &  $C \hookrightarrow D$  is inner anodyne then

$$A \otimes D \cup_{B \otimes C} B \otimes D \hookrightarrow B \otimes D$$

is inner anodyne.

This follows formally from the case  $\Omega[S] \otimes \Omega[T] \cup_{\Omega[S] \otimes \Omega[T]} \Omega[S] \otimes \Omega[T] \hookrightarrow \Omega[S] \otimes \Omega[T]$

This case is proved by hand by analyzing the shuffles.

There are various formal corollaries obtained by playing around with

$$A \otimes D \cup_{B \otimes C} B \otimes D \longrightarrow Y \quad A \longrightarrow \text{Hom}(D, Y)$$

$$\downarrow \quad \downarrow \quad \downarrow \quad \downarrow$$

$$B \otimes D \longrightarrow X \quad B \longrightarrow \text{Hom}(C, Y) \times \text{Hom}(D, X)$$

Such as:  $B$  normal,  $X$  inner Kan  $\Rightarrow \text{Hom}(B, X)$  inner Kan.

Diagrams of simplicial sets

$\mathcal{S}$  or  $X \rightarrow \mathcal{S}\text{sets}$  ( $\mathcal{S}$  small indexing category)

cocubim  $X$ , the homotopy colimit. It can be constructed in many ways, eg

first  $\int_{\mathcal{S}} X$ , then  $N \int_{\mathcal{S}} X$  then  $\text{diag}(N \int_{\mathcal{S}} X)$

simplicial cat biset

Recall if  $\mathcal{S}$  or  $A \rightarrow \mathcal{S}\text{sets}$  then  $\int_{\mathcal{S}} A = \mathcal{S}/A$  has as objects  $(s, a)$ ,  $s \in \mathcal{S}$ ,  $a \in A(s)$  & arrows  $(s, a) \rightarrow (t, b)$  are  $f: s \rightarrow t$  in  $\mathcal{S}$  with  $f^*(b) = a$

If  $X$  came from a diagram of categories

$$\mathcal{S}^{\text{op}} \xrightarrow{F} \text{Cat} \quad \text{then}$$

$$\downarrow N$$

$$N \mathcal{S} \xrightarrow{F} \mathcal{S}\text{sets}$$

where  $N \left( \int_{\mathcal{S}} F \right)$

category

$\int_{\mathcal{S}} F$  has as objects  $(s, x)$ ,  $s \in \mathcal{S}$ ,  $x \in F(s)$  object, arrows  $(s, x) \rightarrow (t, y)$

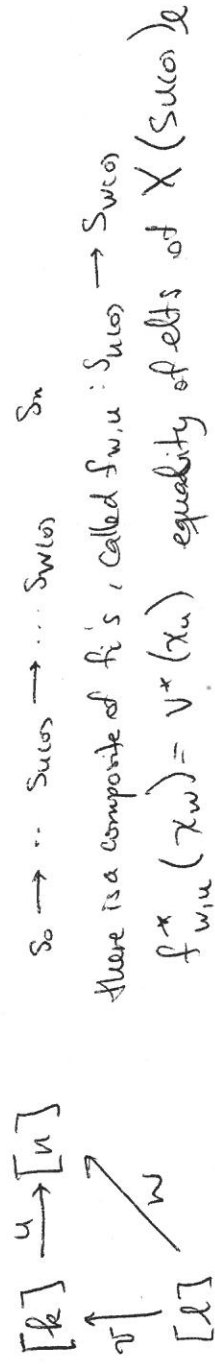
$f: s \rightarrow t$  in  $\mathcal{S}$ ;  $f^*(y) = x$  in  $F(s)$

10<sup>th</sup> Question is there is a construction  $\int_{\mathcal{S}} X \in \mathcal{S}\text{-set}$  for  $X: \mathcal{S}^{\text{op}} \rightarrow \mathcal{S}\text{-sets}$ ,

for which  $\int_{\mathcal{S}} N \circ F \cong N(\int_{\mathcal{S}} F)$ ?

Yes! An  $n$ -simplex of  $\int_{\mathcal{S}} X$  is a pair  $(s_0 \xrightarrow{f_0} \dots \xrightarrow{f_n} s_n, \alpha)$  where  $s_0 \rightarrow \dots \rightarrow s_n \in N(\mathcal{S})_n$  and  $\alpha$  assigns to each  $w: [k] \rightarrow [n]$  in  $\Delta$

an elt  $x_w \in X(s_{i(w)}, k)$ , in a natural way.



Guess: This is also a model for local  $X$

Fact: If each of the  $X(S)$  is Kan (inner), then so is  $\int_{\mathcal{S}} X$

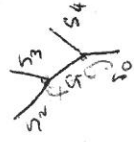
### Diagrams of dendroidal sets

$\mathcal{S}^{\text{op}} \xrightarrow{X} \mathcal{S}\text{-sets}$

Assume  $\mathcal{S}$  has finite products.

Any symmetric monoidal category  $\mathcal{E}$  can be viewed as a colored operad  $\mathcal{E}$ , where colors are the objects of  $\mathcal{E}$ , and  $\mathcal{E}(c_1, \dots, c_n; c) = \text{Hom}_{\mathcal{E}}(c_1 \otimes \dots \otimes c_n, c)$  hence it determines a dendroidal set, denoted  $N_d(\mathcal{E})$ .

Example: for our  $\mathcal{S}$  and a tree  $T$



$T = \begin{array}{c} \vee \\ \swarrow \quad \searrow \\ e \end{array}$  an element of  $N_d(\mathcal{S})_T$  is given by a labelling  $f: s_2 \times s_3 \rightarrow s_1$   $g: s_1 \times s_4 \rightarrow s_0$

$\begin{array}{c} \vee \\ \swarrow \quad \searrow \\ e \end{array} \xrightarrow{e_0} \begin{array}{c} \vee \\ \swarrow \quad \searrow \\ e \end{array}$  of this elt is  $s_2 \times s_3 \times s_4 \rightarrow s_0$   $g \circ (f \times s_4)$

We define a dendroidal set  $\int_{\mathcal{S}} X$ , whose dendrices of shape  $T$  are pairs  $(t, x)$  where  $t \in N_d(\mathcal{S})_T$  and  $x$  assigns to each  $u: S \rightarrow T$  an elt of  $X(\text{in}(u^*t))_S$  determines an object in  $(t) \in \mathcal{S}$

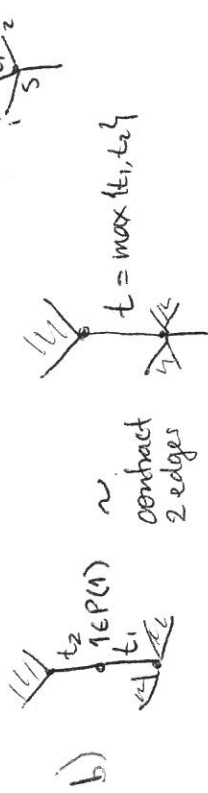


## (12) M Relations: (a) permutations

b) for "identity-vertices"

c) for length 0.

a) the tree above is equivalent



c) Contract edges of length 0 using substitution of P



W(P) is an operad · unit: |

· composition is by grafting, assigning length 1 to the newly arisen internal edges.

·  $\Sigma_n$  acts by permuting the labels on the input edges.

Ex For  $P = \text{Ass}$ ,  $W(P) \cong \mathcal{M}$  is a subdivided Stasheff polytope  $K_n$ .

There is a closed model structure on Operads in Top for which  $P \rightarrow Q$  is

a we / fib iff  $\forall n \ P(n) \rightarrow Q(n)$  is.

For suitable P, the map  $W(P) \xrightarrow{\varepsilon} P$  is a cofibrant resolution of P

(Suitable = "Σ-cofibrant")

in this model category

Moreover  $\varepsilon^*$  (P-algebras)  $\rightarrow$  (W(P)-algebras) is part of a Quillen equivalence.

### Variations

- 1) Relative case for  $Q \rightarrow P$ , get  $Q \rightarrow W(P; Q)$
- 2) Colored version (just label the edges (also) by the colors of the operad)  $(\mathcal{C}, \otimes)$  with interval  $I$
- 3) Replace  $(\text{Top}, x, [0, 1])$  by any monoidal category  $(\mathcal{C}, \otimes)$  with interval  $I$  equipped with a 'max':  $H \otimes H \xrightarrow{\text{max}} H$   $0 \vee x = x \vee 0$ ,  $1 \vee x = 1 = x \vee 1$   
 eg.  $\mathcal{C} = \text{Sets}$ ,  $H = \Delta[1]$ ;  $\varepsilon = \text{Cat}$   $H = \mathcal{J} = 0 \leftrightarrow 1$  or  $I = 0 \rightarrow 1$

$\mathcal{C}$  a small category. View  $\mathcal{C}$  as a colored operad in  $\text{Top}$ ; colors are objects of  $\mathcal{C}$ , all operations are unary, the spaces  $\mathcal{C}(c; d)$  are discrete.

What is  $W(\mathcal{C})$ ? It is a category enriched in  $\text{Top}$ .

An arrow  $c \rightarrow d$  is a sequence  $C = C_0 \xrightarrow{f_1} C_1 \xrightarrow{f_2} \dots \xrightarrow{f_n} C_n = d$  in  $W(\mathcal{C})$  with waiting times  $t_1, \dots, t_{n-1}$  lengths (same description as this morning but w/  $\text{Top}$  instead of  $\text{S Sets}$ ).

The Hom sets are cubes.

Da topological category  $\leadsto$  homotopy coherent nerve  $hcN(\mathcal{D}) = \tilde{N}(\mathcal{D})$

defined by  $hcN(\mathcal{D})_n = \text{Hom}_{\text{TopCat}}(W(\text{Inj}), \mathcal{D})$

Pa topological operad  $\leadsto$  htpy coherent dendroidal nerve  $hcNd(\mathcal{P})$

$hcNd(\mathcal{P})_T = \text{Hom}_{\text{TopOperads}}(W(\Omega(T)), \mathcal{P})$   
also denoted  $W(T)$

$\text{Top Op} \xrightarrow[\text{automatically (by Kan ext)}]{hcNd} \text{d Sets}$   $L$  closely related to  $W$ .

Eg for a discrete colored operad  $\mathcal{P}$ :  $L(N_d(\mathcal{P})) = W(\mathcal{P})$

Hope: this is part of a Quillen equivalence.

Prop  $hcNd(\mathcal{P})$  is a dendroidal inner Kan ex.  $\mathcal{E}$  with interval  $H$

More generally, if  $\mathcal{P}$  is an operad in a monoidal model category  $\mathcal{E}$  with interval  $H$  and  $\mathcal{P}(c_1, \dots, c_n; c)$  is a fibrant object, then  $hcNd(\mathcal{P})$  is inner Kan.

Ex Let  $\mathcal{P} = \text{Cat}$  (this a cartesian symm monoidal category, hence can be used as an operad whose colors are small categories)

So we have an inner Kan ex  $hcNd(\text{Cat})$

Let  $\text{Cat}_S$  be the operad whose algebras are Categories with  $S$  as objects (eg if  $S = * \text{, Cat}_S = \text{Ass}$ ), then  $\text{Hom}_{\text{Cat}_S}(\text{Cat}_S, hcN(\text{Cat}_S))$ ; this is a dendroidal set whose vertices are bicategories w/  $S$  as objects?

14) Take  $P = \text{Top}$ , then similarly  $\underline{\text{Hom}}(\text{No(Ass)}, \text{No}(\text{Top}))$  is a dendroidal set whose vertices are  $A_\infty$ -spaces.

$\underline{\text{Hom}}$  = internal hom of dsets, these both are dendroidal inner Kan. (adjoint to  $\otimes$ )

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Non rigid Reedy categories (Joint w/ C. Berger)

A Reedy category is a small category  $\mathbb{R}$ , together with 2 subcategories  $\mathbb{R}_+$  and  $\mathbb{R}_-$  (on the same objects) and a degree function  $d$

$$d: \text{Ob}(\mathbb{R}) \rightarrow \mathbb{N}$$

s.t.

- every non-identity arrow in  $\mathbb{R}_+$  raises degree
- every non-identity arrow in  $\mathbb{R}_-$  lowers degree
- every arrow  $r \rightarrow s$  in  $\mathbb{R}$  factors uniquely as  $r \xrightarrow{h} t \xrightarrow{g} s$  w/

$$\begin{array}{c} \mathbb{R}_+ \\ f \rightarrow s \\ r \end{array} \xrightarrow{h} t \xrightarrow{g} s \quad d(s) > d(r)$$

$$d(s) < d(r)$$

$$h \in \mathbb{R}_- \quad g \in \mathbb{R}_+$$

Examples:  $\Delta$   $d([n]) = n$ ,  $\Delta_+ = \text{monos}$  (comp of faces)

$\Delta_- = \text{epis}$  (comp of degeneracies)

In general if  $\mathbb{R}$  is a Reedy category, so is  $\mathbb{R}^{\text{op}}$  (switch roles of  $\mathbb{R}_+$  &  $\mathbb{R}_-$ )

2.  $\Delta^{\text{op}}$

$$3 \mathbb{N} \quad 0 \rightarrow 1 \rightarrow 2 \rightarrow \dots$$

$$4 \downarrow \begin{array}{c} \rightarrow \\ \Rightarrow \end{array}$$

Thm: If  $\mathcal{E}$  is a model category,  $\mathbb{R}$  Reedy, then  $\mathcal{E}^{\mathbb{R}}$  has a so-called

"Reedy model category structure".

Weak equivalences: pointwise  $X \rightarrow Y$  is a w.e. iff  $X_r \rightarrow Y_r$  is a weak equiv. in  $\mathcal{E} \quad \forall r \in \mathbb{R}$ .

• fibrations and cofibrations are described explicitly in terms of latching & matching objects.

$$X \in \mathbb{R}, r \in \mathbb{R}$$

$$\lim_{\substack{\longrightarrow \\ S \rightarrow T \\ \cap \\ \mathbb{R}_+ \text{ non-identity}}} X_S =: L_r(X) \text{ latching object}$$

non-identity

The colimit is over the subcategory  $\mathbb{R}_+ / r$  given by the non-identity arrows  $S \rightarrow r$ .

$$M_r(X) := \lim_{\substack{\longleftarrow \\ r \rightarrow S \\ \cap \\ \mathbb{R}_- \text{ non-identity}}} X_S$$

Limit over full subcat  $\mathbb{R}_-$  (excluding ident)

A map  $X \rightarrow Y$  is a cofibration if,  $\forall r$ , the map

$$X_r \cup L_r(Y) \rightarrow Y_r$$

is a cofibration

$$X_r \rightarrow M_r(X) \times Y_r$$

Dually for fibrations, in terms of

(Hovey's or Hirschhorn's book).

In a Reedy category, every automorphism is an identity.

These limits and colimits are rather small (ob w/  $d(s) < d(r)$ , there are not that many).

Another example is  $\Omega P = \text{planar trees}$  (for which  $\text{sets}^{\mathbb{R}_+^{\text{op}}} = \text{pd sets}$ ).

Non-examples:  $\Delta$  (cyclic category)

•  $\text{Fin}_*$  = pd finite sets

•  $\mathbb{T} = \text{Fin}_*^{\text{op}}$

•  $\text{Fin} = \text{finite sets}$

•  $\Omega = \text{non-planar sets}$

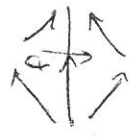
(16)M Recall: If  $G$  is a finite group,  $\mathcal{E}$  a model category, then there is a model category on  $\mathcal{E}^G$  when w.e. (fibrations) are def pointwise i.e.  $X \rightarrow Y$  is w.e (fib) iff underlying map in  $\mathcal{E}$  is one.

Def A nr Reedy category is a category  $\mathcal{R}$  together w/ subcategories  $\mathcal{R}_+$ ,  $\mathcal{R}_-$  (on the same objects) and a degree function  $d: \text{Ob}(\mathcal{R}) \rightarrow \mathbb{N}$  s.t.

(i). every non iso in  $\mathcal{R}_+$  raises deg

(ii) every non iso in  $\mathcal{R}_-$  lowers deg

(iii)  $\text{Iso's} \subseteq \mathcal{R}_+ \cap \mathcal{R}_-$  (converse  $\supseteq$  follows from previous two).



(iv). every  $f$  factors  $f = gh$  w/  $g \in \mathcal{R}_+$  &  $h \in \mathcal{R}_-$ ; unique up to iso.

<sup>self</sup> <sub>well</sub> (v). Iso's think the maps in  $\mathcal{R}$  are epi: if  $\phi f = f$  with  $f \in \mathcal{R}_-$ ,  $\phi$  iso, then

$$\emptyset = \text{id} \quad r \xrightarrow{f} s \rightrightarrows \emptyset$$

(The last one implies that the iso in (iv) is unique)

(NB) Condition (v) is not self dual

All the non-examples above are examples of nr Reedy cat. (their duals too)

Other examples

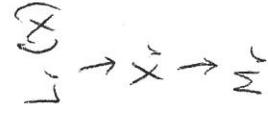
•  $G$  = any finite groups.

•  $\mathcal{D}_G$ : orbit category  $(G/H)$ ; as is  $\mathcal{D}_G^{\text{op}}$ ,  $G$  finite

If  $G$  is compact Lie,  $\mathcal{D}_G$  is examp but  $\mathcal{D}_G^{\text{op}}$  is not

Define  $L_r(X)$  &  $M_r(X)$  excluding isos too, i.e.  $L_r(X) := \lim_{\substack{\mathcal{R}_+ \\ \text{non-iso}}} X_s$ ;  $\mathcal{R}_+ // r$

$$M_r(X) := \lim_{\substack{\mathcal{R}_- \\ \text{non-iso}}} X_s$$



$\text{Aut}(r)$  acts everywhere ( $X_r, M_r(X), L_r(X)$ ) and the maps

are equivariant under this action.



Then If  $\mathcal{R}$  is a  $n$ -reedy category,  $\mathcal{E}$  a model category, then  $\mathcal{E}^{\mathcal{K}}$  has  $M(U)$

a " $n$ -reedy-model structure" in which

$\circ X \rightarrow Y$  weak fib iff  $X_r \rightarrow Y_r$  is one in  $\mathcal{E}^{\text{Aut}(r)}$   $\forall r$

$\circ$  " cofibration iff  $X_r \cup_{L_r(X)} L_r(Y) \rightarrow Y_r$  is one in  $\mathcal{E}^{\text{Aut}(r)}$   $\forall r$

$\circ$  " fibration iff  $X_r \rightarrow M_r(X) \cup_{M_r(Y)} M_r(Y)$  is one in  $\mathcal{E}^{\text{Aut}(r)}$   $\forall r$

(for first and third can work in  $\mathcal{E}$  instead because of the way we defined model structure on  $\mathcal{E}^g$ ).

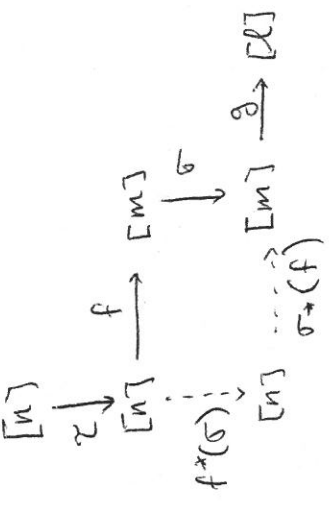
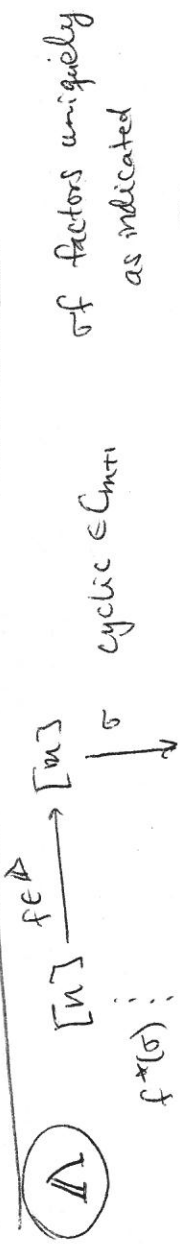
The proof treats all  $r$  at the same time & uses

$$\mathcal{R} \xleftarrow{\mathcal{S}_n} \mathcal{R}^+ \xrightarrow{\mathcal{G}_n} \mathcal{G}_n(\mathcal{R}) \xleftarrow{\mathcal{I}_n} \mathcal{R}$$

groupoid of  
isos in  $\mathcal{R}$

$L_n(X) = \mathcal{G}_n \mathcal{S}_n^\sigma(X) \in \mathcal{E}^{\mathcal{G}_n(\mathcal{R})}$  is a description of the latching objects  $L_r(X)$  for all  $r$  of deg  $n$ , and the action of  $\text{Aut}(r)$ .

Motivating example  $\mathcal{E}^{\Omega^{\text{or}}} = \text{dendroidal objects in } \mathcal{E}$ .



In  $\Delta$ , arrows are pairs  $(f, \tau)$

$$(g, \sigma) \circ (f, \tau) = (g \sigma_*(f), f^*(\sigma) \tau)$$

$\Omega_{\mathcal{P}} = \mathcal{R} \mathcal{P}$   
 $T \mapsto \text{Aut}(T)$  is like a crossed group on  $\Omega_{\mathcal{P}}$  (instead of  $\Delta$ )

$$\text{Sets}^{\Delta^{\text{or}}} / \text{Aut}[\ ] = \text{Ssets} \quad \text{Sets}^{\Omega_{\mathcal{P}}} = \text{Sets}^{\mathcal{R} \mathcal{P}}$$

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Corrections about  $\Delta$

Arrows are pairs  $(f, \sigma) \text{ } C_{n+1} \ni \sigma \downarrow$   
 $[n] \xrightarrow{f} [m]$   
 $\Delta$

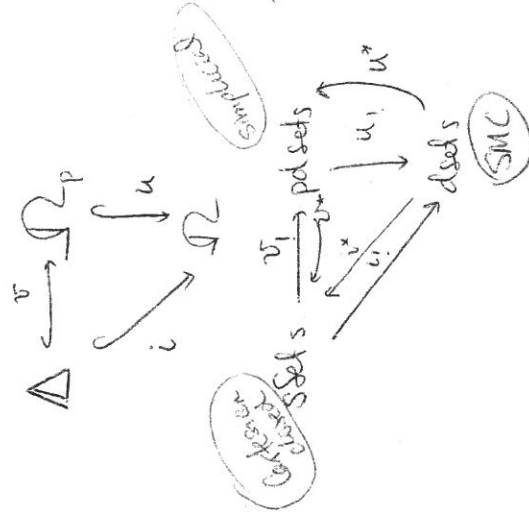
$\Delta \xrightarrow{\text{forget}} \Delta$  is not faithful

$[0]$  is not a terminal object  
 $\text{Hom}([n], [0]) \simeq C_{n+1}$

$\Delta / \wedge [0] \simeq \Delta$      $\text{Sets}^{\Delta^{op}} \simeq \text{Sets}^{\Delta^{op}} / \wedge [0]$      $\wedge [0]$  generic circle

$\Delta \xrightarrow{i} \Delta \simeq \text{Sets}^{\Delta^{op}} \xrightarrow{i} \text{Sets}^{\Delta^{op}} \quad i^* \wedge [0] = \Delta [1] / \dot{\Delta} [1]$

Strong parallel:  $\Omega_p \longleftrightarrow \Omega$   
 $\Delta \longleftrightarrow \Delta$



$v_i, i, f.f. \quad \text{dssets}/u \rightarrow \text{dssets}/p$   
 $= \quad \downarrow$   
 $\text{dssets}$   
 $u$  unit for  $\otimes$  in  $\text{dssets}$

Inner horns  $\Lambda^e(T) \longrightarrow \Omega(T)$  (e internal edge)

Inner Kan ex: RLP w/rt inner horns.

- In  $s\text{sets}$ : quasi-categories
- In  $d\text{sets}$ : quasi-operads
- In  $pd\text{sets}$ : quasi-non  $\Sigma$  operads (quasi-multicategory)

Thm There is a simplicial closed model category structure on  $pd\text{sets}$ , in which

- the cofibrations are the monos
- the weak equivalences are maps  $A \rightarrow B$  for which, for any Kan ex  $F$ ,

$\mathcal{C} \text{Hom}(B, F) \xrightarrow{\text{quasi-cat}} \mathcal{C} \text{Hom}(A, F) \xrightarrow{\text{q-cat}}$  is an equivalence of categories.

the fibrant objects are exactly the inner Kan cxs.

Corollary There is an induced closed model structure on pdsets /  $\mathcal{U} = \text{Ssets}$

This in fact the Joyal model structure (for quasi-categories).

Model structure on dsets

Recall that a monomorphism  $X \rightarrow Y$  is normal if the stabilizer  $\text{Aut}(T)_y$  of any nondegenerate  $y \in Y(T) - X(T)$  is trivial.  $Y$  is normal if  $\phi \rightarrow Y$  is normal.

The normal monos are generated by  $\partial\Omega(T) \hookrightarrow \Omega(T)$  for all  $T$ .

Remarks

"normalization"  $X_n \xrightarrow{p} X$ , given by a normal

1. Every object  $X \in \text{dsets}$  has a "normalization"  $X_n$  w.r.t all normal monos object  $X_n$  and a map  $p$  which has the RLP

(usual small object argument using  $\{\partial\Omega(T) \hookrightarrow \Omega(T) \mid T \in \Omega\}$ )

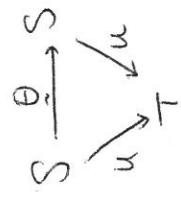
In dsets we have the natural interval  $i_1(J)$ , & we can use it to define

homotopies  $i_1(J) \otimes A \xrightarrow{H} B$

The normalization is unique up to homotopy in this sense.

2. If  $Y \rightarrow X$  is any map and  $X$  is normal, then so is  $Y$ .

Related fact:  $\Omega/T$  has no automorphisms.



factor  $u$  as  $S \xrightarrow{\tau} S \xrightarrow{\sigma} R \xrightarrow{d} T$   
Comp of degeneracies faces

$\sigma \tau \theta = \sigma \tau$   
 $\sigma \tau \theta = \sigma \tau$   
 $\sigma(\tau \theta^{-1}) = \sigma$   
 So  $\tau \theta \tau^{-1}$  permutes fibers of a degeneracy, but these are linear so  $\tau \theta \tau^{-1} = \text{id} \Rightarrow$  a tree  $T$  is normal.

Thm There is a closed model structure on dsets in which:

- the cofibrations are the normal monomorphisms
- $A \rightarrow B$  is a weak equiv iff for normalizations  $B_n \rightarrow B$  and  $A_n \rightarrow A$

20M fitting into a square (you can do this)

$$\begin{array}{ccc} A_n & \longrightarrow & B_n \\ \downarrow & & \downarrow \\ A & \longrightarrow & B \end{array}$$

The induced map

$$\mathcal{C}(i^* \underline{\text{Hom}}(B_n, F)) \longrightarrow \mathcal{C}(i^* \underline{\text{Hom}}(A_n, F))$$

is an equivalence of categories, for inner Kan cxs  $F$ .

- $(\underline{\text{Hom}}(A_n, F))$  is a quasi-operad,  $i^*(\underline{\text{Hom}}(A_n, F))$  is a quasi-cat
- Any fibrant object is an inner Kan cx (the converse might not be true).  
presumably false.

Question: Does this make  $\text{dsets}$  into a monoidal model category?

Remark If we slice the model structure to get one on  $\text{dsets}/U = \text{ssets}$  we recover the

Joyal model structure.

Question: Do we get the early model structure on  $\text{pdsets}$  when we slice by  $P$ ?

About the proofs

The case of  $\text{pdsets}$  is relatively standard and the difficulty is concentrated in showing that there is a set of generators for the trivial cofibrations.

It is going to be a set of trivial cofibrations between countable presets.

- A map  $X \xrightarrow{f} Y$  is a trivial cofibration iff the induced map  $X_\infty \xrightarrow{f} Y_\infty$  is a deformation retract, where  $X \xrightarrow{f} X_\infty$  is an anodyne ext into an inner Kan cx.

a deformation retract, where  $X_\infty$  can be taken to be countable.

- If  $X$  is countable,  $X_\infty$  can be taken to be countable.
- If  $A \subseteq X_\infty$  is countable,  $\exists Y \subset X$  with  $A \subseteq Y_\infty$ .

$$\begin{array}{ccc} X \supseteq B_0 & \subseteq U_1 \subseteq (A_1)_\infty \subseteq U_2 \subseteq \dots & X_\infty \\ \downarrow & \downarrow & \downarrow \\ Y \supseteq B_0 & \subseteq V_1 \subseteq (B_1)_\infty \subseteq V_2 \subseteq \dots & Y_\infty \end{array}$$

countable

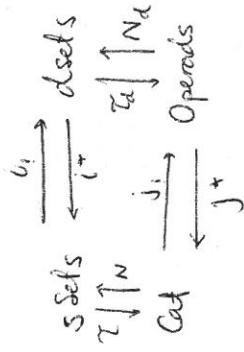
$$\begin{array}{ccc} U U_n & & U U_n \\ \downarrow \cong & \text{def retract} & \downarrow \cong \\ U V_n & & U V_n \end{array}$$

equal to  $U(A_n)_\infty = (UA_n)_\infty$   
 $U(B_n)_\infty = (UB_n)_\infty$

arbitrary countable subsets

Conclusion: there are countable  $\bar{A} \triangleright A$ ,  $\bar{B} \triangleright B$  for which  $f: \bar{A} \rightarrow \bar{B}$  induces a def retraction on  $\bar{A}_\infty \rightarrow \bar{B}_\infty$

2/13/08 CRM // Dendroidal Sets



$\tau_* \circ N_d(P) = I$  ( $N_d$  is fully faithful)

$\mathcal{E}$  symmetric monoidal category.

$\mathcal{P}$  operad in sets

$\underline{\text{Hom}}(N_d(P), N_d(\mathcal{E}))$  is the dendroidal set whose vertices are  $\mathcal{P}$ -algebras in  $\mathcal{E}$ .

Eg.  $\mathcal{P} = \text{Ass}$  we get monoids in  $\mathcal{E}$ .

$\mathcal{P} = \text{Ass}_S$  (the operad with  $S \times S$  as set of colors whose algebras are categories w/  $S$  as set of objects)

$\underline{\text{Hom}}(N_d(P), N_d(\mathcal{E}))$  has vertices the  $\mathcal{E}$ -enriched categories on  $S$ .

$X^{\wedge}$  (strict) inner Kan ex }  $\underline{\text{Hom}}(A, X)$  is (strict) inner Kan.  
A normal

$\underline{\text{Hom}}(N_d(P), N_d(\mathcal{E}))$  is again the nerve of an operad.

If  $X$  is an inner Kan dendroidal set, then

$\underline{\text{Hom}}(N_d(\text{Ass}_S), X)$  is again inner Kan.

Its vertices are "categories on  $S$  enriched in  $X$ ".

Vertices of  $\underline{\text{Hom}}(N_d(P), N_d(\mathcal{E}))$  are  $\mathcal{P}$ -algebras in  $\mathcal{E}$ .

Dendrices of shape  $\phi$  are given by two such & a map between them:

$$\begin{aligned}
 \Omega(\phi) = \Omega[\downarrow] &\longrightarrow \underline{\text{Hom}}(N_d(P), N_d(\mathcal{E})) &\iff \Omega[\downarrow] \otimes N_d(P) &\longrightarrow N_d(\mathcal{E}) \\
 & &\iff \tau_a(\Omega[\downarrow] \otimes N_d(P)) &\longrightarrow \mathcal{E}
 \end{aligned}$$

22) Since  $\tau_1(X \otimes Y) = \tau_1(X) \otimes_{BV} \tau_1(Y)$ , the last line  $\Leftrightarrow$

$$\Omega(\mathcal{P}) \otimes_{BV} P \rightarrow E$$

ie a  $(\Omega(\mathcal{P}) \otimes_{BV} P)$ -algebra in  $E$ . If  $P$  has just one color  $c$ ,

$\Omega(\mathcal{P}) \otimes_{BV} P$  has two colors  $(a, c)$ ,  $(b, c)$  and one new operation

$$\begin{array}{c} a/c \\ \swarrow \quad \searrow \\ d/c \quad e/c \\ \swarrow \quad \searrow \\ b/c \quad c/c \end{array} \sim \begin{array}{c} c/c \\ \swarrow \quad \searrow \\ b/c \quad c/c \end{array}$$

commuting w/ the operations from  $P$

What happens if instead of  $N_d(E)$  we put a (non-strict inner Kan  $CX$ ,

eg  $hcN_d(Top)$ ?

$\text{Hom}(N_d(Ass), hcN_d(Top))$  is an inner Kan  $CX$ , whose vertices are  $A_\infty$ -spaces.

Such a vertex is a map  $N_d(Ass) \xrightarrow{F} hcN_d(Top)$

$F$  is a natural transformation

$$F_1 : N_d(Ass) \xrightarrow{\text{pt}} hcN_d(Top)$$

"the set" of spaces (objects of  $Top$ )

$F_1$  picks a space  $X$ .

$F_X : N_d(Ass)_{b,c} =$  a set of two points "b.c", "c.b"

$hcN_d(Top)_X =$  all diagrams given by three spaces & map  $U \times V \rightarrow W$

Since it is ~~functional~~  $F_X$  gives  $X \times X \xrightarrow{\mu_2} X$ , together with its twist  $\mu_2 \circ \tau$ .

Similarly  $F_{C_k}$  defines  $\mu_k : X^{x_k} \rightarrow X$  where  $C_k$  is the corolla 

$T = \prod_{a,c} N_d(Ass)$   $F_T ; hcN_d(Top)_T$  its elements are given by  $X_a, \dots, X_e$  spaces;

$$\begin{array}{c} X_c \times X_d \xrightarrow{f} X_b, \quad X_b \times X_e \xrightarrow{g} X_a \\ \text{maps} \end{array} \quad \text{Composing to } X_c \times X_d \times X_e$$

and for each  $t \in [0, 1]$ , a map  $h_t : X_c \times X_d \times X_e \rightarrow X_a$

By naturality:  $h_c$  is a homotopy from  $(t=0) \mu_3 : X \times X \times X \rightarrow X$  to  $(t=1) (X \times X) \times X \xrightarrow{\mu_2 \times X} X \times X \xrightarrow{\mu_2} X$

The mirror tree  $Y$  gives a homotopy  $h_t$  from  $\mu_3$  to  $\mu_2(X, \mu_2)$ .

So on, & so forth.

Dendrices of shape  $\downarrow$  in  $\underline{\text{Hom}}(N_d(\text{Ass}), \text{hc}N_d(\text{Top}))$  are given by two such  $A_\infty$ -spaces  $X, Y$  and a map  $f: X \rightarrow Y$ , and that's  $\downarrow$  explicitly given by saying  $f$  respects the multiplication up to homotopy.

What comes in is the BV-resolution of operads of the form  $\downarrow \otimes Y_{m_2}$  or

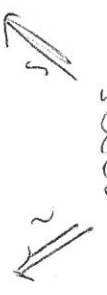


Similarly if we replace  $(\text{Top}, x, [0, \Pi])$  w/  $(\underline{\text{Cat}}, x, \text{Ox} \rightarrow 1)$ , we find

that  $\underline{\text{Hom}}(N_d(\text{Ass}), \text{hc}N_d(\underline{\text{Cat}}))$  is the inner Kan  $\text{ex}$  (individually sets) of monoidal categories.

Don't have this, but

$$(\text{CO}) \otimes E \dots \dots \text{CO}(\text{DOE})$$



three-fold tensor product.

by adjunction

$$\text{A map } N_d(\text{Ass}) \rightarrow \underline{\text{Hom}}(N_d(\text{Ass}), \text{hc}N_d(\underline{\text{Cat}}))$$

$$N_d(\text{Ass}) \otimes N_d(\text{Ass}) \rightarrow \text{hc}N_d(\underline{\text{Cat}}) \otimes X$$

(to be distinguished from a map  $N_d(\text{Ass}) \otimes N_d(\text{Ass}) \rightarrow N_d(\underline{\text{Cat}})$  which is

$$\text{the same as } \underset{\text{BV}}{\text{Ass}} \otimes \underset{\text{Comm}}{\text{Ass}} = \text{Td}(N_d(\text{Ass}) \oplus N_d(\text{Ass})) \rightarrow \underline{\text{Cat}}$$

$\otimes X$  is a category w/ ~~structure~~ two monoidal structure distributing over each other up to higher coherences. (Known to be essentially the same as a braided monoidal category - Joyal-Street).

24 M The map  $N_d(\text{Ass}) \otimes N_d(\text{Ass})$  is very far from being an equivalence.

$$N_d(\text{Ass} \otimes_{\mathbb{B}} \text{Ass})$$

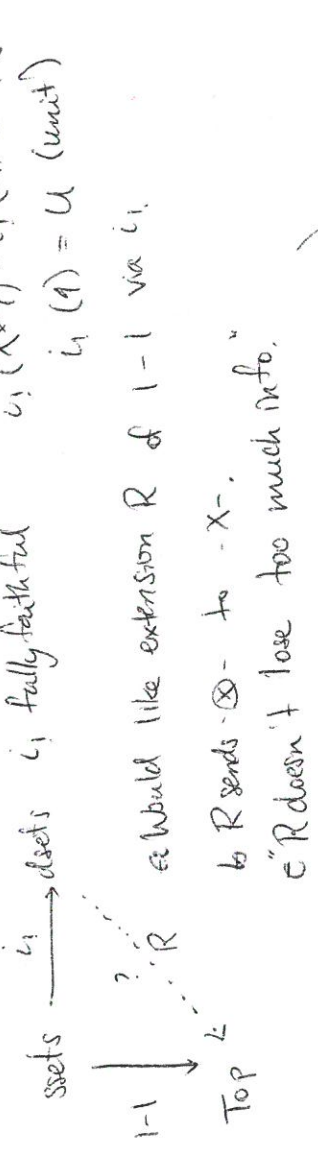
$$\parallel$$

$$N_d(\text{Comon})$$
 (Symmetric monoidal  $\neq$  braided monoidal)

2/14/08 CRM // Dendroidal Sets.

1. Geom realization / class topoi
2. Relation  $d\text{sets} \leftrightarrow \text{top operads}$
3. Weak n-categories

IS there an informative "geometric" realization of dendroidal sets?



$((1-1 \cdot i_1^*))$  satisfies the first two, but definitely not the third.)

Some simple-minded functors satisfying a15 but not c:

- $R_X = |i_1^*(X)|$  badly fails c.
  - $\Omega \rightarrow \text{posets}$   $T \mapsto$  "T is the poset of its edges" =:  $\bar{E}(T)$
- Compose w/ classifying space and "Kan extend".





R maps  $2\Omega(T) \hookrightarrow \Omega(T)$  to an iso for some rather small trees

already  $(XY)$

Variation of the question: replace Top by a monoidal model category

which we know.

Compare the situation to that of cyclic sets

$$\text{sets} \xleftarrow{w_i} \text{cyclic sets}$$

$$\Delta \xrightarrow{w} \Lambda$$

If  $X$  is a cyclic set, we ~~can~~ <sup>could</sup> realize it as  $|w^*(X)|$ , but in fact this is an  $S^1$ -space.

Cyclic sets is a classifying topos for "arbitrary circles".

So if  $\mathcal{E}$  is any category with sufficiently nice exactness properties and  $\mathcal{C}$  is an abstract circle in  $\mathcal{E}$ , we find a realization

$$\text{cyclic sets} \longrightarrow \mathcal{E}$$

$$\Lambda[0] \longmapsto \mathcal{C}$$

Eg.  $\mathcal{E} = S^1\text{-Top}$ , &  $\mathcal{C} = S^1$  with its standard action by translation.

Related fact:  $BA = BS^1$

So to find  $R: \text{dsets} \rightarrow \mathcal{E}$ , it could help to find

- (i) what does dsets "classify"? either via
  - topos theoretic interpretation
  - or
  - something similar, replacing  $\times$  by  $\otimes$

$$? \xrightarrow{\text{multicategories}} \frac{\text{linear order}}{\text{categories}}$$

Related question: what is multilinear order?

(ii) What is the homotopy type of  $B\Omega$  (or  $B\Omega_p$ )?

About weak n-categories

Recall: If  $A$  is normal and  $X$  is a (strict) inner Kan, then  $\text{Hom}(A, X)$

is again (strict) inner Kan.

26) M. If  $\mathcal{S}$  is a category with finite products &  $X: \mathcal{S}^{\text{op}} \rightarrow \text{sets}$  is a diagram of (strict) inner Kan cxs, then

$\int_{\mathcal{S}} X$  is again (strict) inner Kan.

Cat is a symmetric cat (of small categories).

Define a strict inner Kan cx  $\mathcal{N}\text{-Cat}$  by induction

$$1\text{-Cat} = \mathcal{N}_d(\text{Cat})$$

$$(n+1)\text{-Cat} = \int_{\mathcal{S}} \text{Hom}(\mathcal{N}\text{Ass}_{\mathcal{S}}, n\text{-Cat})$$

$\mathcal{S} = \text{sets}$

$\mathcal{S}$  vertices here are categories on  $\mathcal{S}$  enriched in  $\mathcal{N}\text{-Cat}$

Cat has a nice interval  $J = 0 \leftrightarrow 1$ , so instead of  $\mathcal{N}_d(\text{Cat})$ , we could take

$$\text{hc}\mathcal{N}_d(\text{Cat}) =: \mathcal{W}1\text{-Cat}$$

$$\mathcal{W}1\text{-Cat} = \int_{\mathcal{S}} \text{Hom}(\text{Ass}_{\mathcal{S}}, \mathcal{W}, n\text{-Cat}) \text{ inner Kan cx.}$$

Eg. The vertices of  $(\mathcal{W}, 2\text{-Cat})$  are the usual bicategories. (unbiased notions)

### Questions:

- 1) Explore this definition in low degrees. Would you be so kind to
- 2) "Strictification hypothesis": For any reasonable definition of weak  $n$ -category and equivalence between two such any weak  $n$ -category is equivalent to one arising as a vertex of the inner Kan cx  $(\mathcal{W}, n\text{-Cat})$ .
- 3) Baez-Dolan stabilization hypothesis: (From the thesis of Ittay Weiss)
 
$$\mathcal{W}\text{Cat}_k^n = \text{Hom}(\mathcal{W}\text{Ass}^{\text{ok}}, \mathcal{W}, n\text{-Cat})$$

" $k$ -fold monoidal weak  $n$ -category"

$\mathcal{W}\text{Cat}_k^n = \mathcal{W}\text{Cat}_{k+1}^n$  as seen as  $k \geq n+2$

In this language, the hypothesis would be a consequence of

Conjecture:  $\underline{wCat}_n^m$  is strict inner Kan

(ie as soon as you have as many monoidal structures as there is "room", everything is strict: strict composition)

$$w\underline{Cat}_{k+l}^m = \underline{Hom}(\underline{Nd(Ass)}^{\otimes l}, w\underline{Cat}_k^m)$$

If  $w\underline{Cat}_n^m$  is a strict inner Kan, it is  $\underline{Nd}(P)$  for an operad  $P$ , via adjunction and  $\underline{Cat}(\underline{Nd(Ass)}^{\otimes l}) = \underline{Ass}^{\otimes l} = \underline{Comm}$   $l \geq 2$ .

Id sets  $\stackrel{?}{=} \text{"topological operads"}$

Facts:

① For a fixed set  $C$ , the  $C$ -colored operads in a s.m.c.  $\mathcal{E}$  (Top, sets, Ch) carry a model structure (Berger-M, Street volume, Contemp Math) & the BV-resolution  $W(P) \xrightarrow{\mathcal{E}} P$  is a cofibrant resolution under some conditions on  $P$ .  $(P\text{-alg})$  is a model category.

Moreover, for such  $P$ ,  $\mathcal{E}^*(P\text{-alg}) \rightarrow (W(P)\text{-alg})$  is part of a Quillen equiv (w/ left adjoint  $\mathcal{E}_!$ ) "strictification".

By the way, for a fixed  $C$ , there is a nice operad  $\mathcal{O}_C$  with algebras  $C$ -colored operads. ( $\perp$  the model structure on operads becomes a special case of these en algebras).

We can assemble the categories  $(\mathcal{O}_C\text{-alg})$  of  $C$ -colored operads for various  $C$  into one big fibered category of colored operads, & get a model structure on these. (in which the weak equiv are fiberwise, ie induce iso's on the sets of colors).

② The "naive" model structure on  $\underline{Cat}$  in which the weak equiv are equivalences of categories extends to a model structure on the category of colored operads in sets.

28) M A map  $P = (C, P) \xrightarrow{(c, \psi)} (D, Q) = Q$  is a w.e. iff it is fully faithful ( $P(c_1, \dots, c_n) \xrightarrow{\sim} Q(fc_1, \dots, fc_n; fc)$ ,  $\forall c_1, \dots, c_n, c$ ) and essentially surjective (ie  $j^*(P) \rightarrow j^*(Q)$  is an equivalence of categories).

Question: Is there a model structure on topological (simplicial) colored operads that mixes these two? In the sense that

$P \rightarrow Q$  is a w.e. iff it is a w.e. in the sense of ①

( $P(c_1, \dots, c_n, c) \xrightarrow{\sim} Q(fc_1, \dots, fc_n; fc)$  w.e. of spaces) and

$\Pi_0(P) \rightarrow \Pi_0(Q)$  is a w.e. in the sense of ②

[This would be an extension of the "DK model structure" due to Julia Bergner]

The Boardman Vogt resolution  $T \mapsto W(\Omega(T)) =: W(T)$

$\Omega \rightarrow (\text{Top operads})$  induces an adjunction

$$\text{dsets} \begin{array}{c} \xrightarrow{\text{hcTa}} \\ \xleftarrow{\text{hcNd}} \end{array} \text{topological operads}$$

Question: Is this part of a Quillen equivalence? (w.r.t the alleged Bergner structure above on the right hand side and the model structure of the lectures on the left).

Might want to do the planar case first.

There is a derived tensor on Top operads:  $P \otimes_{\text{BY}} Q = W(P) \otimes W(Q)$  and

hcTa hopefully preserves this.

$\text{hcTa}(\text{Ass}) = W(\text{Ass}) = A_\infty$

If hcTa behaves well w.r.t tensor products, this should show that

$\text{hcTa}(\text{Nd}(\text{Ass})^{\text{obk}})$  is an Efc operad.

Any dendroidal inner Kan ex  $X$  defines an "operad up to homotopy", whose colors are the vertices of  $X$  (dendrices of shape 1), denoted  $o(X)$

g-cat.  $\rightarrow$

$$o(X)(c_1, \dots, c_n, c) \rightarrow \text{Hom}(C_n, X)$$

$$\downarrow \quad \downarrow$$

$$1 \xrightarrow{c_0, \dots, c_n} X^{n+1}$$

It is a dendroidal set over a unit, so it is a simplicial set (g-cat)

$$1 \hookrightarrow \begin{array}{c} c_1 \\ \diagdown \quad \diagup \\ c_0 \end{array} \xrightarrow{c_n} \begin{array}{c} \cdot \\ \diagdown \quad \diagup \\ \cdot \end{array}$$

Guess: this  $o(X)$  is a  $W(\mathcal{O}_{\text{vertices}(X)})$ -algebra (recall  $\mathcal{O}_C$ -algebras are  $C$ -colored operads).

Using the Quillen equivalence

$$\mathcal{O}_{\text{vertices}(X)}\text{-alg} \rightarrow W(\mathcal{O}_{\text{vert}(X)}\text{-alg})$$

the operad-up-to-homopy  $o(X)$  is w.e. to a "strict" (ie true) operad on the same set of colors  $o(X)^{\text{str}}$ .

Guess:  $o(X)^{\text{str}} \simeq \text{hcTd}(X)$