

# Representability of Functors in Abstract Homotopy

by E.H. Brown, Jr., 30 September 1963

Let  $C$  be a category in which  $[x, y]$  denotes maps from  $x$  to  $y$ . Let  $\mathcal{S}$  be the category of sets and  $H: C \rightarrow \mathcal{S}$  be a contravariant functor. We consider the problem of imposing conditions on  $C$  and  $H$  so that  $H \approx [ \_, Y_H ]$  for some  $Y_H \in C$ .

Let  $C_0 \subset C$  be a fixed subcategory. We shall require  $(C, C_0)$  to satisfy:

- (1)  $C_0$  is a full, small subcategory of  $C$
- (2)  $C_0$  has finite sums of objects,  $C$  has arbitrary sums, and they agree.
- (3) For any  $f_i: A \rightarrow X_i$ ,  $i=1, 2$  in  $C$ , there exist  $Z$  and  $g_i: X_i \rightarrow Z$  with the following universal property. If  $g'_i: X_i \rightarrow Z'$  and  $g'_1 f_1 = g'_2 f_2$  then  $\exists h: Z \rightarrow Z'$  for which  $g'_i = h g_i$ . If  $f_i$  are in  $C_0$  we

can choose  $g_i \in C_0$ .

(4) given  $X_1 \rightarrow X_2 \rightarrow \dots \rightarrow X_n \xrightarrow{f_n} X_{n+1} \rightarrow \dots$

there exist  $X_\infty$ ,  $g_n: X_n \rightarrow X_\infty$  with  $g_{n+1} \circ f_n = g_n$  and such that: (a) for  $z \in C_0$ , the

expressions  $\rightarrow [z, X_n] \xrightarrow{f_{n*}} [z, X_{n+1}] \rightarrow \dots$ ,  
 $[z, X_n] \xrightarrow{g_{n*}} [z, X_\infty]$  satisfy  $\lim_{n \rightarrow \infty} [z, X_n] \xrightarrow{\lim g_{n*}} [z, X_\infty]$

is an isomorphism, and (b) given  $z \in C$

the expressions  $\leftarrow [X_n, z] \xleftarrow{f_{n*}} [X_{n+1}, z] \leftarrow \dots$

$[X_n, z] \xleftarrow{g_n^*} [X_\infty, z]$  satisfy

$[X_\infty, z] \xleftarrow{\lim g_n^*} \lim [X_n, z]$  is onto.

(5) given  $f: Y \rightarrow Y'$  in  $C$ , if  $f_*: [X, Y] \cong [X, Y']$  for all  $X \in C_0$  then  $f$  is an equivalence.

Example: Let the objects of  $C$  be CW complexes with base points. For  $X, Y \in C$  let  $[X, Y]$  be the homotopy classes of maps from  $X$  to  $Y$ . Let  $C_0$  be the finite CW cdx.

Then  $(C, C_0)$  satisfies (1) — (5).

For (2) define  $X + Y = XY$

For (3) form  $Z$  by taking mapping cylinders  $Cf_i$  of  $f_i : A \rightarrow X_i$  then identifying corresponding points of  $A \subset Cf_1$  and  $A \subset Cf_2$

For (4) form  $X_\infty$  as an identification space of the mapping cylinders  $Cf_n$ . Then part (a) follows by a compactness argument and

(b) by the homotopy extension theorem.

(5) reduces to a theorem by J.H.C. Whitehead

There are other examples as well.

Let  $(C, C_0)$  satisfy the above conditions.

Given maps  $f_1, f_2 : A \rightarrow X$  in  $C$  we can form their "equalizer"  $h : X \rightarrow Z$  as

follows:  $f_1 + f_2 : A + A \rightarrow X$  and

$1 + 1 : A + A \rightarrow A$ , so by (3) there exist

$h : X \rightarrow Z$  and  $g : A \rightarrow Z$  such that

$h \circ (f_1 + f_2) = g \circ (1 + 1)$  and the universal

property holds. Thus  $h \cdot f_1 = h \cdot f_2 \iff f_1, f_2$  are in  $C_0$ ,  $h$  may be chosen in  $C_0$ .

We shall require the functor  $H: C \rightarrow \mathcal{L}$  to satisfy:

(i) Let  $\{X_\alpha\}$  be objects in  $C$  and  $i_\alpha: X_\alpha \rightarrow \sum_B X_B$  be the injections. Then

$$\prod H(i_\alpha) : H(\sum_B X_B) \rightarrow \prod_\alpha H(X_\alpha) \text{ is an iso.}$$

(ii) Suppose  $f_i: A \rightarrow X_i$  and  $g_i: X_i \rightarrow Z$  are as in (3). If  $u_i \in H(X_i)$  and  $H(f_1)u_1 = H(f_2)u_2$  then  $\exists v \in H(Z)$  such that

$$H(g_i)v = u_i$$

Lemma: Let  $(C, C_0)$  and  $H$  satisfy the above sets of conditions. Let

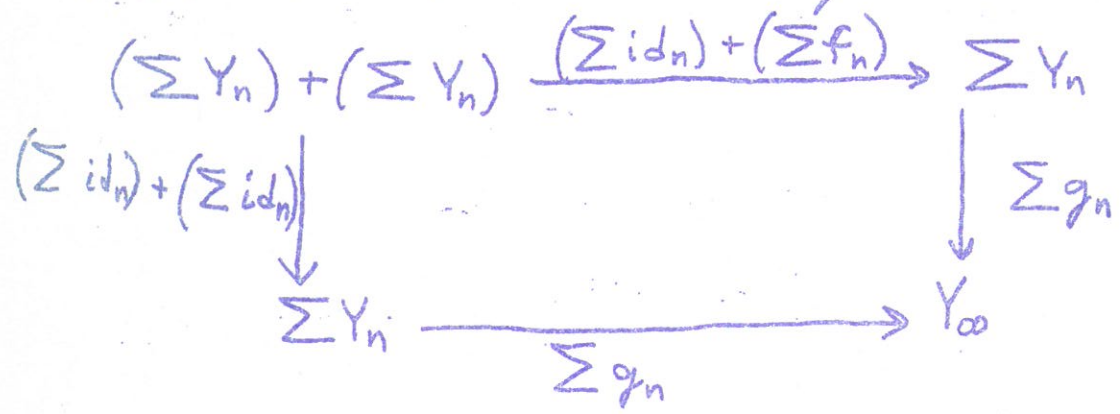
$$Y_1 \rightarrow Y_2 \rightarrow \dots \rightarrow Y_n \xrightarrow{f_n} Y_{n+1} \rightarrow \dots \rightarrow Y_\infty$$

and  $g_n: Y_n \rightarrow Y_\infty$  be as in (4). Then

$$H(Y_\infty) \xrightarrow{\text{Lim } H(g_n)} \text{Lim } H(Y_n) \text{ is onto.}$$

Proof The maps  $\sum id_n, \sum f_n : \sum Y_n \rightarrow \sum Y_n$   
 have as an equalizer  $\sum g_n : \sum Y_n \rightarrow Y_\infty$

For observe that the diagram



is commutative and satisfies the universal property by (4b).  $\forall u \in H(\sum Y_n)$  and

$H(\sum id_n)u = H(\sum f_n)u$  then

$$H[(\sum id_n) + (\sum f_n)](u) = H[(\sum id_n) + (\sum id_n)](u)$$

Thus by (ii)  $\exists v \in H(Y_\infty)$  such that

$$H(\sum g_n)(v) = u.$$

Thus the image of  $H(Y_\infty) \xrightarrow{\sum H(g_n)} H(\sum Y_n) \approx \prod H(Y_n)$  is

$$\{u \in H(\sum Y_n) \mid H(\sum id_n)(u) = H(\sum f_n)(u)\} \approx \varprojlim H(Y_n)$$

Hence  $H(Y_\infty) \xrightarrow{\sum H(g_n)} \varprojlim H(Y_n)$  is onto.

Using this lemma one proves:

Theorem: If  $(C, C_0)$  satisfies (1) — (5) and  $H$  satisfies (i), (ii), then  $\exists$  a unique  $Y_H \in C$  and a natural equivalence  $T: [C, Y_H] \longrightarrow H$ .

Theorem: Let  $(C, C_0)$  satisfy (1) — (5), let  $C_0$  have countably many maps, let  $H: C_0 \rightarrow S$  be a contravariant functor, let  $H$  satisfy (i) for finite sums and (ii). Then there exists a unique  $Y_H \in C$  and a natural equivalence  $T: [C, Y_H] \longrightarrow H$  on  $C_0$ .

# Parallelizability of Sphere Bundles over Spheres

by Wilson Sutherland, 7 October, 1963

Let  $M$  be a smooth ( $C^\infty$ ) manifold and  $\tau(M)$  be its tangent vector bundle.

$M$  is parallelizable, or  $\parallel$  sible, if  $\tau(M)$  is trivial.  $M$  is stably parallelizable, or  $S$ - $\parallel$  sible, if  $\tau(M) \oplus \mathbb{1}$  is trivial where  $\mathbb{1}$  is the trivial line bundle over  $M$ .

Examples: (1) Any compact Lie group is  $\parallel$  sible.

(2)  $S^n$  is  $\parallel$  sible iff  $n = 0, 1, 3, 7$

(3)  $S^n \times S^g$

a) If  $n, g$  even then  $S^n \times S^g$  is not  $\parallel$  sible since the Euler characteristic  $\chi(S^n \times S^g) \neq 0$

b) If  $n$  odd,  $g \geq 1$  then  $S^n \times S^g$  is  $\parallel$  sible.

For let  $\tau_n$  denote the bundle over  $S^n \times S^q$  induced from  $\tau(S^n)$  by the projection  $S^n \times S^q \rightarrow S^n$ , and let  $\tau_q$  be defined similarly.

Then  $\tau(S^n \times S^q) = \tau_n \oplus \tau_q = \xi \oplus 1 \oplus \tau_q$  for some  $\xi$  since  $S^n$  admits a non-zero vector field.

Since  $S^q$  is  $S$ -parallelizable this  $= \xi \oplus (q+1)$  where  $(q+1)$  means the trivial  $(q+1)$ -plane bundle.

This  $= \tau_n \oplus 1 \oplus (q-1) = (n+q)$  since  $S^n$  is  $S$ -parallelizable.

Remark: Let  $\xi$  be a sphere bundle with projection  $\pi: B \rightarrow M$  where  $M$  is a  $S$ -parallelizable manifold. Let  $\xi^V$  be the associated tangent vector bundle.

$\{\xi^V\} = \tilde{K}O(M)$ . Then  $B$  is  $S$ -parallelizable iff  $\{\xi^V\} \in \text{Kernel of } \pi^*: \tilde{K}O(M) \rightarrow \tilde{K}O(B)$ .

Proof From the facts

$$\tau(B) = \pi^*(\tau(M)) \oplus \hat{\xi} \quad \text{and}$$

$$\hat{\xi} \oplus 1 = \pi^*(\xi^V) \quad (\text{Wu 1952})$$



one deduces  $\{\tau(B)\} = \pi^* \{\tau(M)\} \mp \pi^* \{\xi^V\}$  (3)

so  $\{\tau(B)\} = \pi^* \{\xi^V\}$  The remark follows.

We now specialize to the case  
 $M = S^n$   $\xi: S^8 \rightarrow B \xrightarrow{\pi} S^n$

Using the homotopy sequence of  $\xi$   
 $\rightarrow \pi_n(S^n) \xrightarrow{\partial} \pi_{n-1}(S^8) \rightarrow \dots$  define

$\Theta = \partial(\tau_n)$ . Let  $\lambda = S\Theta \in \pi_n(S^{8+1})$ .

Theorem 1:  $\widetilde{K}O(S^{8+1}) \xrightarrow{\lambda^*} \widetilde{K}O(S^n) \xrightarrow{\pi^*} \widetilde{K}O(B)$   
is exact if  $n > 2$ .

Corollary 1: The  $q$ -sphere bundles  $\xi$   
over  $S^n$  ( $n > 2$ ) which have 5-sisible  
total space are those for which  
 $\{\xi^V\} \in \text{image } \lambda^*$

Proof of Thm 1:  $B$  admits a cell  
structure  $B = S^8 \cup_0 e^n \cup e^{n+8}$ . Let  
 $\pi' = \pi|_{S^8 \cup_0 e^n}$  Let  $T = \text{mapping}$

cone of  $\pi =$  Thom complex of  $\xi$

We then have a diagram

$$\begin{array}{ccccc} \widetilde{KO}(T) & \xrightarrow{j^*} & \widetilde{KO}(S^n) & \xrightarrow{\pi^*} & \widetilde{KO}(B) \\ \downarrow i^* & & \downarrow 1 & & \downarrow k^* \\ \widetilde{KO}(S^{2n+1}) & \xrightarrow{\lambda^*} & \widetilde{KO}(S^n) & \xrightarrow{\pi'^*} & \widetilde{KO}(S^2 \cup_{\theta} S^n) \end{array}$$

$i^*$  is onto since the bundle admits a spin structure, Theorem 1 then follows from the known exactness in the diagram.

Defn For  $M$  of dimension  $2r-1$  define the mod 2 semi-characteristic

$$X_*(M) = \sum_{i=0}^{r-1} b_i \text{ where } b_i = \text{rank } H_i(M, \mathbb{Z}_2)$$

Theorem 2 (Kervaire + Adams) Let  $M$  be a smooth  $S$ - $\parallel$ ible  $n$  manifold without boundary. Then

K.1 When  $n$  is even  $M$  is  $\parallel$ ible  $\Leftrightarrow X(M) = 0$

K.2 When  $n$  is odd,  $M$  is  $\parallel$ ible  $\Leftrightarrow$  either  $n = 1, 3, 7$  or  $X_*(M)$  even.

Corollary 2: Let  $B$  be the total space of a  $g$ -sphere bundle over  $S^n$  and suppose  $B$  is  $S$ -11sible. Then one + only one of the following is true:

- (1)  $B$  is 11sible
- (2)  $n+g$  is even
- (3)  $n=8$ ,  $g=7$ , and  $H_7(B, \mathbb{Z}_2) = 0$ ,  
eg. the Hopf bundle  $S^{15} \rightarrow S^8$ .

Proof (Cor. 2) If  $n+g$  is even our assertion in Cor 2 is a consequence of K.1.

If  $n+g$  is odd we distinguish two cases:

(a)  $H_*(B, \mathbb{Z}_2)$  is same as  $H_*(S^n \times S^g, \mathbb{Z}_2)$  Then

$B$  is 11sible by K.2 since  $\chi_*(B)$  is even.

(b) Otherwise  $g = n-1$  and  $B = S^{n-1} \cup_{\theta} e^n \cup e^{2n-1}$ ,  
 $\theta$  is of odd degree. But the class of  $\theta$  is in the image of  $p_*: \pi_{n-1}(SO(n)) \rightarrow \pi_{n-1}(S^{n-1})$ ,  
whose  $p: SO(n) \rightarrow S^{n-1}$  is the projection of the principal tangent bundle to  $S^{n-1}$ .  
So  $p_*$  is onto, hence this principal

(6)

bundle admits a cross-section, i.e.

$S^{n-1}$  is  $\parallel$  sible and so  $n = 1, 2, 4$  or  $8$

If  $n = 1, 2,$  or  $4$ ,  $B$  is  $1, 3,$  or  $7$  dimensional and hence  $B$  is  $\parallel$  sible by K.2. If  $n = 8$ ,  $B$  is not  $\parallel$  sible again by K.2.

Given a  $g$ -sphere bundle

$\xi: S^g \rightarrow B \xrightarrow{\pi} S^n$  we apply Cor 1 to test for  $S$ - $\parallel$  sibility. If so apply Cor 2 to test for  $\parallel$ -sibility.

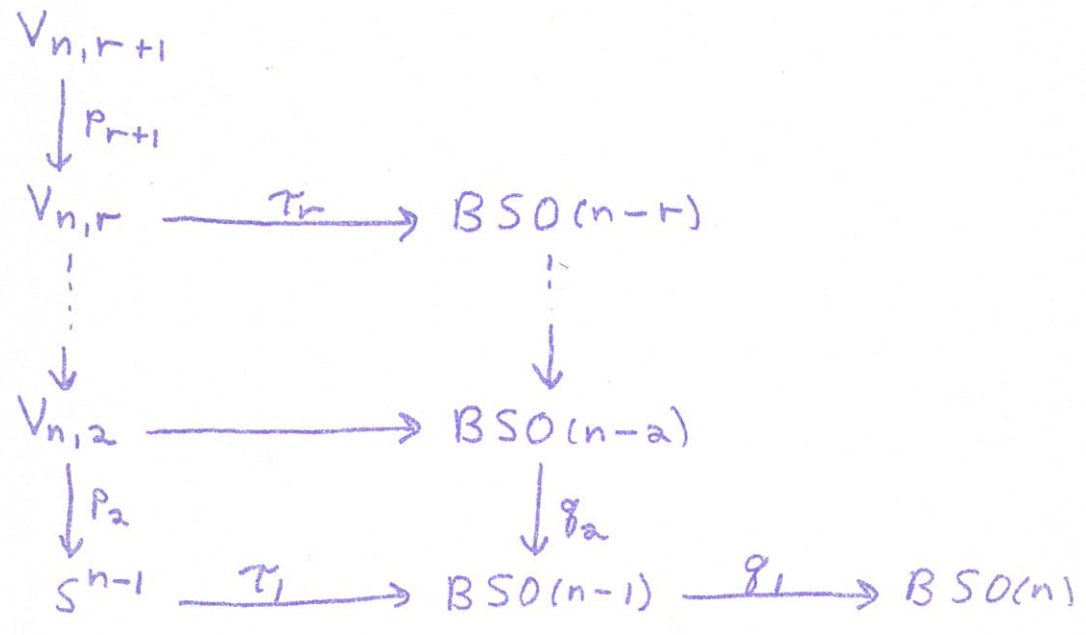
Remarks a) If  $g \geq n$  then  $B$   $\parallel$  sible  
 $\Rightarrow \xi$  is trivial

b) There exist bundles  $\xi$  which are not stably trivial and yet  $B$  is  $\parallel$  sible.

Proposition: Let  $O_{n,r} =$  Stiefel manifold of  $r$ -frames in  $n$ -space over the reals, complex nos, or quaternions.

$O_{n,r}$  is  $\parallel$  sible whenever  $r > 1$

Proof: By Theorem 2, it suffices to show  $O_{n,r}$  is 5-parallelizable. For convenience we use real Stiefel manifolds  $V_{n,r}$ .



Let  $\xi_r^V$  be the vector bundle associated with the sphere bundle whose projection is  $p_{r+1}$ . Claim that  $\xi_r^V = 0$  since the diagram commutes and  $q, \tau$  is trivial. We induct on  $r$ . If  $r=1$ ,  $S^{n-1}$  is 5-parallelizable. Now suppose  $V_{n,r}$  is 5-parallelizable.

$$\tau^*(V_{n,r+1}) = p_{r+1}^* \tau^*(V_{n,r}) + p_{r+1}^* \xi_r^V = 0$$

The proposition then follows.

(8)

Remark Let  $Y_{m,r}$  be a Cayley Stiefel manifold.  
manifold.  $\pi: S^{8m-9} \rightarrow Y_{m,2} \rightarrow S^{8m-1}$

This is in fact a sphere bundle and  $Y_{m,2}$  is a Riemannian manifold.

# Sectional Curvature

by John Thorpe

14 October 1963

Let  $X$  be a Riemannian manifold and  $G_2(X)$  be the Grassmann bundle of 2-planes tangent to  $X$ .

We define the Riemannian sectional curvature  $\gamma: G_2(X) \rightarrow \mathbb{R}$ . For  $(x, P) \in G_2(X)$   $\gamma(x, P)$  = Gaussian curvature of the geodesic submanifold of  $X$  tangent to  $P$  at  $x$ .

Remark: If  $u_1, u_2$  is an orthonormal basis for  $P$  then  $\gamma(x, P) = -\langle R(u_1, u_2)u_1, u_2 \rangle$ .

$\gamma$  determines the curvature tensor  $R$  and thus all curvature properties of  $X$ .

We wish to generalize these classical notions of curvature. By the Gauss-Bonnet theorem, if  $X$  compact, orientable,

of dim 2, then  $\frac{1}{2\pi} \int_X K dV = \chi(X)$  where

$K$  = Gaussian curvature,  $\chi$  = Euler char.

Allendoerfer-Weil-Chern generalize this

result. If  $X$  compact, orientable, of dim  $n$

(even) then  $\frac{2}{c_n} \int_X K dV = \chi(X)$  where

$c_n$  = volume of unit Euclidean  $n$ -sphere,

$K$  = Lipschitz-Killing curvature.  $K$  is

a candidate to replace Gaussian curvature.

Remark If  $n$  odd then  $K \equiv 0$

Otherwise  $K$  is expressible in terms of the curvature tensor  $R$  of  $X$ . For the

case  $X^n \subseteq E^{n+m}$  the second fundamental

form  $A_\nu : T_x(X) \rightarrow T_x(X)$  linearly for each normal vector  $\nu$  to  $X$ . The Lipschitz-

Killing curvature  $K(x)$  is then given by

$K(x) = \frac{1}{c(n,m)} \int_S \det A_\nu dV$  where  $S$  = normal sphere at  $x$ .



(3)

Let  $G_p(X)$  = Grassmann bundle of  $p$ -planes tangent to  $X$ . We define the  $p^{\text{th}}$  sectional curvature of  $X$   $\gamma_p: G_p(X) \rightarrow \mathbb{R}$  for  $p$  even,  $2 \leq p \leq n$ . For  $(x, P) \in G_p(X)$ ,  $\gamma_p(x, P)$  = Lipschitz-Killing curvature of the geodesic  $p$ -submanifold of  $X$  tangent to  $P$  at  $x$ .

Remark: If  $u_1, \dots, u_p$  is an orthonormal basis for  $P$  then

$$\gamma_p(x, P) = \frac{(-1)^{p/2}}{2^{p/2} p!} \sum \varepsilon_{i_1, \dots, i_p} \varepsilon_{j_1, \dots, j_p} \langle R(u_{i_1}, u_{i_2}) u_{j_1}, u_{j_2} \rangle \dots \langle R(u_{i_{p-1}}, u_{i_p}) u_{j_{p-1}}, u_{j_p} \rangle$$

Thus  $\gamma_p$  is smooth on  $G_p(X)$  and

$$\gamma_2(x, P) = - \langle R(u_1, u_2) u_1, u_2 \rangle = \gamma(x, P)$$

$\gamma_n$  for  $n$  even is of course the Lipschitz-Killing curvature.

Examples (1) If  $\chi_2 = \chi = \text{const}$  then  
 $\chi_p = \chi^{p/2} = \text{const}$  ( $p$  even and  $\leq n$ ) In  
 particular if  $X = S_r^n$  (Euclidean  $n$ -sphere  
 of radius  $r$ ) then  $\chi_p = \frac{1}{r^p}$ .

(2) If  $Y$  is flat then  $\chi_p(X \times Y) = 0$  for  
 all  $p > \dim X$

(3) If the metric of complex projective  
 space  $P_n(\mathbb{C})$  is normalized so

$$\frac{1}{4} \leq \chi_2(P_n(\mathbb{C})) \leq 1 \quad \text{then} \quad \frac{1}{16} \leq \chi_4(P_n(\mathbb{C})) \leq \frac{1}{2}$$

(4) If either  $\chi_2 \geq 0$  everywhere or  
 $\chi_2 \leq 0$  everywhere then  $\chi_4 \geq 0$

Theorem 1: For  $p < n$  ( $p$  even,  $n = \dim X$ )

if  $\chi_p$  is const on each fiber of  $G_p(X)$

then  $\chi_p$  is const.

Theorem 2: If  $\chi_p = K_p(\text{const})$  and

$\chi_q = K_q(\text{const})$  then  $\chi_{p+q} = K_p \cdot K_q$  ( $p+q \leq n$ )

(5)

Cor: If  $\gamma_{p_i} = k_{p_i}$  ( $i=1, \dots, k$ ) and  
 $q = \sum_{i=1}^k m_i p_i \leq n$  where  $m_1, \dots, m_k$  are integers  
 $\geq 0$ , then  $\gamma_q = (k_{p_1})^{m_1} \dots (k_{p_k})^{m_k}$

Theorem 3: If  $\gamma_p \equiv 0$  for some  $p \leq n$  then  
 $\gamma_q \equiv 0$  for all  $q \geq p$

Let  $X$  now be compact, orientable,  
of even dim  $n$ . Thus  $\chi(X) = \frac{2}{c_n} \int_X \gamma_n dV$

By Theorem 2, if  $\gamma_p = k_p = \text{const}$  for some  
 $p | n$ , then  $\gamma_n = (k_p)^{n/p}$  so  $\text{sign}(\chi(X)) = \text{sign}(k_p)^{n/p}$

By Theorem 3, if  $\gamma_p \equiv 0$  for some  $p \leq n$   
then  $\gamma_n \equiv 0$  so  $\chi(X) = 0$ .

Theorem 4: If  $\gamma_p = \text{const}$  for some  
(even)  $p$ , then the  $k^{\text{th}}$  Pontryagin class

$P_k(X) = 0$  for  $k$  any multiple of  $p/2$ .

If  $\gamma_p \equiv 0$  then  $P_k(X) = 0$  for all  $k \geq p/2$ .

⑥

Cor: If  $\chi_2 = \text{const}$ , then  $P_k(X) = 0$  for all  $k$ .

We now indicate a little of the method used in proving these results.

$F(X)$  Let  $F(X)$  be the principal  $O(n)$  bundle of orthogonal frames on  $X$ .  
 $\downarrow \pi$   
 $X$  Define 1-forms  $w_1, \dots, w_n$  on  $F(X)$

by  $w_i(z)(v) = \langle \pi_* v, f_i \rangle$  where  $z = (X, f_1, \dots, f_n) \in F(X)$ .

Define 2-forms  $\Omega_{ij}$  on  $F(X)$  by

$$\Omega_{ij}(z)(u, v) = -\langle R(\pi_* u, \pi_* v) f_i, f_j \rangle$$

Theorem 5:  $\chi_2$  is const  $\iff \exists K$  (real)

such that  $\Omega_{ij} = K w_i \wedge w_j$

Define  $p$ -forms  $\mathbb{H}_{i_1, \dots, i_p}^{(p)}$  on  $F(X)$  for

$i_1, \dots, i_p \in \{1, \dots, n\}$  by  $\mathbb{H}_{i_1, \dots, i_p}^{(p)} =$

$$\frac{1}{p!} \sum_{(j)} \delta(i_1, \dots, i_p, j_1, \dots, j_p) \Omega_{i_1 j_1} \wedge \dots \wedge \Omega_{i_{p-1} j_{p-1}} \Omega_{i_p j_p}$$

⑦

where  $\delta(i_1, \dots, i_p; j_1, \dots, j_p)$  is  $+1$  (resp.  $-1$ ) if  $(j_1, \dots, j_p)$  is an even (resp. odd) permutation of  $(i_1, \dots, i_p)$  and zero otherwise.

Define a  $p$ -form  $\mathbb{H}^{(p)}$  on  $X$  with values in the bundle of  $p$ -vectors on  $X$  as follows. For  $u_i \in X(x)$ ,  $\mathbb{H}^{(p)}(x)(u_1, \dots, u_p) = \sum_{i_1 < \dots < i_p} \mathbb{H}^{(p)}_{i_1, \dots, i_p}(z)(u'_1, \dots, u'_p) f_{i_1} \vee \dots \vee f_{i_p}$  where  $u'_1, \dots, u'_p$  are lifts of  $u_1, \dots, u_p$ .

Theorem 6:  $\gamma_p(x, \mathbb{P}) = \langle \mathbb{H}^{(p)}(x)(\tilde{\mathbb{P}}), \tilde{\mathbb{P}} \rangle$  where  $\tilde{\mathbb{P}}$  is  $\mathbb{P}$  with an orientation.

Theorem 7:  $\gamma_p = \text{const} \Leftrightarrow \exists K_p$  such that  $\mathbb{H}^{(p)}_{i_1, \dots, i_p} = K_p w_{i_1} \vee \dots \vee w_{i_p}$

Let  $\gamma_p = K_p = \text{const}$

$P_K(X) \in H^{4K}(X, \mathbb{R})$  is represented, according

⑧

to De Rham's theorem, by a closed differential  $4k$ -form.

Theorem 8 (Chern)

$$P_K(X) \sim \text{const} \sum_{(l)} \bigoplus_{i_1 \dots i_{2k}}^{(2k)} \mathbb{H} \vee \bigoplus_{i_1 \dots i_{2k}}^{(2k)} \mathbb{H}$$

Theorem 4 is a consequence of this.

①

# A Universal Coefficient Theorem for Generalized Homology Theories

by Daniel Kahn      21 October 1963

A generalized homology theory (G.H.T.) is one which satisfies all the Eilenberg-Steenrod axioms except the dimension axiom.

A spectrum  $\mathbb{E}$  is a sequence  $\{E_n \mid n \in \mathbb{Z}\}$  of spaces together with a sequence of maps  $E_n: SE_n \rightarrow E_{n+1}$ , where  $SE_n$  denotes the suspension  $S^2 \wedge E_n$  of  $E_n$ . By "space" we always mean a countable C.W. complex with base point. Every G.H.T. arises from a spectrum as follows. Given a space  $X$ , form the reduced join  $E_n \wedge X$ , i.e. the identification space of  $E_n \times X$  which collapses  $E_n \vee X$  to a point. Using the natural map  $S(E_n \wedge X) \rightarrow E_{n+1} \wedge X$  we obtain a sequence

$$\begin{aligned} \cdots \rightarrow \pi_{n+k}(E_k \wedge X) &\rightarrow \pi_{n+k+1}(S(E_k \wedge X)) \\ &\rightarrow \pi_{n+k+1}(E_{k+1} \wedge X) \rightarrow \cdots \end{aligned}$$

Let  $\pi_n(\underline{E} \wedge X)$  be the direct limit. Then (2)  
 Define  $\tilde{H}_n(X, \underline{E}) = \pi_n(\underline{E} \wedge X)$  (Reference:  
 G.W. Whitehead, Generalized Homology Theories,  
 Trans. A.M.S. 102 (1962), Pp. 227-283)

If  $M$  is a module over a principal ideal domain, the universal coefficient theorem (UCT) gives a splittable exact sequence:

$$0 \rightarrow \tilde{H}_n(X) \otimes M \rightarrow \tilde{H}_n(X; M) \rightarrow \text{Tor}(\tilde{H}_{n-1}(X), M) \rightarrow 0$$

as a step toward a U.C.T. for a G.H.T.

we examine  $\tilde{H}_*(X; M)$  where  $M$  is a

left  $A$  module,  $A$  not being a principal ideal domain. Let

$$0 \leftarrow M \xleftarrow{\varepsilon} M_0 \xleftarrow{d^1} M_1 \xleftarrow{d^2} M_2 \leftarrow \dots$$

be a free (acyclic) resolution of  $M$ .

$$\text{Let } \tilde{M}_i = \begin{cases} M_i & i \geq 0 \\ M & i = -1 \\ 0 & i < -1 \end{cases}$$

Let  $C_*(X)$  denote the singular chain complex of  $X$  with coeffs in  $A$ .



Since  $\tilde{M}$  is acyclic,  $H_*(C_*(X) \otimes_A \tilde{M}) = 0$

$\therefore H_n(C_*(X) \otimes_A M) \approx H_{n+1}(C_*(X) \otimes_A \tilde{M}/M)$

$C_*(X) \otimes_A \tilde{M}/M$  admits a filtration

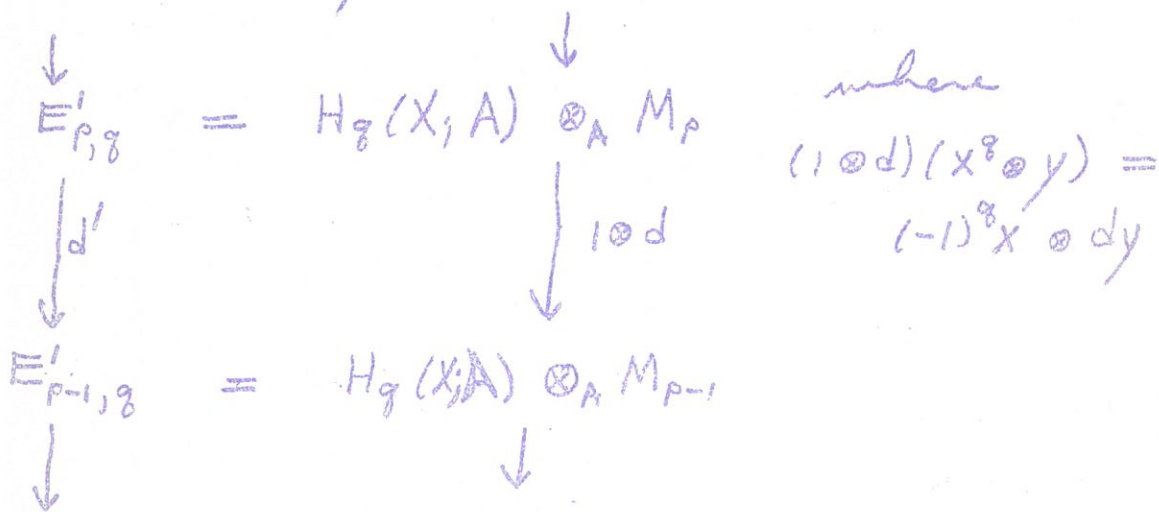
$G_p(C_*(X) \otimes_A \tilde{M}/M) = \sum_{k=0}^p C_*(X) \otimes_A M_k$  This

gives a spectral sequence with  $E_{p,q}^0 = C_q(X) \otimes_A M_p$

and  $d_{p,q}^0 : C_q(X) \otimes_A M_p \rightarrow C_{q-1}(X) \otimes_A M_p$

$x \otimes y \rightarrow \partial x \otimes y$

Since  $M_p$  is free over  $A$  we have



Hence  $E_{p,q}^2 \approx \text{Tor}_p^A(H_q(X; A), M) = \text{Tor}_{p,q}^A(H_*(X; A), M)$

and  $E_{p,q}^r \implies \tilde{H}_*(X; M)$ , that is

$E_{p,q}^\infty = \frac{G_p \tilde{H}_{p+q}(X; M)}{G_{p-1} \tilde{H}_{p+q}(X; M)}$  and  $E_{p,q}^r = E_{p,q}^\infty$  for  $r > \max(p, q+1)$

where  $G_p \tilde{H}_{p+q}(X; M) = \text{Im } \tilde{H}_{p+q}(G_p(C_* \otimes \frac{\tilde{M}}{M})) \rightarrow H_{p+q}(C_* \otimes \frac{\tilde{M}}{M})$

To obtain a UCT for a G.H.T. we mimic the above procedure. For the spectrum

$$\underline{M} \text{ we shall find } E_{p,q}^r \implies \tilde{H}_*(X; \underline{M})$$

$$\text{with } E_{p,q}^{\infty} = \text{Tor}_{p,q}^{-}(\tilde{H}_*(X; -), \pi_*(\underline{M}))$$

The role of the ring is played by a spectrum  $\underline{A}$  with maps  $A_j \wedge M_k \longrightarrow M_{j+k}$  and  $A_i \wedge A_j \longrightarrow A_{i+j}$ . We require strict associativity in the latter together with other properties.  $\pi_*(\underline{A})$  becomes a graded ring with unit and we have a pairing  $\pi_*(\underline{A}) \otimes \pi_*(\underline{M}) \longrightarrow \pi_*(\underline{M})$  which makes  $\pi_*(\underline{M})$  into a  $\pi_*(\underline{A})$ -module.

Note The principal example is for  $\underline{A} = \underline{S}$ , the sphere spectrum with  $E_k: S^k \longrightarrow S^{k+1}$  the identity. Here  $S^j \wedge M_k \longrightarrow M_{j+k}$  is the map in  $\underline{M}$  and  $S^i \wedge M_k \longrightarrow M_{i+k}$  is defined inductively using the homeo  $S^i \approx S^1 \wedge \dots \wedge S^1$  ( $i$  times).  $S^j \wedge S^k \longrightarrow S^{j+k}$  is the identity.

The role of the free resolution is played by a sequence  $\underline{M} = \underline{M}^{-1} \subset \underline{M}^0 \subset \underline{M}^1 \subset \dots$  (where " $\subset$ " denotes "subspectrum") such that  $i_{\#} : \pi_*(\underline{M}^k) \rightarrow \pi_*(\underline{M}^{k+1})$  is the zero homomorphism and  $\underline{M}^{k+1} / \underline{M}^k$  is a wedge of spectra of the form  $\underline{A} \wedge S^r$  with indices shifted. It follows that

the sequence:  $0 \leftarrow \pi_*(\underline{M}) \leftarrow \pi_*(\underline{M}^0, \underline{M}) \leftarrow \pi_*(\underline{M}^1, \underline{M}^0) \leftarrow \pi_*(\underline{M}^2, \underline{M}^1) \leftarrow \dots$  is a free  $\pi_*(\underline{A})$ -resolution of  $\pi_*(\underline{M})$ . Form the homotopy exact couple of  $(\tilde{\underline{M}}/\underline{M}) \wedge X \supset \dots \supset (\underline{M}^k/\underline{M}) \wedge X \supset \dots$

Then one can show that  $E_{p,q}^2$  (with indices shifted) satisfies  $E_{p,q}^2 \approx \text{Tor}_{p,q}^{\pi_*(\underline{A})}(\tilde{H}_*(X, \underline{A}), \pi_*(\underline{M}))$  and that the associated filtration of  $\pi_*((\tilde{\underline{M}}/\underline{M}) \wedge X) \approx \tilde{H}_{*,-1}(X; \underline{M})$  is complete (see Eilenberg and Moore, Limits and Spectral Sequences, Topology 1 (1962), 1-23)

Hence the spectral sequence converges.

Note that if  $\underline{M} = \underline{A} \wedge \underline{Y}$ , one has a sort of Kunneth Theorem.

# Cobordism Exact Sequences

by R. Holzpager

28 October 1963

Source: C.T.C. Wall, "Cobordism Exact Sequences for Differential and Combinatorial Manifolds," *Annals of Mathematics*, Jan. 1963.

We denote compact manifolds (combinatorial or differential) by  $V, W, M, N$ . There is a 1:1 correspondence between elements of  $H^1(V; \mathbb{Z}_2)$  and double coverings of  $V$ . If  $W \subset V$

(i.e.  $W$  is a submanifold of codimension 1) then  $W$  determines a dual cohomology class in  $H^1(V; \mathbb{Z}_2)$ .

Thus  $W$  determines a double covering of  $V$ .

This covering is trivial on  $V - W$  and the sheets are cross-joined over  $W$ .

If  $W \subset V$  then locally  $W$  separates  $V$  into two sides, thus determining the normal covering of  $W$  in  $V$ .

Lemma 1 If  $W \subset V$  and  $p$  is the covering determined by  $W$ , then  $p|_W$  is iso to the normal covering of  $W$ .

We compose double coverings of manifold  $W$  by addition in  $H^1(W; \mathbb{Z}_2)$ .

Lemma 2: Let  $W \subset V$ . The composition of the orientation covering of  $W$  with the normal covering is the restriction to  $W$  of the orientation cov. of  $V$ .

In the differential case let  $N \subset M$ ,  $V$  be manifolds and  $f: V \rightarrow M$  smooth.

Def  $f$  is  $t$ -regular on  $V$  if for each  $x \in V$  with  $f(x) \in N$  we have  $df(V_x) \neq N_{f(x)} = M_{f(x)}$

In the combinatorial case, let  $L$  be a subset of a simplicial  $cx$   $K$ .

Def  $L$  is in general position for  $r$  in  $K$  if for any (closed) simplex  $\sigma$  in  $K$  which meets  $L$ ,  $\sigma \cap L$  is the intersection of  $\sigma$  with a hyperplane of codim.  $r$ .

Lemma 3c: If  $L$  is in general position for  $r$  in  $K$ ,  $g: V \rightarrow K$  simplicial, then  $g^{-1}(L)$  is in general position for  $r$  in  $V$ .

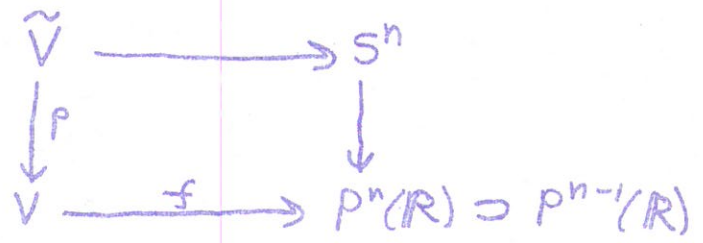
Lemma 3d: If  $M \supset N$  and  $f: V \rightarrow M$  a map, then  $f$  can be approximated by a smooth map  $g$ ,  $t$ -regular on  $N$ .

Lemma 4c:  $W \subset V \iff \exists$  a  $\Delta$ -ation of  $V$  with  $W$  in general position for 1.

Lemma 4d: If  $N \subset M$ ,  $g: V \rightarrow M$  smooth,  $t$ -regular on  $N$ , then  $g^{-1}(N)$  is a submanifold of  $V$ .

Lemma 5: Any double cov.  $p: \tilde{V} \rightarrow V$  can be defined by a submanifold  $W \subset V$ .

To prove this one uses that for sufficiently large  $n$ ,  $P^n(\mathbb{R})$  is a universal space for  $Z_2$ . Thus for some  $f: V \rightarrow P^n(\mathbb{R})$ ,  $p$  is induced as shown.



Since  $S^n \rightarrow P^n(\mathbb{R})$  consists of two sheets over  $P^n(\mathbb{R}) - P^{n-1}(\mathbb{R})$  cross-joined along  $P^{n-1}(\mathbb{R})$ , Lemma 5 follows from Lemmas 3c, 4c, 3d, 4d.

Lemma 6: Let  $W \subset V$  then  $\exists g: V \rightarrow P^n(\mathbb{R})$  such that  $W = g^{-1}(P^{n-1})$  where  $g$  is smooth and either  $t$ -regular on  $N$  or simplicial for some  $\Delta$ -ation of  $M$  with  $N$  in general position.

Lemma 7: Let  $p: \tilde{V} \rightarrow V$  be a double cover and  $X$  a submanifold of  $\partial V$  defining  $p|_{\partial V}$ . Then  $\exists W \subset V$  defining  $p$  with  $\partial W = X$ .

Lemma 8: If the normal cov. of  $W$  in  $V$  is trivial and  $W$  defines  $p$ , then  $p$  can be induced by a map of  $V$  to  $S^1$ . Conversely if  $p$  can be so induced, we may define  $p$  by a submanifold  $W$  with trivial normal covering in  $V$ .

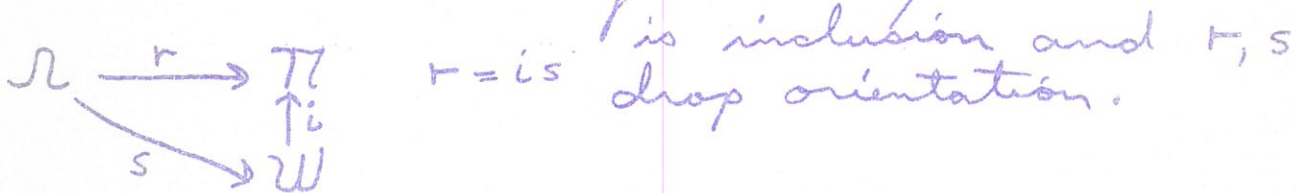
Let  $\mathcal{T}$  be the unoriented cobordism ring

Let  $\mathcal{O}$  be the oriented cobordism ring

Let  $\mathcal{W}$  be the subset of  $\mathcal{T}$  consisting of classes containing a manifold  $M$  satisfying (A) The orientation covering of  $M$  is induced by a map of  $M$  into  $S^1$ .

$\mathcal{W}$  is a subring of  $\mathcal{T}$  since if  $M, M'$  satisfy (A) so do  $M \cup M'$  and  $M \times M'$  (the maps into  $S^1$  can be multiplied since  $S^1$  a group)

We have ring homomorphisms where  $i$



Lemma 9: Let  $\tilde{M} \rightarrow M$  be a double covering of the closed orientable manifold  $M$ .

Then  $\tilde{M}$  with the induced orientation is orientably cobordant to  $2M$ .

Lemma 10: There exists an additive homo  $\alpha: \pi \rightarrow \Omega$  of degree -1 such that  $\alpha \{M\} = [V]$  where  $V \subset M$  and  $V$  defines the orientation covering of  $M$ .

Lemma 11: There exists an additive homo  $\beta: \pi \rightarrow \pi$  of degree -2  $\Rightarrow \beta \{M\} = \{B\}$  where  $B \subset V$  defines the normal cover of  $V$  in  $M$  ( $V$  as in Lemma 10)

Theorem: The following sequences are exact:

$$\begin{array}{ccccccc} \Omega & \xrightarrow{\alpha} & \Omega & \xrightarrow{s} & W & \xrightarrow{\partial i} & \Omega \xrightarrow{\alpha} \Omega \\ 0 & \longrightarrow & W & \xrightarrow{i} & \pi & \xrightarrow{\beta} & \pi \longrightarrow 0 \\ \Omega + \pi & \xrightarrow{(\alpha, 0)} & \Omega & \xrightarrow{\tau} & \pi & \xrightarrow{(\beta, \partial)} & \Omega + \pi \xrightarrow{(\alpha, 0)} \Omega \end{array}$$



# Handlebody Decompositions I

by Dr. Serwent

4 November 1963

All manifolds will be compact,  $C^\infty$ , and of dimension  $n = p + q$ ; all maps  $C^\infty$ .

Let  $W$  be a manifold with boundary and  $f: S^{p-1} \times D^q \rightarrow \text{bd } W$  be an embedding.  
sphere disk

Form the manifold  $W + h_p = W \cup_f D^p \times D^q$  with corners rounded. To round, observe that

$$D^p \times D^q = (S^{p-1} \times I) \times (S^{q-1} \times I) / \text{Identification}$$

Using the coordinates  $(x_0, s, y_0, t)$ , it suffices to round the corner of a square. This gives a unique diff structure on  $W + h_p$  ( $W$  with  $p$  handle attached) and the following are embedded in  $W + h_p$ :  $S^{p-1} \times D^q$  (the attaching manifold),  $D^p \times S^{q-1}$  (the transverse manifold),  $S^{p-1} \times 0$  (the attaching sphere),  $0 \times S^{q-1}$  (the transverse sphere), as well as  $W$ .

## Remarks: 1) Let $f_\pm$ be an isotopy of

$f|_{S^{p-1} \times 0}$ . Then  $\exists$  an isotopy  $F_\pm$  of  $f$  which extends  $f_\pm$ .

## 2) Let $f_\pm$ be an isotopy of

$f_0: S^{p-1} \times D^q \rightarrow \text{bd } W$ , then  $W + h_p \xrightarrow{\cong} W + h_p$   
diffomorphic

Prop. given  $w + h_p + h_r$  [strictly  $(w + h_p) + h_r$ ] <sup>(2)</sup>  
with  $p \geq r$ , we can assume the handles disjoint.

From now on, let  $W$  be an  $n$ -manifold  
with  $\text{bd}W = M_1 \cup M_2$  where  $M_1 \cap M_2 = \emptyset$ .

Let  $f: W \rightarrow \mathbb{R}$ . Call  $x$  a critical point of  
 $f$  if  $(df)_x = 0$ . Call  $x$  non-degenerate if

$\det \left( \frac{\partial^2 f}{\partial x^i \partial x^j} \right) \neq 0$  Say  $x$  has index  $p$  if

$\left( \frac{\partial^2 f}{\partial x^i \partial x^j} \right)$  has  $p$  negative and  $q$  positive eigenvalues.

Theorem There exists  $f: W \rightarrow [0, 1]$  such  
that  $f$  has no degenerate critical points,  
 $f^{-1}(0) = M_1$ ,  $f^{-1}(1) = M_2$ , and all critical points  
lie in the interior of  $W$ .

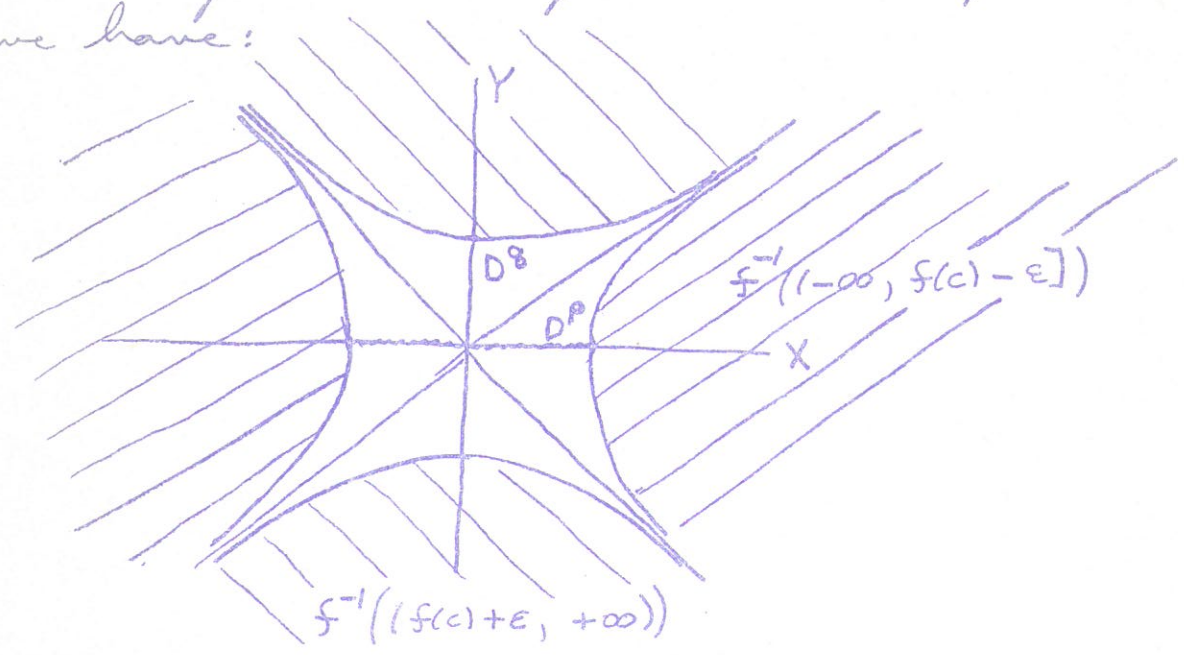
Morse Lemma: If  $c$  is a non-degenerate  
critical point of  $f$  of index  $p$ , then there  
exist coords  $(x_1, \dots, x_n)$  s.t.

$$f(x) = f(c) - x_1^2 - x_2^2 - \dots - x_p^2 + x_{p+1}^2 + \dots + x_n^2$$

def.  $f(c) - x^2 + y^2$

Corollary (to Lemma) The non-degenerate  
critical points are isolated.

Assuming there is only one critical point  $c$  we have:



Result:  $f^{-1}((-\infty, f(c) + \epsilon]) = f^{-1}((-\infty, f(c) - \epsilon]) + h_p$

From the theorem,  $W = M_1 \times I + \text{handles}$ , one handle for each critical point. By interchanging the order of critical pts. with different indices we obtain

$$W = M_1 \times I + 0\text{-handles} + 1\text{-handles} + \dots + n\text{-handles}$$

Fix  $f$  with  $c_p$  critical point of index  $p$ . There is induced an ordered handle decomposition of  $W$ :  $W = K_0 \cup \dots \cup K_n$

$$K_0 = M_1 \times I + 0\text{-handles}$$

$$K_1 = M_1 \times I + 0\text{-handles} + 1\text{-handles}$$

-----

Theorem: Given  $W = UK_p$  there exists a relative CW complex  $(X, M_1)$  such that:

- 1)  $X_p = p$ -skeleton  $\subset K_p$  as strong deformation retract.
- 2)  $(K_p, K_{p-1}) \sim (X_p, X_{p-1})$
- 3) If  $M_2 = \emptyset$  then  $X = W$

We form dually a decomposition of  $W$  by applying  $M_2 \times I$  to get

$$W = \underbrace{M_1 \times I + 0\text{-handles} + \dots + p\text{-handles}}_{K_p} + \dots + \underbrace{n\text{-handles} + M_2 \times I}_{L_{g-1}}$$

and viewing the composition in the opposite order.

$$S^{p-1} \times D^q \subset \partial K_{p-1}, \quad D^p \times S^{q-1} \subset \partial L_{q-2}$$

We have free abelian chain complexes

$$K = \{ H_g(K_g, K_{g-1}), \partial_g \}$$

$$L = \{ H_g(L_g, L_{g-1}), \partial'_g \}$$

$$H(K; G) = H(W, M_1; G)$$

$$H(L; G) = H(W, M_2; G)$$

(5)

Strong Morse Inequalities

$$c_p = R_p(W, M_1) + \underbrace{B_p(W, M_1)}_{\geq T_p(W, M_1)} + \underbrace{B_{p-1}(W, M_1)}_{= T_{p-1}(W, M_1)}$$

$$\text{Thus } c_p \geq R_p(W, M_1) + T_p(W, M_1) + T_{p-1}(W, M_1)$$

We shall eventually show that simple connectedness and dimension  $\geq 6$

$\Rightarrow$  there exists  $f$  such that the above are equal.

# Handlebody Decompositions II

by Dr. John Serrent

18 November 1963

as before we are given a manifold  $W$  with  $\dim W = n$ ,  $p+g = n$ . Also  $\text{bdry } W = M_1 \cup M_2$  where  $M_1 \cap M_2 = \emptyset$  and either or both of  $M_1, M_2$  may be empty.  $W$  has a handlebody decomp:

$$W = \underbrace{M_1 \times I + h'_0 + \dots + h'_p}_{K_p} + \dots + \underbrace{h^{c_p}_{p+1} + \dots + h^{c_n}_n}_{L_{g-1}} + M_2 \times I$$

$K_p = K_{p-1} + p$ -handles,  $K_p \cap L_{g-1} = \partial K_p = \partial L_{g-1}$

a  $p$ -handle is, strictly speaking, a map  $h^i_p: D^p \times D^g \rightarrow W$

We obtain free abelian chain complexes

$$K = \{ H_p(K_p, K_{p-1}), \partial_p \}$$

$$L = \{ H_g(L_g, L_{g-1}), \partial'_g \}$$

with basis elements corresponding to oriented cells

We now investigate the duality implicit in the decomposition of  $W$ . Let  $W$  be oriented. Orient the handles  $h^i_p(D^p \times D^g)$  to agree with the orientation of  $W$  (assume in fact that we orient each factor)

This determines homology and cohomology classes:

(2)

$$D_i^p \times 0 \in H_p(K_p, K_{p-1}), \quad 0 \times D_i^q \in H_q(L_q, L_{q-1})$$

$$S_i^{p-1} \times 0 \in H_{p-1}(\partial K_p), \quad 0 \times S_i^{q-1} \in H_{q-1}(\partial L_q)$$

$$\bar{D}_i^p \times 0 \in H^p(K_p, K_{p-1}), \quad 0 \times \bar{D}_i^q \in H^q(L_q, L_{q-1})$$

Incidence matrices are determined by

$$\partial_{p+1}(D_i^{p+1} \times 0) = \sum_j x_{ij}^{p+1} (D_j^p \times 0)$$

$$\partial'_q(0 \times D_i^q) = \sum_j y_{ji}^q (0 \times D_j^{q-1})$$

$$\text{Let } r: \partial K_p \longrightarrow (K_p, K_{p-1})$$

$$s: \partial L_q \longrightarrow (L_q, L_{q-1})$$

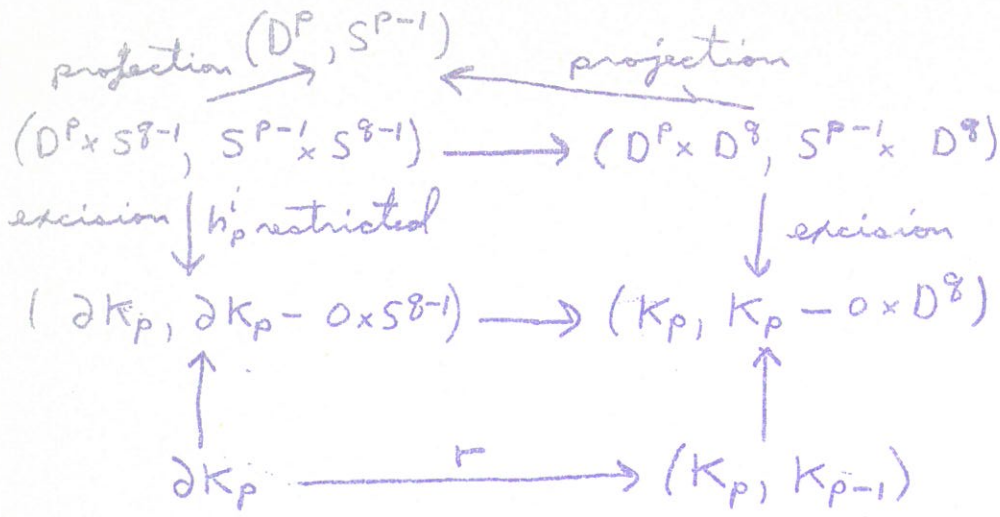
Results: (1)  $\partial_{p+1}(D_i^{p+1} \times 0) = r_*(S_i^p \times 0)$

$$\partial'_q(0 \times D_i^q) = s_*(0 \times S_i^{q-1})$$

$$(2) \quad r^*(\bar{D}_i^p \times 0) \cap [\partial K_p] = (-1)^{pq} 0 \times S_i^{q-1}$$

$$s^*(0 \times \bar{D}_i^q) \cap [\partial L_q] = (-1)^{pq} S_i^{p-1} \times 0$$

This is proved by applying the "permanence" relation for relative cap products several times using the following diagram (for first equality).



③  $x_{ij}^{p+1} = (-1)^{p+1} y_{ji}^q$  In view of

$$\partial_{p+1} (D_i^{p+1} \times 0) = \sum_j x_{ij}^{p+1} (D_j^p \times 0)$$

$$\partial_q (0 \times D_j^q) = \sum_i y_{ji}^q (0 \times D_i^{q-1})$$

this gives the desired duality. We prove

③ by expressing  $x_{ij}^{p+1} = \langle \bar{D}_j^p \times 0, r_*(S_i^p \times 0) \rangle$   
 $= \langle r^*(\bar{D}_j^p \times 0), S_i^p \times 0 \rangle = \langle s^*(0 \times \bar{D}_i^{q-1}) \cup r^*(\bar{D}_j^p \times 0), [ ] \rangle$   
 and comparing this with the expression for  $y_{ji}^q$

④  $x_{ij}^{p+1} = (S_i^p \times 0) \cdot (0 \times S_j^{q-1})$  the intersection no. in  $\partial K_p$

Given a free s.g. chain  $cx$ , we can put it in standard form. This can be done geometrically.

Theorem: If  $n \geq 4$  and  $H_1(W, M_1) = H_1(W, M_2) = 0$

then  $\exists$  an oriented handlebody decomposition with the  $\partial_p$ 's in the usual standard form for free abelian chain complexes.



(4)

Lemma: Let  $f_1, f_2: S^{p-1} \times D^q \rightarrow N$  be embeddings,  
 $\dim N = p-1+q$ ,  $q \geq 2$ . Let  $\varepsilon = \pm 1$ . Then

$\exists$  an embedding  $f_3: S^{p-1} \times D^q \rightarrow N$  such that:

1)  $f_{3*} = f_{1*} + \varepsilon f_{2*}$

2)  $N \times I + h'_p + h_p^2 = N \times I + h_p^2 + h_p^3$

Using this lemma we can perform the necessary operations on the incidence matrices to put them in standard form.

Theorem (Whitney) If  $f_1: V^p \rightarrow M^n$  and  $f_2: W^q \rightarrow M^n$  are embeddings,  $p+q=n$ ,  $p \geq 3$ ,  $q \geq 3$ , and  $\pi_1(M^n) = 0$ , then we can assume after an isotopy that  $V \cdot W = \text{actual no. of intersections}$ .

Theorem (Smale) Let  $V = W + h_p + h_{p+1}$ . If the attaching sphere of  $h_{p+1}$  intersects the transverse sphere of  $h_p$  exactly once and transversely, then  $V = W$ .

Theorem If  $W$  is connected and simply connected and each component of  $M_1$  and  $M_2$  is simply connected +  $n \geq 6$ , then

(5)

all excess handles can be removed,

i.e.  $c_p = R_p + T_p + T_{p-1}$ ,  $R_p = p^{\text{th}}$  Betti no. of  $(W, M_1)$   
 $T_p =$  number of torsion coefficients in dimensions  $p$   
 of  $(W, M_1)$

Consequences: (All due originally to Smale)

① With the same hypotheses, there is a nondegenerate function on  $W$  with  $R_p + T_p + T_{p-1}$  critical pts of index  $p$ .

②  $h$ -cobordism theorem: If  $W$  is simply connected and  $M_1$  and  $M_2$  are both deformation retracts of  $W$ , and  $n \geq 6$ , then  $W = M_1 \times I = M_2 \times I$

③ If  $M$  is a differentiable homotopy sphere of dimension  $n \geq 5$ ,  $M$  is homeomorphic to  $S^n$  via a homeomorphism which is a diffeomorphism except at one point.

④ If  $W$  is contractible, has simply connected boundary and  $\dim W = n \geq 6$  then  $W = D^n$

Remark: ③ follows from ① for  $n \geq 6$  and from ② for  $n=5$  via an argument of Milnor and Mazur.

# Piecewise Linear Microbundles and Cobordism

by Dr. Robert Williamson

2 December 1963

Reference: Milnor's notes on microbundles

We shall be concerned with piecewise linear manifolds and maps.

Def A (PL) microbundle  $\underline{X}$  over  $B$  consists of  $\underline{X}: B \xrightarrow{i} E \xrightarrow{p} B$  where  $p \circ i = \text{ident}$ ,  $p$  is a bundle map, and neighborhoods  $U, V$  always exist such that

$$\begin{array}{ccccc} B & \xrightarrow{i} & E & \xrightarrow{p} & B \\ U & & U & & U \\ U & \longrightarrow & V & \longrightarrow & U \\ & \searrow 1 \times 0 & \rightarrow U \times \mathbb{R}^n & \nearrow \text{proj} & \end{array} \quad \text{commutes.}$$

Given microbundles  $\underline{Y}, \underline{Z}$  over  $X$  one can define their Whitney sum  $\underline{Y} \oplus \underline{Z}$ . For any microbundle  $\underline{Y}$  there exists  $\underline{Z}$  such that  $\underline{Y} \oplus \underline{Z} = \underline{e}^X$  trivial.

Def Let  $(\underline{Y})_{PL}$  = the stable class of  $\underline{Y}$  and let  $K_{PL}(X)$  = group of stable classes over  $X$ .

Theorem: If  $X$  is a PL manifold with compatible <sup>(2)</sup> differentiable structure  $\alpha$ , then there is a natural homo  $K_0(X) \longrightarrow K_{PL}(X)$  such that  $(\tau_\alpha)_0 \longrightarrow (\underline{\tau}_X)_{PL}$  where  $\tau_\alpha$  is the tangent bundle and  $\underline{\tau}_X: X \longrightarrow X \times X \longrightarrow X$  is the natural microbundle.

Def: A normal microbundle for PL embedded  $M \subset N$  is a nbhd  $V$  of  $M$  and a map  $j: V \longrightarrow M$  such that  $M \subset V \xrightarrow{j} M$  is a microbundle.

Theorem:  $M \times 0$  has a normal microbundle  $\underline{n}$  in  $N \times \mathbb{R}^g$  for  $g$  sufficiently large. Furthermore  $\underline{\tau}_M \oplus \underline{n} = \underline{\tau}_N|_M$

Corollary:  $M$  has a nbhd PL homeo to  $M \times \mathbb{R}^g$  in  $N \times \mathbb{R}^s$  for some  $s$   
 $\iff (\underline{\tau}_M)_{PL} = (\underline{\tau}_N|_M)_{PL}$

Theorem (Milnor): Let  $M$  be a PL manifold. If  $\exists$  a stable class  $(\xi)_0 \in K_0(M)$  such that  $(\xi)_0 \longrightarrow (\underline{\tau}_M)_{PL}$ , then  $M$  has a differentiable structure with tangent fibre bundle  $\tau_\alpha$  such that  $(\tau_\alpha)_0 = (\xi)_0$ .

Proof: Put  $M \subset V$  open set in  $\mathbb{R}^n$  such that

$V \cong M$ . Take  $\xi'$  over  $V$ ,  $\xi'|_M = \xi$ ,  $M \subset E(\xi')$

$$(T_{E(\xi)}|_M)_0 = (\xi)_0 \Rightarrow (T_{E(\xi')}|_M)_{PL} = (T_M)$$

By a theorem of Hirsch [ $M \times \mathbb{R}^8$  has a differentiable structure  $\Rightarrow M$  has a differentiable structure] we find that  $M$  has a diff structure.

Note: There exists a universal space  $B_{PL}$  for microbundles s.t.  $K_{PL}(X) = [X, B_{PL}]$ . The natural homo is induced by  $B_0 \rightarrow B_{PL}$



Obstructions lie in  $H^i(M, \pi_i(B_{PL}, B_0))$

Munkres' obstruction lies in  $H^i(M, \Gamma_{i-1})$  where  $\Gamma_i =$  group of diff structures on  $S^i$

Theorem (Hirsch)  $\pi_i(B_{PL}, B_0) \cong \Gamma_{i-1}$

$$0 \rightarrow \pi_i(B_0) \rightarrow \pi_i(B_{PL}) \rightarrow \pi_i(B_{PL}, B_0) \rightarrow 0$$

$$0 \rightarrow K_0(S^i) \rightarrow K_{PL}(S^i) \rightarrow \Gamma_{i-1} \rightarrow 0$$

Theorem (Milnor)  $K_0(S^7 \cup_7 E^8) \rightarrow K_{PL}(S^7 \cup_7 E^8)$   
map of degree 7

has kernel  $\neq 0$ .

Thus there is an open neighborhood of  $S^7 \cup_7 E^8$  in Euclidean space which can be given a differentiable structure which is not parallelizable

Consider the cobordism groups:

$\Omega_p^n$  = cobordism group of PL manifolds

$\Omega^n$  = cobordism group of  $C^\infty$  manifolds

Theorem (Thom) There exist isos and monos such that  $\Omega^n \approx \lim \pi_{n+k}(MO(k))$  commutes.

$$\begin{array}{ccc} \text{mono} \downarrow & & \downarrow \text{mono} \\ \Omega_{PL}^n \approx \lim \pi_{n+k}(MPL(k)) & & \end{array}$$

The mono  $\Omega^n \rightarrow \Omega_{PL}^n$  comes from the work of J.H.C. Whitehead.

Note: If  $\underline{X}$  is a microbundle over  $X$  then the Stiefel-Whitney classes

$w_i(\underline{X}) \in H^i(X, \mathbb{Z}_2)$  and the Pontrjagin classes

$p_i(X) \in H^{4i}(X, \mathbb{Q})$  are defined.

$$\text{From } \Omega_{PL}^n / \Omega^n = \lim \pi_{n+k}(MPL(k), MO(k)) \xrightarrow{\text{Hur}} H_{n+k}(MP, \dots)$$

Thom's

$$\Gamma_{n-1} = \pi_n(B_{PL}, B_0) \xrightarrow{\text{Hur}} H_n(B_{PL}, B_0)$$

we conclude:

(5)

○ Theorem: If  $\Gamma_{n-1} = 0$  for  $n-1 < N$  then  
 $\Gamma_{N-1} \approx \Omega_{PL}^N / \Omega^N$

One can show:

$$\Omega_{PL}^8 / \Omega^8 = \mathbb{Z}_{28}$$

$$\Omega_{PL}^9 / \Omega^9 = 2 \text{ tors}$$

$$\Omega_{PL}^{10} / \Omega^{10} = 2 \text{ tors}$$

$$\Omega_{PL}^{11} / \Omega^{11} = \mathbb{Z}_3 \oplus 2 \text{ tors}$$

# Higher Order Generalized Whitehead Products <sup>①</sup>

by Dr. Gerald Porter

9 December 1963

Let  $f \in \pi_n(X)$ ,  $g \in \pi_m(X)$ . Then the Whitehead product  $[f, g] \in \pi_{m+n-1}(X)$  is represented by the composition

$$(*) \quad S^{m+n-1} = \partial(I^m \times I^n) = I^m \times S^{n-1} \cup S^{m-1} \times I^n$$

$$\longrightarrow S^m \vee S^n \xrightarrow{g|f} X$$

We shall generalize this.

## Definitions

Let  $C =$  category of countable CW cpx with base point

$$C^n = C \times \dots \times C \quad n\text{-tuples}$$

$T_i: C^n \rightarrow C$  is the functor with

$T_i(X_1, \dots, X_n) \subset X_1 \times \dots \times X_n$  consisting of those pts having at least  $i$  coords at a base pt  $*$ .

Thus  $T_0 =$  cartesian product

$T_1 =$  "fat" wedge

$T_{n-1} =$  "thin" wedge  $\vee$

The "smash"  $\Lambda: C^n \rightarrow C$  is the identification

$$\Lambda = T_0 / T_1$$

Let the suspension  $\Sigma: C \rightarrow C$  be  $\Lambda(S^1, \cdot)$

and the cone  $c: C \rightarrow C$  be  $\Lambda(I^1, \cdot)$

Let  $\Sigma: C^n \rightarrow C^n$  and  $c: C^n \rightarrow C^n$  be defined coordinatewise.



Let the  $n$ -fold suspension  $\Sigma^n: C \rightarrow C$  (2)

be  $\Sigma^n = \Sigma(\Sigma^{n-1})$

Let  $p: I' \rightarrow S'$  induce the transformation  $p: C \rightarrow \Sigma$

Let  $P = T_0(P): T_0 C \rightarrow T_0 \Sigma$

$Q: C^n \rightarrow C$  be  $Q = P^{-1}(T_1 \Sigma)$

$\bar{P} = p|_Q$

Note  $Q(x_1, \dots, x_n) = \bigcup_{i=1}^n T_0(Cx_1, \dots, x_i, \dots, Cx_n)$

$(P, \bar{P}): (T_0 C, Q) \rightarrow (T_0 \Sigma, T_1 \Sigma)$  is a relative homeomorphism,

Theorem: There exists a homot. equiv. trans.  
 $\bar{h}: \Sigma^{n-1} \wedge \rightarrow Q$  (each with domain  $C^n$ )

We obtain

$\Sigma^{n-1} \wedge \xrightarrow{\bar{h}} Q \xrightarrow{\bar{P}} T_1 \Sigma \rightarrow X$  in place of (\*)

Def Let  $\phi: T_1 \Sigma(A_1, \dots, A_n) \rightarrow X$   
Then the  $n^{\text{th}}$  order Generalized Whitehead Product  
 $W(\phi) \in \pi(\Sigma^{n-1} \wedge(A_1, \dots, A_n), X)$  is  $\phi_* \{ \bar{P} \bar{h} \}$

Let  $f_k: \Sigma A_k \rightarrow X$  These induce  
 $f_1, f_2, \dots, f_n: T_{n-1} \Sigma(A_1, \dots, A_n) \rightarrow X$

If  $\phi: T_1 \Sigma(A_1, \dots, A_n) \rightarrow X$  say  $\phi \in (f_1, \dots, f_n)$   
if  $\phi$  extends  $f_1, f_2, \dots, f_n$

Let  $[f_1, \dots, f_n] = \{ W(\phi) : \phi \in (f_1, \dots, f_n) \}$

(3)

Naturality: Let  $T_1 \Sigma(B_1, \dots, B_n) \xrightarrow{\phi} X \xrightarrow{g} Y$

$$f_i: A_i \rightarrow B_i \quad \phi \in (h_1, \dots, h_n)$$

$$\text{Then } w(\phi T_1 \Sigma(f_1, \dots, f_n)) = \Sigma^{n-1} \Lambda(f_1, \dots, f_n)^* w(\phi)$$

$$\Sigma^{n-1} \Lambda(f_1, \dots, f_n)^* [h_1, \dots, h_n] \subset [h_1(\Sigma f_1), \dots, h_n(\Sigma f_n)]$$

$$w(g \cdot \phi) = g_* w(\phi)$$

$$g_* [h_1, \dots, h_n] \subset [gh_1, \dots, gh_n]$$

Remark: Let  $j: T_1 \Sigma \rightarrow T_0 \Sigma$  be inclusion

$$\text{Then } w(j) = 0$$

This is proved with the aid of

$$\begin{array}{ccccccc}
C \Sigma^{n-1} \Lambda & \xrightarrow{ch} & CQ & \longrightarrow & CT_0 C & \longrightarrow & T_0 C \longrightarrow T_0 \Sigma \\
\uparrow & & & & \uparrow & & \uparrow \\
\Sigma^{n-1} \Lambda & \xrightarrow{\quad\quad\quad} & Q & \longrightarrow & T_1 \Sigma & & 
\end{array}$$

which commutes.

Prop Let  $\phi: T_1 \Sigma \rightarrow X$

$$T_1 \Sigma \xrightarrow{\phi} X \quad \text{Then } \phi \text{ is extendable to } \psi$$

$$\begin{array}{ccc} \downarrow j & \nearrow \psi & \\ T_0 \Sigma & & \end{array} \iff w(\phi) = 0$$

Fix  $n$  Let  $S_i = \{ \sigma: [1, \dots, n-i+1] \rightarrow [1, \dots, n] \mid \sigma \text{ is order preserving} \}$

Define  $\sigma: \mathbb{C}^n \rightarrow \mathbb{C}^{n-i+1}$  by  
 $\sigma(A_1, \dots, A_n) = (A_{\sigma(1)}, \dots, A_{\sigma(n-i+1)})$

$$j_\sigma : T_i \Sigma \sigma \longrightarrow T_i \Sigma$$

$$\theta : \bigvee_{\sigma \in S_i} \Sigma^{n-1} \Lambda \sigma \longrightarrow T_i \Sigma$$

$$\theta \circ \sum^{n-1} \Lambda \sigma = w(j_\sigma)$$

Theorem The following functors are homot equiv.

$$T_{i-1} \Sigma$$

$$T_i \Sigma \cup_{\theta} \bigvee_{\sigma \in S_i} \Sigma^{n-1} \Lambda \sigma$$

$$T_i \Sigma \cup_{\theta} \bigvee_{\sigma \in S_i} \Sigma^{n-1} \Lambda \sigma$$

Theorem: Let  $\phi : T_i \Sigma \longrightarrow X$

$$\begin{array}{ccc} T_i \Sigma & \xrightarrow{\phi} & X \\ & \searrow & \downarrow \psi \\ & & T_{i-1} \Sigma \end{array}$$

Then  $\phi$  is extendable to  $\psi$   
 $\iff w(\phi \circ j_\sigma) = 0$  for all  $\sigma \in S_i$

Theorem: Let  $\phi : T_i \Sigma \longrightarrow X$  If  $X$  is an H-space then  $w(\phi) = 0$

To prove this use Hilton's result that

$\Sigma T_i \Sigma ( )$  is a retract of  $\Sigma T_0 \Sigma ( )$

$$\begin{array}{ccc} \Sigma T_i \Sigma & \xrightarrow{\Sigma j} & \Sigma T_0 \Sigma \\ \downarrow \Sigma \phi & \swarrow \psi & \\ \Sigma X & & \end{array}$$

Obtain the extension  $\psi$

$$\begin{array}{ccc} T_i \Sigma & \xrightarrow{\phi} & X \\ \downarrow j & & \downarrow k \\ T_0 \Sigma & \xrightarrow{\psi'} & \Omega \Sigma X \\ k(X)(t) = (t, x) & & \downarrow r \end{array}$$

$\therefore w(\phi) = 0$

Cor  $[f_1, \dots, f_n] = 0$

$w(\varphi)^* : \pi(X, H) \longrightarrow \pi(\Sigma^{n-1} \Lambda(\ ), H)$

$w(\varphi)^*[f] = w(f\varphi)$

Cor If  $H$  is a  $K(\pi, n)$  then  $w(\varphi)^*$  is the 0-map

$w(\varphi)^* : H^n(X, \pi) \longrightarrow H^n(\Sigma^{n-1} \Lambda(\ ), \pi)$

Cor  $\sum_* w(\varphi) = 0 \iff \begin{matrix} R w(\varphi) = 0 \\ \parallel \\ w(R\varphi) \end{matrix}$

Note There are examples of spaces with all Whitehead products 0 which are not H-spaces

# A Combinatorial Transversality Theorem

by Dr. V. Poenaru

6 January 1964

We use locally finite simple cxs and p.w. linear maps.

Let  $X$  be a set. A complex in  $X$  is an injection  $f: K \rightarrow X$  where  $K$  a complex.

Two complexes in  $X$   $f_1: K_1 \rightarrow X$   
are coherent if  $\exists$   $f_2: K_2 \rightarrow X$   
 $f_3: K_3 \rightarrow X$  with  $\text{Im } f_3 = \text{Im } f_1 \cap \text{Im } f_2$  and  
 $f_1^{-1}f_3, f_2^{-1}f_3$  p.w. linear.

Def A polystructure on  $X$  is a family  $\mathcal{Z}$  of complexes in  $X$  s.t. 1)  $X = \bigcup_{f \in \mathcal{Z}} \text{Im } f$

2) If  $f_1, f_2 \in \mathcal{Z}$  then  $f_1$  and  $f_2$  are coherent.

3) The sets  $\text{Im } f, f \in \mathcal{Z}$  form a lattice

4) If  $f: K \rightarrow X$  is in  $\mathcal{Z}$  and  $g: K' \rightarrow K$  is a p.w. linear injection then  $f \circ g \in \mathcal{Z}$ .

The pair  $X, \mathcal{Z}$  is a polyspace

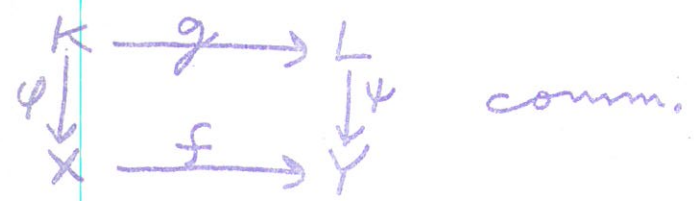
The polytopology of a polyspace is given by  $U \subset X$  open  $\Leftrightarrow f^{-1}(U)$  open  $\forall f \in \mathcal{Z}$

$X$  is a polyhedron if it admits a covering by open sets which are images of  $f \in \mathcal{Z}$

Let  $X$  be a polyspace and  $Y \subset X$  be such that  $Y$  is a union of images of  $f \in \mathcal{F}$ . Then we get a polystructure on  $Y$  and  $T(\mathcal{F}|Y) = T(\mathcal{F})|Y$  where  $T(\mathcal{F})$  is the polytopology.

a polysubspace of a polysubspace is a polysubspace.

Let  $X, \mathcal{F}$  and  $Y, \mathcal{F}'$  be polyspaces. Then  $f: X \rightarrow Y$  is a polymap if for each  $\phi \in \mathcal{F}$  there exist  $g: K \rightarrow L$  p.w. linear and  $\psi \in \mathcal{F}'$  as shown



Remark: If  $X$  and  $Y$  are polyhedra then  $f$  is a polymap  $\iff f$  is p.w. linear

Remark: If  $X, \mathcal{F}_1$  and  $Y, \mathcal{F}_2$  are polyspaces then  $\text{Hom}(X, Y)$  admits a polystructure  $\mathcal{F}$ . An injection  $f: K \rightarrow \text{Hom}(X, Y)$  is in  $\mathcal{F}$   $\iff \forall g: L \rightarrow X, g \in \mathcal{F}_1$ , the induced map  $K \times L \rightarrow Y$  factors through some injection of  $\mathcal{F}_2$

$$K \times L \rightarrow E \xrightarrow{\epsilon \in \mathcal{F}_2} Y$$

Remark: The polyspace  $\text{Hom}(X, Y)$  is in general not a polyhedron.

○ A combinatorial manifold  $M, \mathcal{F}$  is a polyhedron which is a manifold and where  $M$  is covered by images of coordinate maps  $f: E_m \rightarrow M, f \in \mathcal{F}$ .

A submanifold  $M_n \subset M_m$  is locally flat if about each  $x \in M_n \exists$  a coord nbhd  $f: E_m = E_n \times E_{m-n} \rightarrow M_m$  such that  $f^{-1}(M_n) = E_n$

Remark: If  $m-n \geq 3$  then  $M_n \subset M_m$  is always locally flat.

○ By manifold we mean combinatorial manifold, and submanifolds are assumed locally flat.

Def: Let  $P$  be a polyhedron and  $M_m \supset M_n$  be a manifold and submanifold. Then  $f: P \rightarrow M_m \supset M_n$  is transversal if for each  $x \in P$  with  $f(x) \in M_n$  there exists a  $\Delta$ ation  $K$  of  $P$  with  $x \in \sigma$  and a coord nbhd  $\phi: E_m = E_n \times E_{m-n} \rightarrow M_m$  with the properties:

- $\phi(0) = f(x), \phi^{-1}(M_n) = E_n$
- $f(\text{star}(\sigma, K)) \subset \phi(E_m)$
- $\phi^{-1}f: \text{star}(\sigma, K) \rightarrow E_m$  linear
- $\phi^{-1}f|_{\sigma}: \sigma \rightarrow E_{m-n}$  non-degenerate
- $\phi^{-1}f(\text{link}(\sigma, K)) \subset E_n$

Remarks 1) Let  $P \xrightarrow{f} M_m \supset M_n$ ,  $x \in P$

1) If  $f$  is transversal at  $x$  then it is transversal in a nbhd of  $x$ .

2) If  $P \xrightarrow{f} M_m \supset M_n$  is transversal,  $P$  a manifold and  $f$  an injection then  $f(P)$ ,  $M_n$  are in general position in  $M_m$

3) Weak combinatorial implicit function theorem  
Let  $P \xrightarrow{f} M_m \supset M_n$  as in 2. Then  $f^{-1}(M_n)$  is a locally flat submodule of  $P$  with codim  $m-n$

Def  $N, M$  be manifolds. Two maps

$f, g : N \rightarrow M$  are isotopic if  $\exists$  a map  $h$  as shown  $N \times I \xrightarrow{h} M \times I$  such that



$h(t) : N \rightarrow M$  satisfies  $h(0) = f$ ,  $h(1) = g$

The maps  $f, g$  are ambiently isotopic if in addition  $\exists$  an iso  $F$  as shown

$M \times I \xrightarrow{F} M \times I$  with  $F(0) = \text{ident}$



and  $h(t) = F(t) \cdot f$

Remark: In the differentiable case we have isotopy = ambient isotopy



### Absolute Transversality Theorem:

Let  $f: P \rightarrow M_m \supset M_n$ . Then  $\exists \phi: P \rightarrow M_m \supset M_n$  such that  $\phi \sim f$  ambiently and  $\phi$  is transversal.

### Relative Transversality Theorem:

Let  $P, M_m \supset M_n$  be manifolds with boundary. We consider only proper maps, i.e. maps which carry boundary to boundary and interior to interior. Assume  $(\partial M_m) \cap M_n = \partial M_n$ . Let  $f: P \rightarrow M_m \supset M_n$  with  $f|_{\partial P}: \partial P \rightarrow \partial M_m \supset \partial M_n$  transversal. Then  $\exists \phi: P \rightarrow M_m \supset M_n$  with  $\phi \sim f$  ambiently and  $\phi$  transversal.

Def Let  $M_m \supset M_n$  with  $M_n$  locally flat. We say  $M_n$  admits a normal microbundle if  $\exists$  a nbhd  $N_m$  with  $M_m \supset N_m \supset M_n$  such that  $M_n \subset N_m \xrightarrow{\text{proj } P} M_n$  is a microbundle.

Let  $M_m \supset M_n$  with  $M_n$  admitting a normal microbundle  $\nu$ . Let  $f: P \rightarrow M_m \supset M_n$  be transversal. Then  $f^{-1}M_n$  admits a normal microbundle  $\mu$  such that if  $\phi = f|_{f^{-1}(M_n)}$  then  $\phi^*\nu = \mu$ .

# Higher Order Whitehead Products Distinguished by Cohomology

Dr. Gerald Porter

20 January 1964

We shall use mod  $p$  coefficients.

Def 1: Let  $f: S^n \rightarrow X$ ,  $x \in H^n(X)$  Then  $f$  signifies  $x$  if  $f^*(x) \neq 0$

Let  $x_{j_i} \in H^{m_{j_i}}(X)$  where  $m_{j_i} > 0$  Let  $\Phi$  be a natural universally defined cohom. op. which vanishes on the cohom of cartesian products of spheres [eg.  $\Phi$  is a Steenrod operation] Let  $y \in H^q(X)$ .

Def 2: We say  $(\Phi, y)$  distinguishes the product  $x_{k_1} \dots x_{k_n}$  if

$$\Phi(y) = \sum \alpha(j_1, \dots, j_n) x_{j_1} \dots x_{j_n} \text{ mod } (H^*(X))^{n+1}$$

where 1)  $\alpha(k_1, \dots, k_n) \neq 0$

2) If  $m_{k_i} = m_{k_j}$  then  $k_i = k_j$

3) Given  $(j_1, \dots, j_n) \neq (k_1, \dots, k_n)$  and

$\alpha(j_1, \dots, j_n) \neq 0 \quad \exists i \geq m_{j_i} \neq m_{k_i} \quad 1 \leq i \leq n$

4) No  $p$  of the  $k_i$ 's are the same.

Theorem: Suppose  $f_i: S^{m_{k_i}} \rightarrow X$  signifies  $x_{k_i}$  for  $1 \leq i \leq n$ , and  $f_i = f_j$  if  $k_i = k_j$  Suppose further that  $(\Phi, y)$  distinguishes  $x_{k_1} \dots x_{k_n}$  then  $0 \neq [f_1, \dots, f_n]$

Proof [See 9 December 1963 talk by Porter for definition of  $[f_1, \dots, f_n]$  etc.] (2)

We are done if  $[f_1, \dots, f_n]$  empty so assume

$$W(\emptyset) \in [f_1, \dots, f_n] \text{ Let } T_0 = S^{m_{k_1}} \times \dots \times S^{m_{k_n}}$$

$$\forall W(\emptyset) = 0 \quad \exists \psi: T_0 \rightarrow X, \quad \psi \in (f_1, \dots, f_n)$$

Since  $\Phi = 0$  in  $H^*(T_0)$ ,  $0 = \Phi \psi^*(y) = \psi^* \Phi(y)$  We shall show that in fact  $\psi^* \Phi(y) \neq 0$ .

Observe that  $(H^*(T_0))^{n+1} = 0$

$$\psi^*(x_{j_i}) = \sum_{\{r \mid m_{j_i} = m_{k_r}\}} 1 \otimes \dots \otimes f_r^*(x_{j_i}) \otimes \dots \otimes 1 \quad \text{mod } (H^*(T_0))^{n+1}$$

Suppose  $(j_1, \dots, j_n) \neq (k_1, \dots, k_n)$  and  $\alpha(j_1, \dots, j_n) \neq 0$

Then by cond 3 of Def 2  $\exists$  some  $i$  such that

$$m_{j_i} \neq m_{k_r} \text{ for } 1 \leq r \leq n \text{ Hence } \psi^*(x_{j_i}) \in (H^*(T_0))^{n+1}$$

$$\text{Therefore } \psi^*(x_{j_1} \dots x_{j_n}) \in (H^*(T_0))^{n+1} = 0$$

$$\text{Thus } \psi^* \Phi(y) = \alpha(k_1, \dots, k_n) \psi^*(x_{k_1} \dots x_{k_n})$$

By naturality of  $\cup_n$  cup product

$$\psi^*(x_{k_1} \dots x_{k_n}) = \prod_{i=1}^n \sum 1 \otimes \dots \otimes f_n^*(x_{k_i}) \otimes \dots \otimes 1$$

Write  $x_{k_1} \dots x_{k_n} = \pm x_{l_1}^{v_1} \dots x_{l_t}^{v_t}$  where  $l_i$  are distinct.

Let  $M = \prod_{i=1}^t v_i$  By property 4 of Def 2  $M \neq 0 \pmod{p}$ . Using prop 2 of Def 2 it is easily seen that

$$\psi^*(x_{k_1} \dots x_{k_n}) = M f_{l_1}^*(x_{k_1}) \otimes \dots \otimes f_{l_t}^*(x_{k_n})$$

Since  $f_i$  signifies  $x_{k_i}$ ,  $f_i^*(x_{k_i}) = \gamma_i S_i$ ,  $\gamma_i \neq 0$

Therefore  $\psi^* \Phi(y) = \alpha(k_1, \dots, k_n) M \gamma_1 \dots \gamma_n S_1 \otimes \dots \otimes S_n$

Since  $\alpha(k_1, \dots, k_n) \neq 0$ ,  $\psi^* \Phi(y) \neq 0$

## Examples of non-trivial Whitehead products

Consider  $BSU(k)$

$$H^*(BSU(k); \mathbb{Z}_p) = \mathbb{Z}_p[x_2, \dots, x_k], \dim x_i = 2i$$

If  $p \geq n$ ,  $k \geq n$  there is a map  $f: S^{2n} \rightarrow BSU(k)$  with  $f^*(x_n) \neq 0$  (Serre-C theory paper)

Let  $r = \left[ \frac{p}{n} \right] + 1$  Using the results of Borel and Serre (Ann J. - 1953) it is seen that  $P_p^{r-1} x_{r(n-p+1)}$  distinguishes  $x_n^r$  in  $BSU(k)$  for

$$n \leq k < n + \frac{n}{\left[ \frac{p}{n} \right]}$$

$\therefore 0 \neq [f, \dots, f]_{r \text{ times}}$  by the above theorem.

For  $n=k=2$ ,  $p$  odd prime so  $r = \frac{p+1}{2}$

we get a non-trivial product in

$$\pi_{2p+1}(BSU(2)) \cong \pi_{2p}(S^3)$$

We generalize the usual terminology and refer to this element as a Samuelson product. It is clear from the proof of the theorem that  $p$  divides the (group) order of this element.

Moreover  $\pi_j(S^3)|_p = 0$  for  $j < 2p$

Thus the initial  $p$ -torsion of the homotopy of  $S^3$  is generated by a multiple of an h.o.S. product.

$[f, \dots, f] \neq \emptyset$  if  $\exists \varphi: T_i \Sigma \rightarrow BSU(k)$  of type  $(f, \dots, f)$ . Actually it suffices to find  $\beta \neq 0 \pmod p$  such that  $\exists \varphi: T_i \Sigma \rightarrow BSU(k)$  of type  $(\beta f, \dots, \beta f)$ .

Let  $\varphi: T_i \Sigma(A_1, \dots, A_n) \rightarrow X$  with  $\varphi \in (f_1, \dots, f_n)$   
 Let  $j_\sigma: T_i \Sigma \rightarrow T_i \Sigma$  as usual. We know that  $\varphi$  may be extended to  $T_{i-1} \Sigma$   
 $\Leftrightarrow W(\varphi j_\sigma) = 0$  for all  $\sigma \in S$ .

Assume that  $W(\varphi j_\sigma)$  is of finite group order  $n_\sigma$  with  $n_\sigma \neq 0 \pmod p$

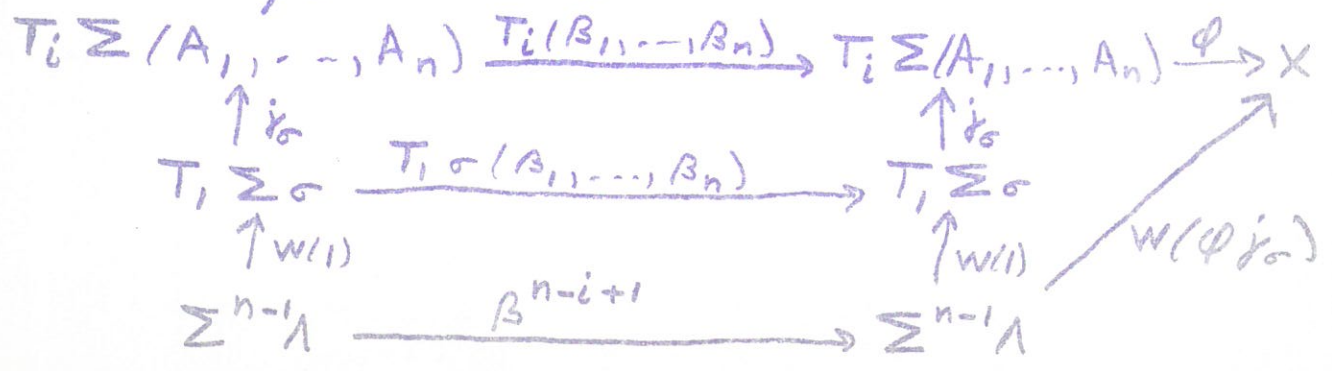
Let  $N = \text{l.c.m. of the } n_\sigma$

Let  $\beta$  be the smallest integer  $\geq N / \beta^{n-i+1}$

Clearly  $\beta \neq 0 \pmod p$

Theorem: There exists  $\psi: T_{i-1} \Sigma(A_1, \dots, A_n) \rightarrow X$  of type  $(\beta f_1, \dots, \beta f_n)$  (We assume each  $A_j$  is a suspension)

Proof: Let  $\beta_j: \Sigma A_j \rightarrow \Sigma A_j$  be  $\beta$  times the identity. Use



Prop: Let  $f: S^{2n} \rightarrow BSU(n)$  Let  $p$  be any prime  $\geq n$ ,  $r = [\frac{p}{n}] + 1$  Then  $\exists$  an integer  $B$  such that  $B \neq 0 \pmod{p}$  and  $[BF, \dots, BF]$  is non-empty  $r$  times.

Proof: The obstructions lie in the groups  $\pi_{2i-1}(BSU(n)) \cong \pi_{2i-2}(SU(n))$   $2 \leq i < r$   
 For  $i < r$  we have  $i \leq \frac{p}{n}$  so  $2i-2 < 2p$ .  
 It suffices to show  $\pi_{2j}(SU(n))|_p = 0$ ,  $j < p$   
 James has shown  $\pi_{2j}(SU(n))$  is finite and the desired result follows by induction on  $n$ .  
 Hence  $\exists$  such a  $B$ .

# Non-Immersion

by Dr. Gitler

24 February 1964  
+ 2 March

We shall use secondary cohomology operations to obtain non-immersion results for  $P^m$  (real proj. sp.) in  $R^n$ .

Let  $A$  be the Steenrod alg mod 2. Consider a relation  $\alpha\beta = \sum a_i \beta_i = 0$  in  $A$  where the  $\beta_i$  are homogeneous and the  $\alpha_i$  are not necessarily homog.

For example we can form such a relation by adding  $S_q^1 S_q^8 + S_q^2 S_q^1 S_q^6 + S_q^8 S_q^1 = 0$  and  $S_q^2 S_q^8 + S_q^4 S_q^6 + S_q^8 S_q^2 + S_q^9 S_q^1 = 0$  to get

$$(*) (S_q^1 + S_q^2) S_q^8 + (S_q^2 S_q^1 + S_q^4) S_q^6 + (S_q^8 + S_q^9) S_q^1 + S_q^8 S_q^2 = 0$$

With such a relation  $\alpha\beta = 0$  there is assoc. an operation  $\Phi$ . For  $x \in H^8(X)$  with  $\beta_i(x) = 0$ ,  $\Phi(x)$  is an element of the quotient of a direct sum of coh groups.  $\Phi$  is natural and stable but not unique (any two differ by a primary op)

If  $\Phi$  is assoc. with  $(*)$  then for  $x \in H^8(X)$  with  $S_q^8 x = S_q^6 x = S_q^1 x = S_q^2 x = 0$   $\Phi(x)$  is an elt of  $H^{8+8}(X) + H^{8+9}(X) \text{ mod } I(\Phi)$ ,  
 $I(\Phi) = (S_q^1 + S_q^2) H^{8+7}(X) + (S_q^2 S_q^1 + S_q^4) H^{8+5}(X)$   
 $+ (S_q^8 + S_q^9) H^8(X) + S_q^8 H^{8+1}(X)$

Functional operations:

Given  $f: X \rightarrow Y$ ,  $y \in H^8(Y)$ ,  $x = f^*y$  and a rel  $\alpha B = 0$  s.t.  $B_i(x) = 0$ , then one defines a coset  $\alpha_f B(y)$ .

If  $\alpha B = 0$  is (\*) then  $\alpha_f B(y)$  lies in the quotient of  $H^{8+8}(X) + H^{8+9}(X)$  modulo  $I(\emptyset) + f^* [H^{8+8}(Y) + H^{8+9}(Y)]$

By the Peterson-Stein formula  $\Phi(x) = \alpha_f B(y)$  mod the larger indeterminacy (r.h. side)

So if one takes  $Y = K(\mathbb{Z}_2, q)$ , knowing  $H^*(K(\mathbb{Z}_2, q); \mathbb{Z}_2)$  we find that  $f^*H^*(Y) = \{A(x)\}$  where  $A(x) = [\theta x \mid \theta \in A]$  and  $\{ - \}$  means taking ring generated by -.

Let  $A_q$  be the homog part of  $A$  of dim  $q$ .

Take  $q = 5$  and the rel (\*). If  $A_8(x) = 0$ ,  $A_9(x) = 0$  and  $S_9^4 x = 0$  then

$$f^* H^{13}(\mathbb{Z}_3, 5; \mathbb{Z}_2) + f^* H^{14}(\mathbb{Z}_2, 5; \mathbb{Z}_2) = 0.$$

We can always obtain such a result by imposing enough conditions of this sort.

Let  $B^{(q)}$  be the left ideal in  $A$  consisting of elements annihilating cohom classes of dim  $\leq q$ .

Thm: Let  $\Phi$  be assoc rel (1) and suppose  $\Phi(x)$  admits a functional representation in dim  $q$ . Then if for each  $i$ , either



$B_i \in B^8$  or  $\alpha_i \in B^{(8 + \dim B_i)}$  we have  $\Phi(x) = 0$ . ③

This provides conditions for secondary ops. to vanish for dimensional reasons. A more general statement of this is in Adem - Bol Soc. Mat. Mex 1963.

Let  $P^n$  be real proj  $n$ -space  
Let  $\xi$  be the canonical line bundle on  $P^n$

Then  $\xi^2 = 1$  and if  $x = \underbrace{\sigma(\xi)}_{\text{to virtual bundle}} - 1 \in \tilde{KO}(P^n)$

we have  $x$  generates  $\tilde{KO}(P^n)$  and  $\alpha_x^{\phi(n)} = 0$

where  $\phi(n) =$  number of  $\gamma$ 's  $1 \leq \gamma \leq n$ ,

$\gamma \equiv 0, 1, 2, 4 \pmod{8}$

Also recall that  $\tau + 1 = (n+1)\xi$

Theorem: The following conditions on  $P^n$  ( $n \geq 8$ ) are equivalent:

a)  $P^n$  has an immersion in  $R^{n+k}$

b)  $(n+k+1)\xi$  has  $(n+1)$ -linearly indep non-zero cross-sections.

c)  $(2^{\phi(n)} - n - 1)\xi$  has  $(2^{\phi(n)} - n - k - 1)$  linearly indep non-zero cross sections

$$\frac{\text{Thom space}}{(P^n)^{\tau\xi}} = P^{n+r} / P^{r-1}$$

Corollary 1: If  $P^n$  has an immersion in  $R^{n+k}$  then a)  $\mathbb{P}^{2n+k+1} / \mathbb{P}^{n+k}$  desuspends  $(n+1)$ -times

b)  $\mathbb{P}^{2^{\phi(n)}-1} / \mathbb{P}^{2^{\phi(n)}-n-2}$  desuspends  $(2^{\phi(n)}-n-k-1)$  times.

Corollary 2: If  $P^n$  has an immersion in  $R^{n+k}$  then a) the  $S$ -type of  $\mathbb{P}^{2n+k+1} / \mathbb{P}^{n+k}$  has a repr. with fund class in  $\dim k$ .

b) the  $S$ -type of  $\mathbb{P}^{2^{\phi(n)}-1} / \mathbb{P}^{2^{\phi(n)}-n-2}$  has a repr with fund class in  $\dim k$ .

Known Results (S.W.)  $P^n \not\subseteq R^{2n-2}$  if  $n = 2^r$ ,  $P^n \not\subseteq R^{2n-4}$  if  $n = 2^r + 1$ . These are best possible.

Proof of first one: Suppose  $P^{2^r} \subseteq R^{2^{r+1}-2}$

By Cor 1a (with  $n = 2^r, k = 2^r - 2$ )  $\mathbb{P}^{2^{r+1}+2^r-1} / \mathbb{P}^{2^{r+1}-2}$  desuspends  $2^r + 1$  times. But in  $H^*(\mathbb{P}^{2^r})$  we know that  $Sq^{2^r}(x^{2^r-1}) \neq 0$ . Thus if  $\mathbb{P}^{2^{r+1}+2^r-1} / \mathbb{P}^{2^{r+1}-2}$  desuspends  $d$  times, then  $2^r \leq (2^r - 1) - d$  so  $d \leq 2^r - 1$ . We have a contrad. so  $P^{2^r} \not\subseteq R^{2^{r+1}-2}$

Main Results: By using secondary coh ops on proj space and showing their vanishing for dimensional reasons, one can prove:

a)  $\mathbb{P}^n \not\subseteq R^{2n-5}$  if  $n = 2^r + 2$  (Bauer-Browder) This is best possible

b)  $\mathbb{P}^n \not\subseteq R^{2n-7}$  if  $n = 2^r + 2^s + 1, r > s + 1 \geq 2$  This is best possible if  $s = 1$  and may well be in general.

c)  $P^n \not\subseteq R^{2n-9}$  if  $n = 2^r + 2^s + 3$ ,  $r > s \geq 2$   
This is best possible.

James has proved:

d)  $P^n \not\subseteq R^{2n-g(n)}$  where  $n = 2^r - 1$  and

$$g(n) = \begin{cases} 2r & \text{if } r \equiv 1, 2 \pmod{4} \\ 2r+1 & \text{if } r \equiv 0 \pmod{4} \\ 2r+2 & \text{if } r \equiv 3 \pmod{4} \end{cases}$$

Conjecture: If  $n$  is odd then the following results are best possible:

$$P^n \not\subseteq R^{2n-2\alpha(n)} \text{ if } \alpha(n) \equiv 1, 2 \pmod{4}$$

$$P^n \not\subseteq R^{2n-2\alpha(n)-1} \text{ if } \alpha(n) \equiv 0, 3 \pmod{4}$$

where  $\alpha(n)$  = no of terms in dyadic expansion of  $n$ .

To prove the result (a)  $\mathbb{P}^n \not\cong \mathbb{R}^{2n-5}$  if  $n=2^r+2$  we use the following secondary cohom function  $\Phi$ :

$$(S_g^1 + S_g^2) S_g^{8k} + (S_g^2 S_g^1 + S_g^4) S_g^{8k-2} + S_g^{8k} S_g^2 + (S_g^{8k} + S_g^{8k+1}) S_g^1 = 0$$

Note that for  $k=1$  this is the relation (\*).

$$\Phi_{8k}: H^2(X) \longrightarrow H^{8+8k}(X) + H^{8+8k+1}(X) / \text{Indeterminacy}$$

Suppose  $\mathbb{P}^{2^r+2} \cong \mathbb{R}^{2^{r+1}-1}$ . Then  $\mathbb{P}^{2^{r+1}+2^r+2} / \mathbb{P}^{2^{r+1}-1}$  desuspends  $2^r+3$  times by Cor 1a.

We shall operate on  $x^{2^{r+1}} \in H^{2^{r+1}}(\mathbb{P}^\infty)$  with  $\Phi_{2^r}$ .

$\Phi_{2^r}(x^{2^{r+1}})$  is defined and lies in  $H^{2^{r+1}+2^r}(\mathbb{P}^\infty) + H^{2^{r+1}+2^r+1}(\mathbb{P}^\infty) \text{ mod } I$

where the indet.  $I$  is generated by  $(S_g^1 + S_g^2) H^{2^{r+1}+2^r-1}(\mathbb{P}^\infty) + (S_g^2 S_g^1 + S_g^4) H^{2^{r+1}+2^r-3}(\mathbb{P}^\infty) + S_g^{2^r} H^{2^{r+1}}(\mathbb{P}^\infty) + (S_g^{2^r} + S_g^{2^r+1}) H^{2^{r+1}}(\mathbb{P}^\infty)$

Since  $S_g^i(x^k) = \binom{k}{i} x^{k+i}$  in  $H^*(\mathbb{P}^\infty)$  we have

$$I = \{ x^{2^{r+1}+2^r}, x^{2^{r+1}+2^r+1} \}$$

Look now at  $H^*(\mathbb{C}\mathbb{P}^\infty)$  and the generator

$$y \in H^{2^{r+1}}(\mathbb{C}\mathbb{P}^\infty) \quad \Phi_{8k}(y) = (\Phi_{2^r}^1(y), 0) \text{ where}$$

$\Phi_{2^r}^1(y) \neq 0$  was computed by Adams and has 0 indet.

Take the map  $f: \mathbb{P}^\infty \rightarrow \mathbb{C}\mathbb{P}^\infty$  where the classifying map  $f$  induces cohom. iso. in even dims. ⑦

By naturality  $f^* \Phi_{2^r}(y) = \Phi_{2^r}(x^{2^{r+1}}) = (x^{2^{r+1}}, 2^r, 0)$

To complete the proof we need the following props which hold in arbitrary spaces.

Prop: If  $A_{8k}(x) = 0$ ,  $A_{8k+1}(x) = 0$ ,  $S_g^3 x = 0$  and  $\Phi(x)$  is defined, then  $\Phi_{8k}(x) = 0$  for  $\dim x \leq 8k-3$

Prop: If  $P(x)$  is a polynomial alg. on a single gen. of dim 1 then  $A_g(x^n) = 0$  for  $\alpha(g+n) > \alpha(n)$  where  $\alpha(m) = \#$  of terms in dyadic exp of  $m$ .

Thus  $A_{2^r}(x^{2^{r+1}}) = 0$

By pulling our operation from  $\mathbb{P}^\infty$  to  $\mathbb{P}^{2^{r+1}+2^r+2} / \mathbb{P}^{2^{r+1}-2}$  we find that this desuspends only  $2^{r+1} - (2^r+3) = 2^r - 3$  times. This contradicts the figure  $2^r+3$  obtained assuming  $\mathbb{P}^{2^r+2} \cong \mathbb{R}^{2^r+1}$ .  
 $\therefore \mathbb{P}^{2^r+2} \not\cong \mathbb{R}^{2^r+1}$  This is result a.

Result b is proved similarly to a.

For result c one uses a Thom class  $T(U)$   $\xi$ -type reduced proj. sp.

$$u \in H^*(T(U))$$

$$\delta v' = u, \quad \delta \Phi(v') = \Phi(u) \neq 0$$

$$v' \in H^*(\text{sphere bundle})$$

Get contrad to immersion by showing that  $\Phi(u)$  trivial.

# Relations among Characteristic Classes

by F.P. Peterson

9 March 1964

This talk presents joint work with E.H. Brown Jr.

For the classifying space  $BO(n)$  we have  $H^*(BO(n), \mathbb{Z}_2) = \mathbb{Z}_2[w_1, \dots, w_n]$  the polynomial ring on the S.W. classes  $w_i$  of the universal bundle over  $BO(n)$ .

There is a 1:1 corresp. between equiv. classes of  $n$ -plane bundles over a complex  $K$  and homot. classes of maps  $K \rightarrow BO(n)$ . One simply takes induced bundles.

We shall restrict attention to the case of tangent bundles to closed  $C^\infty$   $n$ -dim manifolds. To such a manifold  $M^n$  there thus corresponds a classifying map  $\tau_M: M \rightarrow BO(n)$  where  $\tau_M$  is determined up to homotopy.

$$\tau_M^*: \mathbb{Z}_2[w_1, \dots, w_n] \rightarrow H^*(M; \mathbb{Z}_2)$$

We wish to compute  $\bigcap_{\text{all } M^n} \text{Ker } \tau_M^* \stackrel{\text{def}}{=} I_n(0, 2)$

Fact:  $I_n(0, 2)^g = 0$  for  $g \leq \frac{n}{2}$

This is shown by constructing a manifold with no such relations.

(2)

In higher dims we shall show  $I_n(0, 2)$  has many non-trivial etc.

### Algebraic Background (with $\mathbb{Z}_2$ coeffs)

Let  $X$  be a space,  $\xi$  a vector bundle of dim  $n$  over  $X$ . We shall define a right action (depending on  $\xi$ ) of the Steenrod alg.  $\mathcal{A}$  on  $H^*(X)$ .

Let  $T(\xi) = \text{Thom space of } \xi$ , that is  $T(\xi) = 1 \text{ pt. compactification of total space of } \xi$

Let  $\varphi: \bar{H}^k(X) \xrightarrow{\cong} \bar{H}^{n+k}(T(\xi))$  be the iso of Thom

Def: For  $x \in H^*(X)$ ,  $a \in \mathcal{A}$  the right action of  $a$  on  $x$  is  $(x)a = \varphi^{-1}(\chi(a)\varphi(x))$  where  $\chi: \mathcal{A} \rightarrow \mathcal{A}$  is the canonical anti-automorphism

One shows  $(x)(aa') = ((x)a)a'$

Examples: 1) For  $X = M^n$  a manifold, let  $\xi = \nu$  the normal bundle (corresponding to an imbedding  $M^n \subset \mathbb{R}^{2n+1}$ )

2) For  $X = BO(n)$ ,  $\xi = \nu$  the inverse bundle to the classifying bundle  $\gamma$  ( $\gamma \oplus \gamma = \text{trivial bundle}$ )

The right action of  $\mathcal{A}$  on  $M$  or on  $H^*(BO(n))$

will implicitly corresp. to these bundles. (3)

### Properties of rt. action

(1)  $(x_1, x_2) a = \sum (x_1) a'_i \cdot \chi(a''_i)(x_2)$  where  
 $\psi(a) = \sum a'_i \otimes a''_i$ ,  $\psi$  being the usual diagonal  
map.

(2) Setting  $x_1 = 1$  we get

$$(x) a = \sum (1) a'_i \cdot \chi(a''_i)(x)$$

(3) In  $H^*(BO(n))$  or in  $H^*(M)$

$$(1) \chi(Sg^i) = \bar{w}_i$$

(4) In  $H^*(BO(n))$  or in  $H^*(M)$

$$(x) \chi(Sg^r) = \sum_{i=0}^r \bar{w}_i \cdot Sg^{r-i}(x) \text{ This follows}$$

from 2), 3) and the fact that

$$\psi \chi(Sg^r) = \sum_i \chi Sg^i \otimes \chi Sg^{r-i} \text{ (since } \chi: a \rightarrow a$$

is a coalgebra homom)

(5) In  $H^*(M^n)$  let  $x \in H^i(M)$ ,  $y \in H^{n-i-j}(M)$ ,

$a \in A^i$  Then  $(x) a \cdot y = x \cdot a(y)$  assuming  
 $M$  connected. This crucial property could  
be used as a definition of the rt. action

(6)  $\tau_M^* : H^*(BO(n)) \rightarrow H^*(M)$  is a right  
A module homom.



Lemma: Let  $x \in H^j(BO(n))$  for  $i > \frac{n-j}{2}$   
 take  $(x) S_g^i \in H^{i+j}(BO(n))$  Then  $(x) S_g^i \in I_n(0, 2)$

Proof Let  $M$  be an  $n$ -manif

To show  $\tau_M^*((x) S_g^i) = 0$  it suffices by Poincaré duality to show  $\tau_M^*((x) S_g^i) \cdot y = 0$  for all  $y \in H^{n-i-j}(M)$

But  $\tau_M^*((x) S_g^i) \cdot y = \tau_M^*(x) S_g^i \cdot y$   
 $= \tau_M^*(x) \cdot S_g^i(y) = 0$  by (6), (5) and the fact that  $i > n-i-j$ . Hence  $\tau_{M^n}^*((x) S_g^i) = 0$  for all  $M^n$ , so  $(x) S_g^i \in I_n(0, 2)$

Main Theorem:  $I_n(0, 2)$  is the  $\mathbb{Z}_2$ -module generated by  $H^j(BO(n)) S_g^i$  for  $i > \frac{n-j}{2}$

We now set up the proof of this theorem.

A homology theory  $\mathcal{N}_*$  on CW complexes is obtained by taking bordism groups.

The abelian group  $\mathcal{N}_n(X)$  consists of  $\{ [M^n, f] \mid f: M^n \rightarrow X, M^n \text{ a } C^\infty \text{ manifold} \}$

Let  $\mathcal{N}_*(X)^*$  be the  $\mathbb{Z}_2$  dual of  $\mathcal{N}_*(X)$   
 This gives a cohomology theory.

(5)

Define  $\bar{\Theta} : H^*(BO) \otimes H^*(X) \xrightarrow{\bar{\Theta}} \mathcal{N}_*(X)^*$  by

$$\bar{\Theta}(u \otimes x) [M^n, f] = \tau_n^*(u) \cdot f^*(x) \in \mathbb{Z}_2$$

Thus  $\bar{\Theta}(u \otimes x) [M^n, f] = 0$  unless  $\dim u + \dim x = n$   
since we are here identifying  $H^n(M^n) \cong \mathbb{Z}_2$

It follows from properties 5) and 6) of the  
ret. action that  $\bar{\Theta}(u \otimes a \otimes x) = \bar{\Theta}(u \otimes a(x))$

Thus we can define  $\Theta : H^*(BO) \otimes_a H^*(X) \rightarrow \mathcal{N}_*(X)^*$

Th  $H^*(BO)$  is a free rt.  $a$ -module

This result of Thom (1954) gives us the  
structure of  $H^*(BO) \otimes_a H^*(X)$

Th  $\Theta$  is an iso for each CW cx  $X$

This is first proved for  $X$  a point. The  
general case then follows since  $H^*(BO) \otimes_a H^*(X)$   
and  $\mathcal{N}_*(X)^*$  are cohomology theories

To compute  $I_n(0, 2)^g$  take  $X = K(\mathbb{Z}_2, n-g)$   
 $L \in H^{n-g}(\mathbb{Z}_2, n-g)$  the canonical generator

Consider

$$H^*(BO) \xrightarrow{\lambda} H^*(BO) \otimes_a H^*(\mathbb{Z}_2, n-g) \xrightarrow{\Theta} \mathcal{N}_*(K(\mathbb{Z}_2, n-g))^*$$

where  $\lambda(u) = u \otimes L$

(6)

Lemma:  $(\text{Ker } \theta \lambda)^{\mathfrak{g}} = I_n(0, 2)^{\mathfrak{g}}$

Proof: For  $u \in H^{\mathfrak{g}}(B0)$  we have

$u \in \text{Ker } (\theta \lambda)^{\mathfrak{g}} \Leftrightarrow \theta(u \otimes 1) = 0 \Leftrightarrow$  for all  $[M^n, f]$ ,  $f: M^n \rightarrow K(\mathbb{Z}_2, n-g)$  we have  $\tau_{M^n}^*(u) \cdot f^*(1) = 0 \Leftrightarrow$  for all  $M^n$  and  $v \in H^{n-g}(M^n)$  we have  $\tau_{M^n}^*(u) \cdot v = 0 \Leftrightarrow$  for all  $M^n$ ,  $\tau_{M^n}^*(u) = 0 \Leftrightarrow u \in I_n(0, 2)^{\mathfrak{g}}$

Consequence:  $I_n(0, 2)^{\mathfrak{g}} = (\text{Ker } \lambda)^{\mathfrak{g}}$  since  $\theta$  is an iso

Let  $\{\mu_i\}$  be a basis for  $H^*(B0)$  as a (free) right  $\mathfrak{a}$ -module. Each  $u \in H^*(B0)$  is expressed as  $u = \sum (\mu_i) a_i$ . Thus for  $u \in H^{\mathfrak{g}}(B0)$  we have  $u \in (\text{Ker } \lambda)^{\mathfrak{g}} \Leftrightarrow 0 = \lambda(u) = \sum (\mu_i) a_i \otimes 1 = \sum \mu_i \otimes a_i(1) \Leftrightarrow a_i(1) = 0$  for all  $i$

In  $H^*(\mathbb{Z}_2, n-g)$  one shows that  $S_{\mathfrak{g}}^I(1) = 0 \Leftrightarrow e(I) \geq n-g$

The Main Theorem stated on page 4 now follows.

Further results:

We know that the free rt.  $\mathbb{A}$  module  $H^*(BO)$  contains  $I_n(O, 2)$  as an ideal closed under left and right  $\mathbb{A}$  operations.  $I_n^g(O, 2) = 0$  for  $g \leq n/2$

Theorem:  $I_n(O, 2)$  is a free right  $\mathbb{A}$ -module in  $\dim \leq \frac{3}{4}n$

Conjecture (quite sure):  $I_n(O, 2)$  in  $\dim \leq n$  has homological  $\dim \leq [\log_2 n]$  as a rt.  $\mathbb{A}$  module

In the notation  $I_n(O, 2)$   
 $O =$  orthogonal group       $2 =$  prime 2

Define  $I_n(SO, p) =$  relations among the  $\left\{ \begin{array}{l} SW \text{ classes} \\ \text{or Pontrjagin classes mod } p \end{array} \right\}$  of orientable  $n$ -manifolds

Define  $I_n(U, p) =$  relations among Chern classes mod  $p$  of weakly almost complex  $n$ -manifolds.

"Theorem" We can compute all these

$I_{2n}(U, p) =$  rels among Chern classes of  $n$ -dim complex manifolds

# Approximating Stable Homeomorphisms by Piecewise Linear Ones

E.H. Connell

6 April 1964

Reference: Connell, Same title, Ann. of Math.,  
78 (1963), 326-338

Our main result is that if  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$   
is an orientation preserving homeomorphism  
of euclidean  $n$ -space ( $n \geq 7$ ), then  
 $h$  is stable  $\iff$   $h$  can be approximated  
by a p.w. linear homeomorphism.

This is also true if we replace  
"p.w. l. homeom" by "diffeomorphism."

Def A homeom.  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$   
( $S^n \rightarrow S^n$ )

is stable if  $\exists$  non-empty open sets  
 $U_1, U_2, \dots, U_k$  and homeoms  $h_i: \mathbb{R}^n \rightarrow \mathbb{R}^n$   
( $S^n \rightarrow S^n$ )  
such that  $h = h_1 h_2 \dots h_k$  and  $h_i|_{U_i} = I$

Convention: homeom = orientation  
preserving homeom.

## Background Theorems

1. Every homeom of  $R^n$  (or  $S^n$ ) is stable if  $n=1, 2, 3$ . The conjecture that every homeom. of  $R^n$  is stable is equivalent to the annulus conjecture.
2. The stable homeoms form a normal subgroup of the group of all homeoms.
3. For  $S^n$  this subgroup is simple, i.e. contains no proper normal subgroups.
4. Every stable homeom is isotopic to the ident.
5. Every  $\left\{ \begin{array}{l} \text{p.w. linear homeom} \\ \text{diffeom} \end{array} \right\}$  is stable
6. Simply connected manifolds have stable structures.

[One can give a local definition of stability for homeoms  $f$  of open subsets of  $R^n$ . Roughly,  $f$  is stable at a point, if when  $f$  is restricted to a nbhd of that pt and then extended to  $R^n \rightarrow R^n$  the extended map is stable. A manifold has a stable structure if its coordinate transfs are stable.]

7. For  $n=1, 2, 3$  a homeom.  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$  can be approximated by a p.w. linear one.

Notation:  $O = O^n = \{x \in \mathbb{R}^n : \|x\| < 1\}$

$\downarrow B \subset \mathbb{R}^n \quad aB = \{x : \exists b \in B \text{ with } x = ab\}$

$Ba =$  complement in  $\mathbb{R}^n$  of  $aB$

For  $x, y \in \mathbb{R}^n$ ,  $\theta(x, y) =$  angle between  $\vec{ox}$  and  $\vec{oy}$  measured in radians.

$I =$  identity function.

We now give the modified engulfing lemma. It adds the requirement  $\theta\{h(x), x\} < \epsilon$  to the conclusion of John Stallings engulfing lemma.

Lemma 1: Suppose  $\mathbb{R}^n$  ( $n \geq 4$ ) has an arbitrary p.w.l. structure  $T$ ;  $K$  is a finite subcomplex of  $T$  with  $\dim K \leq n-4$ ;  $0 < a < b$ ,  $\epsilon > 0$  and  $K \subset bO (= bO_n)$

Then  $\exists$  a p.w.l. homeom  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$  such that  $h|(a-\epsilon)O = I$ ,  $h(Ob) = I$ ,  $h(aO) = K$  and  $\theta\{h(x), x\} < \epsilon$  for  $x \in \mathbb{R}^n$ .

Lemma 2: Identical to Lemma 1 except that the expansion is in toward the origin rather than away from it,  $[K \subset \bar{O}a$ ,  
 $h|O(b+\epsilon) = I$ ,  $h|aO = I$ ,  $h(\bar{O}b) = K$ ]

Lemmas 1 and 2 are applied to prove:

Lemma 3: Suppose  $R^n$  ( $n \geq 7$ ) has an arbitrary p.w.l. structure  $T$  and  $0 < a < b$ ,  $\epsilon > 0$ . Then  $\exists$  a homeom  $h: R^n \rightarrow R^n$  such that  $h$  is p.w.l. relative to  $T$ ,  $h|(a-\epsilon)O = I$ ,  $h|O(b+\epsilon) = I$ ,  $h(aO) = bO$ , and  $\Theta \{h(x), x\} < \epsilon$  for  $x \in R^n$ .

We restate Lemma 3 to give a "controlled expanding theorem."

Theorem 2: Suppose  $R^n$  ( $n \geq 7$ ) has an arbitrary p.w.l. structure  $T$  and  $0 < a < b$ ,  $\epsilon > 0$ . If  $f: R^n \rightarrow R^n$  is any homeom  $\exists$  a p.w.l. (rel  $T$ ) homeom  $g: R^n \rightarrow R^n$  such that  $g|f(a-\epsilon)O = I$ ,  $g|f(O(b+\epsilon)) = I$ ,  $g(f(aO)) = f(bO)$   
 $\Theta \{f^{-1}[g(y)], f^{-1}(y)\} < \epsilon$



The key to approximating stable homeoms of  $R^n$  is in the following:

Lemma 4: Let  $T$  be an arbitrary p.w.l. structure on  $R^n$  ( $n \geq 7$ ). Let  $h: O \rightarrow R^n$  be a homeom such that  $h(O) = O$ ,  $\theta \{h(x), x\} = 0$  for  $x \in O$  and if  $0 < r < 1 \exists$  a number  $u(r) > r$  such that  $h[r(\bar{O} - O)] = u(r)(\bar{O} - O)$

Then if  $\epsilon(x): O \rightarrow (0, \infty)$  is continuous  $\exists$  a homeom  $f: O \rightarrow R^n$  which is p.w.l. rel.  $T$  and such that  $|f(x) - h(x)| < \epsilon(x)$  for  $x \in O$ .

The proof calls for a p.w.l. expansion of  $O$  into  $R^n$  which is nearly radial. This expansion is obtained through a sequence of steps each using Theorem 2. One takes precautions to prevent an accumulation of the angle error.

We now give the main result:

Theorem 3: Let  $R^n$  ( $n \geq 7$ ) have an arbitrary p.w.l. structure  $T$ . If  $g: R^n \rightarrow R^n$  is a stable homeomorphism and  $\epsilon(x): R^n \rightarrow (0, \infty)$  is continuous then  $\exists$  a p.w.l. (rel  $T$ ) homeom  $f: R^n \rightarrow R^n$  such that  $|f(x) - g(x)| < \epsilon(x)$  for  $x \in R^n$ .

Sketch of Proof: Assume  $g|_O = I$   
 Let  $\delta(z) : \mathbb{R}^n \rightarrow (0, \infty)$  be continuous such  
 that if  $z, b, c \in \mathbb{R}^n$ ,  $|b-z| < \delta(z)$ ,  
 $|c-z| < \delta(z)$  then  $|g(b) - g(c)| < \epsilon(c)$ .

Let  $h : O \rightarrow \mathbb{R}^n$  be as in Lemma 4,  
 $h(x) = u(\|x\|)x$ . Then  $\exists$  a homeom.  
 $f_1 : O \rightarrow \mathbb{R}^n$  which is p.w.l. rel  $T$  and  
 such that  $|f_1(y) - h(y)| < \delta[h(y)]$  for  $y \in O$ .

Let  $T_1$  be the p.w.l. structure on  $\mathbb{R}^n$  given  
 by  $T_1 = g^{-1}(T)$ . Since  $g|_O = I$  we have  
 that  $T_1$  and  $T$  agree on  $O$ . Thus

$f_1^{-1} : \mathbb{R}^n \rightarrow O$  is p.w.l. from  $T$  to  $T_1$ .

Using Lemma 4 again,  $\exists$  a homeom  
 $f_2 : O \rightarrow \mathbb{R}^n$  which is p.w.l. from  
 $T_1$  to  $T$ , and such that  $|f_2(y) - h(y)| < \delta[h(y)]$

Setting  $f = g \circ f_2 \circ f_1^{-1} : \mathbb{R}^n \rightarrow \mathbb{R}^n$  we  
 find  $f$  is p.w.l. from  $T$  to  $T$  because  
 $f_2 \circ f_1^{-1}$  is p.w.l. from  $T$  to  $T_1$  and  $g$  is  
 p.w.l. from  $T_1$  to  $T$ . One further shows  
 that  $|f(x) - g(x)| < \epsilon(x)$  for  $x \in \mathbb{R}^n$ .

Thus  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is the desired  
 approximation to  $g$ .

# Some Relations between Homology and Homotopy

by Edward Curtis

13 April 1964

We shall use Kan's semi-simplicial approach to this problem.

## Combinatorial calculation of homotopy

Let  $X$  be a connected simplicial cx with ordered vertices. There is associated to  $X$  a semi-simplicial complex  $K$ .

$$K_n = \{ (l_0, l_1, \dots, l_n) \mid l_0 \leq l_1 \leq \dots \leq l_n \text{ and all } l_j \text{ lie in a simplex of } X \}$$

$$\partial_j : K_n \rightarrow K_{n-1}, \quad j = 0, \dots, n \text{ is } \partial_j(l_0, \dots, l_n) = (l_0, \dots, \hat{l}_j, \dots, l_n)$$

$$s_j : K_n \rightarrow K_{n+1}, \quad j = 0, \dots, n \text{ is } s_j(l_0, \dots, l_n) = (l_0, \dots, l_j, l_j, \dots, l_n)$$

Take a maximal tree  $T$  of  $X$

Define free groups:

$F_n =$  free gp on  $K_n$  with relations

$$\sigma = 1 \text{ if } \sigma \text{ spans a simplex of } T \text{ or} \\ \text{if } \sigma = (l_0, \dots, l_{n-1}, l_{n-1}) \quad (\text{i.e. } \sigma = s_{n-1}(\tau) \text{ for } \tau \in K_{n-1})$$

Define  $d_j : F_n \rightarrow F_{n-1}$  for  $j = 0, \dots, n-1$

$$d_j \sigma = \partial_j \sigma, \quad j \neq n-1$$

$$d_{n-1} \sigma = (\partial_{n-1} \sigma) (\partial_n \sigma)^{-1}$$

Take  $\tilde{F}_n = \bigcap_{i \neq 0} \text{Ker } d_i \subset F_n$

Then  $\{\tilde{F}_n\}$  forms a (non-abelian) chain complex with boundary  $d_0: \tilde{F}_n \rightarrow \tilde{F}_{n-1}$

That is,  $\text{Im } d_0 (\subset \tilde{F}_n)$  is a normal subgroup of  $\text{Ker } d_0 (\subset \tilde{F}_n)$  for all  $n$

Theorem  $\pi_n(X) \approx H_n(\tilde{F})$  for all  $n$

Remark: For  $\pi_1(X)$ , this gives the classical result.

Remark: Essentially  $F_{n+1} = (GK)_n$  where  $GK$  is the semi-simplicial loop complex (Kan) of  $K$ . The above isom. follows from a more general theorem that  $\pi_n(|K|) \approx \pi_{n-1}(GK)$  for any reduced semi-simpl. cx  $K$ .

Semi-simplicial version of the Hurewicz Thm

The homology  $H_n(X)$  is obtained as follows.

Let  $A_n = F_n / [F_n, F_n]$  and let  $d_j: A_n \rightarrow A_{n-1}$ ,

$j=0, \dots, n-1$  be the homos induced by  $d_j: F_n \rightarrow F_{n-1}$

Let  $(A, \partial)$  be the free abelian chain complex with  $\partial: A_n \rightarrow A_{n-1}$  given by  $\partial \alpha_n = \sum_{j=0}^{n-1} (-1)^j d_j \alpha_n$

Then  $H_n(X) = H_n(A)$

just as we formed  $\tilde{F}$  from  $F$  we can form another chain complex  $\tilde{A}$  from  $A$ .

Let  $\tilde{A}_n = \bigcap_{i \neq 0} \text{Ker } d_i$  and  $d_0: \tilde{A}_n \rightarrow \tilde{A}_{n-1}$  be the boundary.

Then the inclusion  $\tilde{A} \rightarrow A$  is a chain map and induces isomorphisms  $H_n(\tilde{A}) \cong H_n(A)$ .

Hence  $H_n(\tilde{A}) \cong H_n(X)$ .

The natural abelianization maps  $F_n \rightarrow A_n$  induce a chain map  $\tilde{F} \xrightarrow{h} \tilde{A}$

Then  $\pi_n(X) \cong \pi_n(\tilde{F}) \xrightarrow{h_*} H_n(\tilde{A}) \cong H_n(X)$  gives the Hurewicz map.

Remark: This generalizes Poincaré's Theorem that  $H_1(X)$  is  $\pi_1(X)$  made abelian, except that in our theorem abelianization comes first.

Remark: Actually, the short exact sequence

$$1 \rightarrow [F, F] \rightarrow F \rightarrow A \rightarrow 1$$

gives rise to a long exact sequence

$$\dots \rightarrow \gamma_n(X) \rightarrow \pi_n(X) \xrightarrow{h_*} H_n(X) \rightarrow \gamma_{n-1}(X) \rightarrow \dots$$

If  $\pi_i(X) = 0$  for  $0 \leq i \leq n$  then

$$\gamma_i(X) = 0 \text{ for } 0 \leq i \leq n+1$$

This yields the full Hurewicz theorem

If  $G$  is any group we define inductively

$$\Gamma_1 G = \Gamma_2 G = \Gamma_3 G = \dots \text{ as follows}$$

$$\Gamma_1 G = G$$

$\Gamma_r G = [\Gamma_{r-1} G, G]$  = the subgroup of  $G$  generated by elements  $x^{-1}y^{-1}xy$  where  $x \in \Gamma_{r-1} G, y \in G$

Then  $\Gamma_r G$  is a normal subgroups of  $G$  and of  $\Gamma_{r-1} G$  and  $\Gamma_{r-1} G / \Gamma_r G$  is abelian.

If  $G$  is a free group then  $G / \Gamma_r G$  is called the free nilpotent group of class  $r-1$

Let  $X, F$  be as before. We form  $F / \Gamma_r F$  (ie.  $F_n / \Gamma_r F_n$  in each dim  $n$ ) and take the natural homom  $F \xrightarrow{\varphi} F / \Gamma_r F$

Theorem: If  $\pi_i(X) = 0, 1 \leq i \leq n$  then  $\varphi_g : H_g(\tilde{F}) \rightarrow H_g(F / \Gamma_r F)$  is an isom for  $1 \leq g \leq n + \log_2 r$

Theorem: There is a spectral sequence (homology exact couple)

$$E_{p,q}^2 = H_{p+q}(\Gamma_p F / \Gamma_{p+1} F)$$

converges  $\downarrow$  ss.

$$E_{p,q}^\infty = \mathcal{G}^p \pi_{p+q}(X)$$

$E_{p,q}^2$  depends only on  $H_*(X)$

The free Lie ring over an abelian gp  
For any abelian gp  $A$  let  $AM$  be the free non-associative ring on  $M$ ,

ie.  $AM = \sum_{r=0}^{\infty} A^r M$  where  $A^0 M = M$

and  $A^r M = \sum_{i=1}^{r-1} (A^i M) \otimes (A^{r-i} M)$  for  $r > 1$

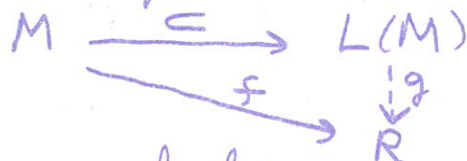
Let  $IM$  be the two sided ideal in  $AM$  generated by the elements  $x \otimes x$  and  $(x \otimes y) \otimes z + (y \otimes z) \otimes x + (z \otimes x) \otimes y$  for all  $x, y, z \in AM$ .

Then the free Lie ring  $L(M)$  over  $M$  is

$$L(M) = AM / IM = \sum_{r=0}^{\infty} L^r M$$

$L$  and  $L^r$  are functors from abelian gps to ab. gps.

$L$  has the following universal property:



For any abelian gp homom  $f: M \rightarrow R$  where  $R$  is a Lie ring,  $\exists$  a unique extension of  $f$  to a Lie map  $g: L(M) \rightarrow R$

Theorem (Witt): If  $G$  is a free group there is a natural isom  $L^p(G / \Gamma_2 G) \cong \Gamma_p G / \Gamma_{p+1} G$

Theorem (Dold): If  $A$  is a semi-simple free abelian gp complex and  $T$  a functor from ab. gps to ab. gps, then

$$H_*(\tilde{T}A) \text{ depends only on } H_*(\tilde{A})$$

(6)

The case  $X = S^{n+1}$

Let  $GK$  be the loop complex of  $K$  where  $K$  is the ss. complex associated with  $X$ , (as remarked earlier  $(GK)_j = F_{j+1}$ )

Then we have  $\dots \subset \Gamma_3 GK \subset \Gamma_2 GK \subset GK$   
and  $H_j(\Gamma_{r/\Gamma_{r+1}} \widetilde{GK}) \cong H_j(L^r(\Gamma_{1/\Gamma_2} \widetilde{GK}))$

Now  $H_j(L^r(\Gamma_{1/\Gamma_2} \widetilde{GK}))$  depends only on the groups  $H_j(\Gamma_{1/\Gamma_2} \widetilde{GK}) \cong H_{j+1}(K)$

Theorem (Schlesinger): For  $p$  prime

$$H_s(L^p(\Gamma_{1/\Gamma_2} \widetilde{GK})) = \begin{cases} \mathbb{Z}_p & s = n-1 + 2k(p-1) \\ & \text{where } 1 \leq k \leq \lfloor \frac{n}{2} \rfloor \\ \mathbb{Z} & p=2, n \text{ odd}, s=2n \\ 0 & \text{otherwise} \end{cases}$$



# Action of $\Gamma_n$ on Concordance Classes of Differentiable Manifolds

J. R. Munkres

21 April 1964

## I. Definition of the problem

Let  $M$  be a compact, non-bounded, connected  $C^\infty$   $n$ -manifold

$\Gamma_n =$  group of diffeoms of  $S^{n-1}$  / those extendable to  $B^n$

$\Gamma_n$  is abelian

Action of  $\Gamma_n$ :



Dig out from  $M$  the interior of an embedded ball  $B^n$  to get  $M_*$ . If  $B^n$  were pasted back in by  $\Psi: S^{n-1} \rightarrow M_*$  we would get a diffeomorph of  $M$  again. If we first apply  $\phi: S^{n-1} \rightarrow S^{n-1}$  and then  $\Psi$  we get another manifold  $N = M_* \cup_{\Psi \circ \phi} B^n$ .

Its diffeom class depends only on  $[\phi] \in \Gamma_n$  and the diffeom class of  $M$ . This gives the action of  $\Gamma_n$ .

Question: When is  $N \approx M$ ? Tamura, Brown + Steen, Novikov, Browder, Kosinski.

Def The inertia group  $I(M)$  is the subgroup of  $\Gamma_n$  which leaves the diffeom class of  $M$  unchanged.

Remark: (Smale)  $\Gamma_n$  acts on  $S^n$  to give all possible diff structures ( $n \neq 4$ ) and  $I(S^n) = 0$ .  
Hence  $\Gamma_n \leftrightarrow$  the set of diffeom classes of diff manifolds with  $S^n$  as underlying space.

Concordance of  $\Delta$ ted manifolds:

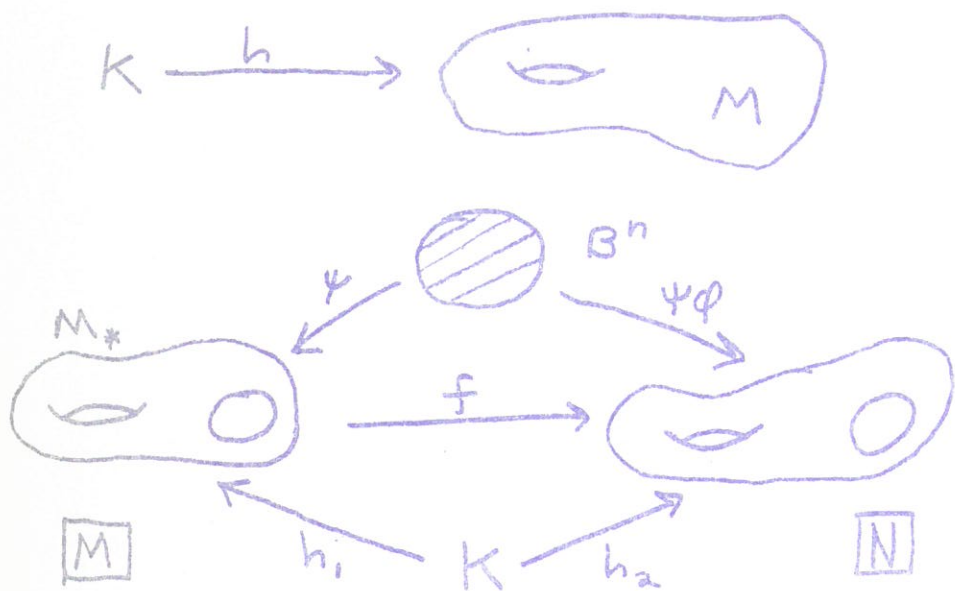
$$\begin{array}{ccc} & K & \\ h_1 \swarrow & & \searrow h_2 \\ M & \xrightarrow{f} & N \end{array} \quad \begin{array}{ccc} & K \times I & \\ H_1 \swarrow & & \searrow H_2 \\ M \times I & \xrightarrow{F} & N \times I \end{array}$$

Given two manifolds  $M, N$  piecewise smoothly  $\Delta$ ted by the same complex  $K$ , they are concordant if there is a diagram of p.w. smooth  $\Delta$ tions  $\ni H_i|_{K \times 0} = h_i$  and  $F|_{M \times 0}$  is a diffeom.  $H_i, F$  need not be level preserving.

Remark: This is equiv. to hypothesizing a compatible diff structure on  $K \times I$  whose restrictions to  $K \times 0$  and  $K \times 1$  are those induced by  $h_1$  and  $h_2$  resp.

(Proof uses Munkres' abstr. theory)

Action of  $\Gamma_n$  on concordance classes



Within the concordance class of  $(M, h, K)$  there is  $h_1: K \rightarrow M$  which induces a  $\Delta$ tion of  $M_*$ . There is a  $\Delta$ tion  $h_2: K \rightarrow N$  which equals  $h_1$  on the subcomplex  $\Delta$ ting  $M_*$ .

- Theorem (a)  $(M, h, K), [\phi] \rightarrow (N, h_2, K)$  is well defined on the concordance class level.  
 b) The triviality of the action of  $[\phi]$  is independent of the choices of  $K, h$

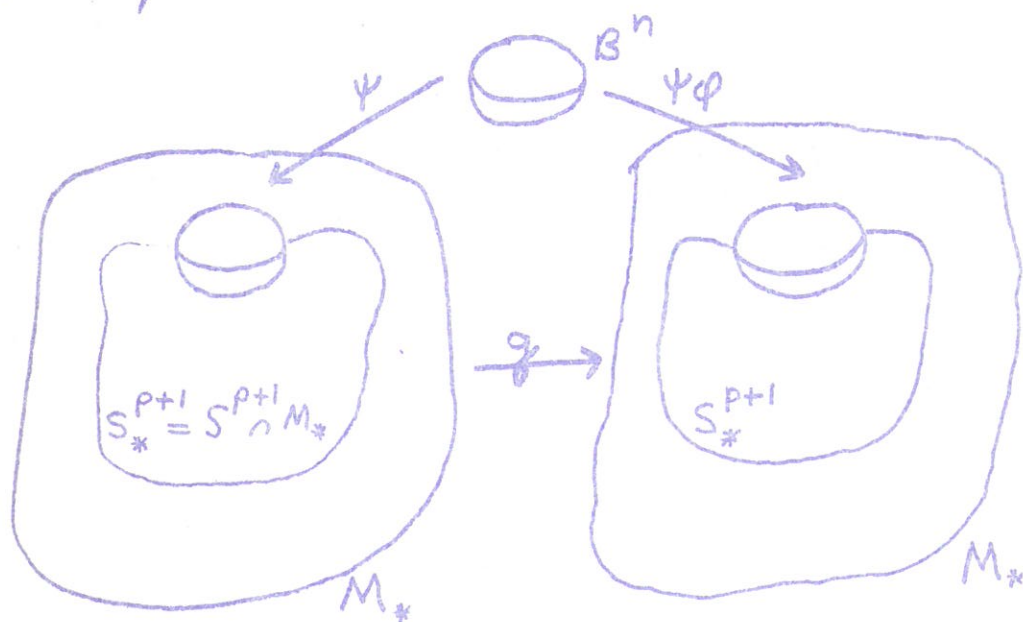
Proof uses techniques of J.H.C. Whitehead.

Notation:  $I_c(M) =$  concordance inertia group of  $M$ .

$I_c(M) \subset I(M)$

II. Finding an element of  $\Gamma_n$  which acts trivially on  $(M, h, K)$

Construction Let  $M$  contain a diff embedded  $S^{p+1}$ . We shall construct from this an element of  $\Gamma_n$  which acts trivially on the concordance class in question.



A diffeom  $g: M_* \rightarrow M_*$  determines  $\phi: S^{n-1} \rightarrow S^{n-1}$  by the rule

$(\psi\phi)\psi^{-1}|_{B \cap M_*} = g|_{B \cap M_*}$  This  $\phi$  automatically acts trivially on the diffeom class of  $M$ .

We now construct such a  $g$ .

Let  $h: S_*^{p+1} \times R^{q-1} \rightarrow \mathcal{N}(S_*^{p+1})$  a tubular nbhd of  $S_*^{p+1} \subset M_*$  ( $p+q=n$ )

Let  $\gamma: R^{q-1} \rightarrow R^{q-1}$  be a diffeom of compact support and define  $1 \times \gamma: S_*^{p+1} \times R^{q-1} \rightarrow S_*^{p+1} \times R^{q-1}$

Then define  $g: M_* \rightarrow M_*$  by  $g|_{\mathcal{N}(S_*^{p+1})} = h \circ (1 \times \gamma) \circ h^{-1}$  and  $g = 1$  elsewhere.  $g$  is a diffeom.

Fact: The element  $[\phi] \in \Gamma_n$  determined by this  $g$  lies in  $I_c(M)$  (we know  $[\phi] \in I(M)$ )

We must show that  $g$  may be connected to a comb. equiv.  $f$  which equals the identity on  $M_*$ . Here use JHC. W. again.

The idea is that we can deform  $g$  so that it becomes PL and still preserves fibres in  $\mathcal{N}(S_*^{p+1})$ . Then we have a PL homeom of  $Bd(B^n \times I)$  onto itself, which we may extend.

### III. Identifying this element of $\Gamma_n$

$$\phi = \psi^{-1} (g|_{BdM_*}) \psi : S^{n-1} \rightarrow S^{n-1}$$

Let  $\mathcal{N}(S^p)$  be a standard tubular nbhd of the "equator"  $S^p$  in  $S^{n-1} \subset B^n$ .

By abuse of language let  $S^p \times R^{q-1} = \mathcal{N}(S^p)$

We assume that  $S^{p+1}$  is nicely imbedded in  $M$ , so that  $\psi(S^p) = Bd(S_*^{p+1})$  and

$\psi(S^p \times R^{q-1}) = Bd S_*^{p+1} \times R^{q-1}$  where  $Bd S_*^{p+1} \times R^{q-1} \subset S_*^{p+1} \times R^{q-1}$  is the tubular nbhd considered earlier.

We also assume that the part of  $S^{p+1}$  outside of  $M_*$  corresponds to the disk in  $B^n$  with boundary  $S^p$ .

⑥

The map  $\psi: S^p \times R^{g-1} \rightarrow (\text{Bd } S_*^{p+1}) \times R^{g-1}$  determines (assuming  $\psi$  is nice) a map  $A: S^p \rightarrow SO(g-1)$   
 $x \mapsto A_x$

$A$  can be regarded as the characteristic map of the normal bundle of  $S^{p+1} \subset M$ .

Now  $\phi = \Psi^{-1} \circ (\gamma \circ \text{Bd } M_*) \circ \psi: S^{n-1} \rightarrow S^{n-1}$  is the identity outside of  $\mathcal{N}(S^p) = S^p \times R^{g-1}$

$$\begin{array}{ccc} \text{also } (x, y) \in S^p \times R^{g-1} & & (x, A_x^{-1} \gamma A_x y) \\ \psi \downarrow & & \uparrow \psi^{-1} \\ (\psi(x), A_x y) & \xrightarrow{1 \times \gamma} & (\psi(x), \gamma A_x y) \\ & \in \text{Bd } S_*^{p+1} \times R^{g-1} & \end{array}$$

so on  $S^p \times R^{g-1}$ ,  $\phi$  sends  $(x, y) \rightarrow (x, A_x^{-1} \gamma A_x y)$

Theorem: Given  $\gamma: R^{g-1} \rightarrow R^{g-1}$  having compact support and  $A: S^p \rightarrow SO(g-1)$  differentiable. Define  $S^p \times R^{g-1} \rightarrow S^p \times R^{g-1}$  by  $(x, y) \rightarrow (x, A_x^{-1} \gamma A_x y)$  and use this to obtain a diffeom  $\phi: S^{n-1} \rightarrow S^{n-1}$  ( $n = p + g$ )

Then  $[\phi]$  depends only on the class of  $\gamma$  in  $\Gamma_g$  ( $\gamma$  extends to a diffeom  $R^{g-1} \cup \omega \rightarrow R^{g-1} \cup \omega$ )

The function  $\tau_p: \pi_p(SO(g-1)) \otimes \Gamma_g \rightarrow \Gamma_n$  where  $\tau_p([A_x], [\gamma]) = [\phi]$  is a homom.

Theorem: Let  $M$  contain a diff. embedded  $S^{p+1}$  with normal bundle having ch. class  $\sigma \in \pi_p(SO(q-1))$ . If  $\Sigma \in \Gamma_n$  equals  $\tau_p(\sigma, [Y])$  for some  $[Y] \in \Gamma_q$  then  $\Sigma \in I_c(M)$ .

Theorem [Milnor]  $\tau_p$  is non-trivial in some dimensions. (It is related to compositions in homotopy gps of spheres)  $\tau_1$  has a non-trivial image in  $\Gamma_9, \Gamma_{10}$ , and  $\Gamma_{15}$ , for example;  $\tau_3$  has a non-trivial image in  $\Gamma_{17}$

#### IV Obstruction theory interpretation

Take comb. equiv.  $f$  again. From it may be obtained (by radial extension) a diffeom.  $f_0$  with a single singularity. This is a "smoothing" of  $f$  in a technical sense. It gives us a 0-dim obstruction

$$\lambda_0 f_0 = [\phi] \otimes p \in H_0(M; \Gamma_n)$$

This obstruction might be inessential, i.e. arise from some wrong choices in smoothing  $f_0$ . The measure of difference of choice is determined by obstruction operators  $\Lambda_i$  carrying homology classes  $H_m(M; \Gamma_{n-m+1})$  into  $H_{m-i}(M; \Gamma_{n-m+1})$   
 difference chains into obstruction chains

Theorem: Assume the hypotheses of the preceding theorem. If  $z$  is fund cycle of  $S^{p+1}$ , then  $[x] \otimes z \in H_{p+1}(M; \Gamma_q)$  and

$$\Lambda_{p+1}([x] \otimes z) = (\tau_p(\sigma, [x]) \otimes p) \in H_0(M; \Gamma_n)$$

Now concordance  $\Rightarrow$  smoothability, so if  $[\phi] \in I_c(M)$  then  $[\phi] \otimes p$  must be inessential in the obstruction theory sense. If all the homology of  $M$  is generated by such  $p^m$ 's we can say then precisely what  $I_c(M)$  is.

Theorem: Let  $\sigma_i \in \pi_{p_i}(SO(n-p_i-1))$  ( $i=1, \dots, m$ )  
 Let  $G$  be the subgroups of  $\Gamma_n$  gen by the groups  $\tau_{p_i}(\sigma_i \otimes \Gamma_{n-p_i})$ .

$$\exists \text{ } n\text{-manifold } M \ni I_c(M) = G$$

Proof:  $M =$  connected sum of sphere bundles over spheres. By 1st thm,  $I_c(M) \supset G$ . By second theorem (all homology is thus generated), this is an equality.



# Unknotting in Manifolds

by E. M. Brown

11 May 1964

Manifold = comb. manif with boundary  
Map = piecewise linear map

Def: Given manifolds  $N \subset P$  we say an embedding  $f: N \rightarrow P$  unknots relative to  $(P, N)$  if  $\exists$  a homeom  $h: P \rightarrow P$  st  $h(f(N)) = N \subset P$

The classical case is  $P = S^3$ ,  $N = S^1$   
If  $S^1 \subset S^3$  is an equator then the unknotting problem has been "solved," but for bad embeddings it is far from solved.

Def An embedding  $f: N \rightarrow P$  is regular provided  $f(\partial N) \neq f(N) \cap (\partial P)$ , i.e.  $f$  carries  $\partial N$  + only  $\partial N$  into  $\partial P$ .

We assume henceforth that  $M$  is a compact  $n$ -manifold with boundary.

We will prove the following theorems.

Theorem 1: Let  $f: M \rightarrow M \times I$  be a regular embedding. There exists a homeo  $h: M \times I \rightarrow M \times I$  s.t.  $h|_{M \times 0 \cup M \times 1} = \text{id}$

$h f(M) = M \times \frac{1}{2}$  if and only if  $f(M)$  separates  $M \times 0$  from  $M \times 1$  in  $M \times I$ .

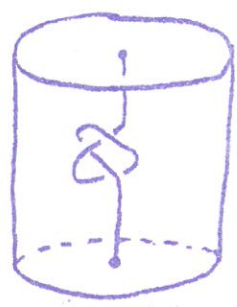
This was proved simultaneously but indep by C.H. Edwards, Ross Finney, and E.M. Brown.

Theorem 2: Let  $M$  be connected,  $p \in \text{int } M$ ,  
 Let  $\alpha: I \rightarrow M \times I$  a regular embedding with  
 $\alpha(0) = (p, 0)$ ,  $\alpha(1) \in \text{int } M \times I$

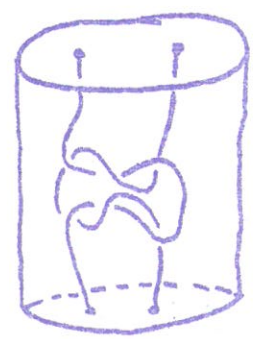
Then there exists a homeom.  $h: M \times I \rightarrow M \times I$   
 such that  $h|_{M \times 0 \cup (\partial M) \times I} = \text{id}$   
 $h\alpha(t) = (p, t)$

if and only if for  $i=0, 1$  the natural homom.  
 $\pi_1(M \times i - \alpha(i)) \rightarrow \pi_1(M \times I - \alpha(I))$   
 are isom.

Remark: Let  $M$  be a disk



The overhand knot is of course knotted



Here neither curve is knotted but they are linked

We can extend thm 2 to the case of a finite #  $\alpha_1, \dots, \alpha_n$  of p.w. disj arcs

Replace the homotopy assertion by  
 $\pi_1(M \times i - \bigcup_{j=1}^n \alpha_j(i)) \rightarrow \pi_1(M \times I - \bigcup_{j=1}^n \alpha_j(I))$   
 are isom for  $i=0, 1$  and the theorem again holds.

Theorem 3: Let  $\lambda: S^1 \rightarrow M$  be a regular embedding. Let  $f: S^1 \times I \rightarrow M \times I$  be a regular embedding. If  $\lambda$  is not homotopic to zero then there exists a homeomorphism  $h: M \times I$  onto  $M \times I$  such that  $h|_{M \times 0 \cup (\partial M) \times I} = \text{id}$  and  $h f(s, t) = (\lambda(s), t)$

If  $\lambda$  is homotopic to zero then  $h$  exists if + only if  $f|_{S^1 \times I}$  is unknotted where  $s \in S^1$

Theorem 4: Let  $\alpha: I \rightarrow M$  be a regular embedding. Let  $f: I \times I \rightarrow M \times I$  be a regular embedding such that

$$f|_{I \times 0} = \alpha \times 0$$

$$f(i, t) = (\alpha(i), t) \text{ for } i = 0, 1$$

$f|_{I \times 1}$  is a regular embedding in  $M \times 1$

Then  $\exists h: M \times I \rightarrow M \times I$   $h|_{M \times 0 \cup \partial M \times I} = \text{id}$  and  $h f(s, t) = (\alpha(s), t)$

The above theorems are essentially corollaries of the following theorem or its proof.

Theorem 0: Let  $M$  be a compact connected 2-manif, not the projective plane. Let  $B$  be a compact, connected "Poincaré" 3-manif (see below). Let  $h: M \times 0 \cup \partial M \times I \rightarrow \partial B$  be an embedding such that

(1)  $M_1 = \partial B - (h(M \cdot 0) \cup (\partial M) \times [0, 1])$  is a non-vacuous connected 2-manifold.

(2) If  $M_0 = h(M \times 0)$  then for  $i=0,1$  the natural homomorphisms  $\pi_1(M_i) \rightarrow \pi_1(B)$  are isoms.

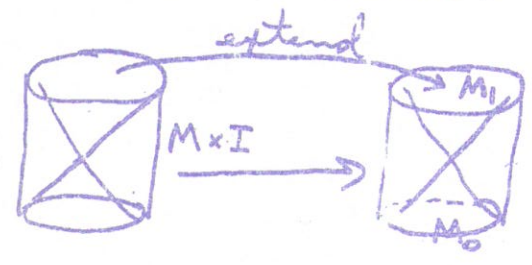
Then  $h$  can be extended to a homeom of  $M \times I$  onto  $B$ .

Def: A 3-manif  $B$  is a Poincaré manifold if every compact contract submanif bounded by a 2-sphere is a 3-cell.

example:  $M \times I$  is a Poincaré manifold for any compact 2-manif  $M$ .

Theorem 0 was first proved by J. Stallings. We sketch another proof (by E.M. Brown) because Thms 3 & 4 are corollaries of this proof.

Proof (Thm 0): Consider first the case where  $M$  is a disk. Then so is  $M_1$ .



so we extend  $h$  radially over  $M \times I$  to  $M_1$ . Now  $B$  is bounded by a

2-sphere, compact + contractible. Thus  $B$  is a 3-cell because it is a Poincaré manifold. So we can extend  $h$  over  $M \times I$  to  $B$  radially.

We reduce to this case by induction.

We know the compact conn. 2-manifolds.  
For example

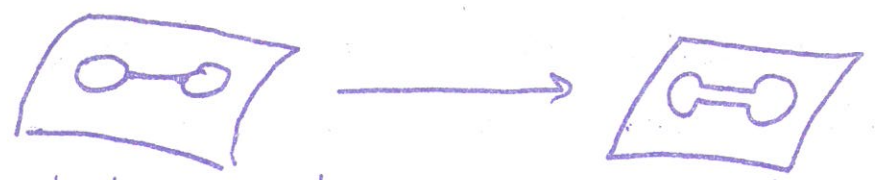


Let  $\lambda$  be a simple separating loop on  $M$ ,  $\lambda \neq 0$ . Choose an annulus  $A \subset B$  so that one end of  $A$  is  $h(\lambda \times 0)$  the other end is in  $\text{int } M$ . Extend  $h$  over  $\lambda \times I$  to  $A$  any way you please. Cut  $M$  apart along  $\lambda$  and cut  $B$  apart along  $A$ .  $M$  breaks into  $N, P$ ,  $B$  into  $C, D$ . Check hypothesis of theorem for  $N$ ,  $h|N \times 0 \cup (\partial N) \times I$  and  $C$  and for  $P$ ,  $h|P \times 0 \cup \partial P \times I$  and  $D$ . This is how one proves Thm 3 for  $\lambda$  sep loop not homotopic to zero.

Now if  $\lambda$  is chosen judiciously, and  $M$  has at least 2 (handles + cross caps) then each of  $N$  and  $P$  will have fewer handles + cross caps than  $M$ . Thus we reduce to the case where  $M$  has at most one handle or one cross-cap.

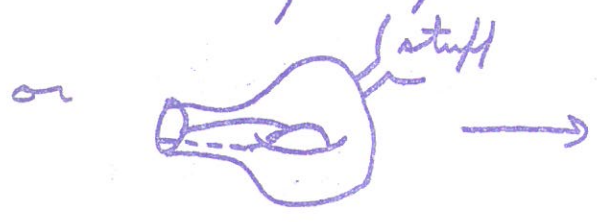
Next suppose  $\partial M \neq \emptyset$ . Let  $\alpha$  be a reg. emb. arc in  $M$ . We do the same trick as before using  $\alpha$  and a disk in  $B$ .

e.g.



$\alpha$  joins pts of dist. bdy comps

one fewer bdy comps



disk of stuff

one fewer handle



Möbius

disk of stuff  
one fewer crosscap

Thus we reduce to the following cases

- 1)  $\partial M \neq \emptyset$ , connected + no handles or crosscaps
- 2)  $\partial M = \emptyset$  at most one handle or one crosscap.

All other cases reduce to 1)

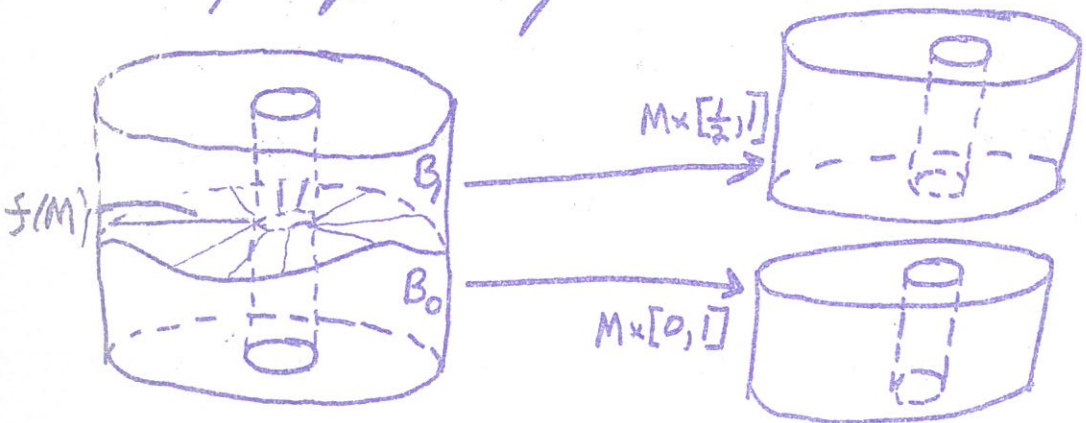
Now case 1)  $M = \text{disk done}$

2)  $M = S^2, P^2, S^1 \times S^1$

$P^2$  is out, we use special methods in case  $S^2, S^1 \times S^1$

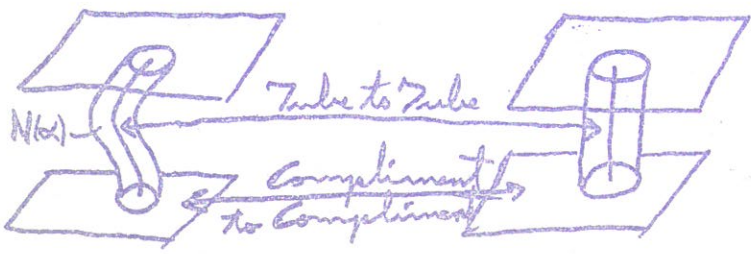
Pf of Theorem 1

If  $f(M)$  separates  $M \times 0$  from  $M \times 1$  and the closures of the complementary domains are  $B_0$  and  $B_1$ , then the alg hyps of the thm are satisfied for  $B_0, B_1$  (This involves cutting type arguments as above.)



Then  $B_0, B_1 \cong M \times I$  so map as above + fit together.

Pf of Theorem 2: Bore out a tube around  $\alpha$ . What's left is a def retract of  $M \times I - \alpha(I)$  So the alg hyps of thm 0 are satisfied for  $M$ -disk around  $p$ ,  $M \times I - N(\alpha)$  and any nice map  $h$  we construct.



Pf Thm 3 For case  $\lambda \neq 0$ ,  $\lambda$  a separating curve is included in the pf. of thm 0. The case  $\lambda$  a non-sep curve can be reduced to the above (essent.)

Pf Thm 4 Included in pf. of thm 0.

Corollary to thm 2: Let  $M = S^2$   $\alpha$  an arc in  $S^2 \times I$ . Let  $T$  be a tube nbhd of  $\alpha$ ,  $T'$  the cl. of its compliment,  $A = T \cap T'$  ( $A$  is an annulus) Use Van Kampen's thm to compute  $1 = \pi_1(S^2 \times I) = \pi_1(T \cup T') = \pi_1(T) *_{\pi_1(A)} \pi_1(T') = \frac{\pi_1(T')}{\pi_1(A)}$  Thus any loop in

$T'$  can be deformed into  $A$  and hence into  $\partial T'$  But  $\partial T' = S^2$

$$\therefore \pi_1(T') = 1$$

$$\pi_1(S^2 \times I - \alpha(I)) = \pi_1(T') = 1 = \pi_1(R^3) = \pi_1(S^2 \times I - \alpha(I))$$

By thm 2  $\alpha$  is unknotted, so you cannot knot an arc in  $S^2 \times I$ .



# Periodicities in Homotopy Groups of Spheres

by M. G. Barratt

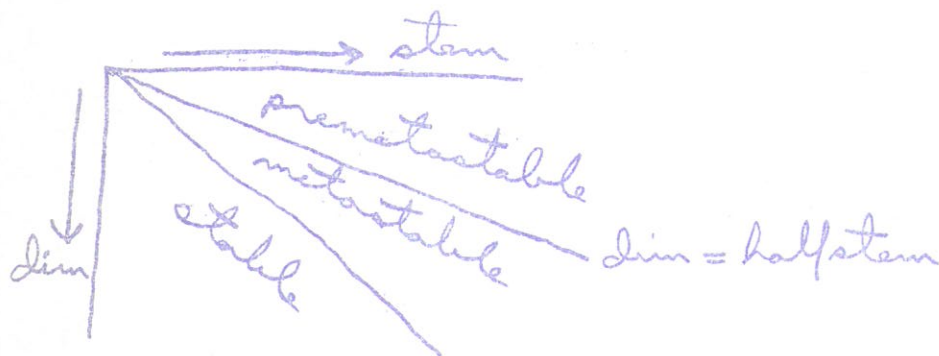
Spring 1964

Some of this research was done jointly with M. E. Mahowald.

Theorem:  $\pi_{4r}(BO(2r+1)) \longrightarrow \pi_{4r}(BO) = \mathbb{Z}$   
is onto when  $r > 4$

Cor: If  $n \geq 13$ ,  $q \leq 2n-3$  then  
 $\pi_q(BO(n)) \approx \pi_q(BO) \oplus \pi_q(V_{2n,n})$

We divide the unstable homotopy groups of spheres into two halves, the "premetastable" and the "metastable" as shown.



The transitions are roughly at  $\pi_{3n-2}(S^n)$   
(note the Whitehead product  $[[\iota_n, \iota_n], \iota_n] \in \pi_{3n-2}(S^n)$ ) and  
at  $\pi_{2n-1}(S^n)$  (note  $[\iota_n, \iota_n] \in \pi_{2n-1}(S^n)$ )

Thus the three ranges correspond to the complexity of Whitehead products.

Hope: With a reasonable number of exceptions we have  $\pi_{g+n}(S^n) \approx \pi_g^{\$} + J\pi_g(V_{2n,n})$  in the metastable range.

Experiment shows this is true, e.g. in the last 14 groups in stems  $\equiv 4 \pmod{8}$ .

Note that  $\pi_g^{\$} = \varinjlim \{ \pi_{g+n}(S^n) \xrightarrow{E} \pi_{g+n+1}(S^{n+1}) \}$  is filtered by the sphere of origin of its elements.

General Philosophy:

- (1) Stable elements usually arise from the premetastable range rather than the metastable.
- (2) Every stable element should generate a periodic family (elements defined by formula from previous members of the family).

Theorem:  $\forall k \geq 0$  (with some exceptions for  $k \leq 1$ ) there are stable periodic families as indicated in the following table.

<u>Stem</u>	<u>Filtration</u>	<u>Order</u>	<u>Name</u>
$8k+1$	3	2	$\mu[k]$
$8k+3$	$\left\{ \begin{array}{l} 2 \\ 3 \\ 5 \end{array} \right.$	2	$\eta \eta \mu[k]$
		4	$\bar{\mu}[k]$
		8	$\bar{J}[k]$
$8k-1$	$\left\{ \begin{array}{l} 5 \\ 6 \\ 7 \\ 9 \end{array} \right.$	2	$a[k]$
		4	$b[k]$
		8	$c[k]$
		16	$d[k]$

Also  $e_{r,R}$  of order  $2^{r+3}$  and stem  $\equiv -1 \pmod{8}$

$$e_{1,R} = d[k]$$

$$e_{r,R} \in \{ e_{r-1,R}, 2e_{r-1,R}, 2^{r+1} \}$$

Constructions:

$$\left[ \begin{array}{l} \mu[0] = \text{elt of H.I. 1 on } S^2 \\ \mu[k] \in \{ \mu[r], 2\iota, a[R-r] \} \end{array} \right.$$

$$\left[ \begin{array}{l} a[1] \text{ known as } \tau'' \text{ in } \tau \text{ stem } \in \{ \nu, 8\iota, \nu \} \\ a[k] \in \{ a[r], 2\iota, a[k-r] \} \end{array} \right.$$

$$\left[ \begin{array}{l} b[1] \text{ known as } \tau' \text{ in } \tau \text{ stem} \\ b[k] \in \{ b[r], 4\iota, b[k-r] \} \end{array} \right.$$

$$\left[ \begin{array}{l} c[1] \text{ known as } \tau \\ c[k] \in \{ c[r], 8\iota, c[k-r] \} \end{array} \right.$$

$d[1]$  known as  $\sigma$ , has odd H.I. on  $S^8$   
 $d[k] \in \{d[r], 16i, d[k-r]\}$

$\bar{\mu}[k] \in \{\eta, 2i, \mu[k]\}$  ( $\eta$  is the element of H.I. one in the 1-stem, previously referred to as  $\mu[0]$ )

$f[k] \in \{f[r], d[k-r-1], 16i\}$   
 $f[1] = \nu$  has odd H.I. on  $S^4$

Definition of the complex and real Adams e-invariants:  $e_C, e_R, \text{ and } e'_R$

Let  $\phi_r = \text{gen of } \pi_{2r}(BU)$  and  
 $w_r = \text{gen of } \pi_{4r}(BO)$

If  $\theta$  in odd stem (say in  $(2s-1)$ -stem) is of order  $k$ , define  $e_C(\theta)$  by

$$\{\phi_{r+s}, \theta, k\} = \phi_{r+s} \cdot k e_C(\theta) \pmod{k \cdot \pi_{2r+2s}(BU)}$$

If  $\theta$  in  $(4t-1)$ -stem is of order  $k$ , define  $e_R(\theta)$  by  $\{w_{2r}, \theta, k\} = w_{2r+t} \cdot k e_R(\theta) \pmod{k}$

and define  $e'_R(\theta)$  by

$$\{w_{2r+1}, \theta, k\} = w_{2r+t+1} \cdot k e'_R(\theta) \pmod{k}$$

$$BO \rightarrow BU \rightarrow BO$$

$$w_{2r} \rightarrow \phi_{4r} \rightarrow 2w_{2r}$$

$$w_{2r+1} \rightarrow \phi_{4r+2} \rightarrow 2w_{2r+1}$$

For elements in stems  $\equiv -1 \pmod{8}$ ,

$$e_R = \frac{1}{2} e_C \text{ and } e'_R = 2 e_C$$

Prove: (1)  $e_C(\eta) = \frac{1}{2}$  by hand

$$(a) \quad e_R \{ \alpha, k_L, \beta \} = k e_R(\alpha) e_R(\beta)$$

stem  $\alpha \equiv -1 \pmod{8}$

$$e_R(\nu) = \text{odd}/8 \text{ because } 2\nu \in \{ \eta, 2L, \eta \}$$

$$e_R(\sigma) = \text{odd}/16 \text{ because } 8\sigma \in \{ \nu, 8L, \nu \}$$

$$4e_{r,k} \in \{ 2e_{r-1,k}, 2^{r+1}L, 2e_{r-1,k} \}$$

$$\therefore e_R(4e_{r,k}) = 2^{r+3} [e_R(e_{r-1,k})]^2 = \text{odd}/2^{r+1}$$

$$\therefore e_{r,k} \text{ has order at least } 2^{r+3}$$

On the other hand, a classical Toda bracket argument shows that  $4e_{r,k}$  has order at most  $2^{r+1}$ .

Note:  $e_{r-1,k} \circ e_{r-1,k} = 0$

yet  $\{ e_{r-1,k}, e_{r-1,k}, 2^{r+2}L \}$  may not be same as  $e_{r,k}$ .

Note: It is plausible that  $\circlearrowleft \rightarrow \{ 0, e_{r,1}, 2^{r+3} \}$  is a periodicity among stable classes. This is connected with periodicity in the Adams spectral sequence.

# Cobordism Classes of Squares of Orientable Manifolds

by P.G. Anderson

25 May 1964

a reference: C.T.C. Wall, Determination of the cobordism ring, Ann of Math, 1960

We shall prove the following conjecture of Milnor's:

Thm 1: If  $M^n$  is an orientable manifold, then there exists a spinor manifold  $N^{2n}$  such that  $M^n \times M^n$  is non-orientably cobordant to  $N^{2n}$ .

$M^n$  is orientable if  $Sg^1: H^{n-1}(M) \rightarrow H^n(M)$  is the 0-homo.

$M^n$  is spinor if  $M$  is orientable and  $Sg^2: H^{n-2}(M) \rightarrow H^n(M)$  is the 0-homo.

We shall use the cobordism exact  $\Delta$  of Wall.

$$\begin{array}{ccc} \Omega & \xrightarrow{\alpha} & \Omega \\ \uparrow \delta & & \downarrow \Gamma \\ & \mathcal{W} & \end{array}$$

$\Omega$  is the oriented cobordism ring  
 $\mathcal{W}$  is contained in  $\Omega$ , the unoriented cobordism ring. Explicitly

$$\mathcal{W} = \{ [M] \in \Omega \mid w_1(M) \text{ is induced from a map } M \xrightarrow{f} S^1 \}$$

$\alpha: \Omega \rightarrow \Omega$  is the doubling homo

$\Gamma: \Omega \rightarrow \mathcal{W}$  drops orientation

$\partial: W \rightarrow \Omega$  is a homo of degree  $-1$  as follows:

Let  $f: M \rightarrow S^1$  induce  $W_1(M)$ , where  $f$  is  $t$ -regular at some  $* \in S^1$ . Then  $\partial[M] = \{f^{-1}(*)\}$

Let  $\lambda$  be a line bundle over a manifold  $X$ . Let  $P(\lambda \oplus m)$  denote the space of lines thru the origin of each fibre in the  $m+1$ -plane bundle  $\lambda \oplus m$ .

We then have a fibration  $P^m \xrightarrow{i} P(\lambda \oplus m) \xrightarrow{\pi} X$

Lemma 2A:  $W(P(\lambda \oplus m)) = \pi^*(W(X)) \cdot (1 + \alpha)^m (1 + \alpha + \pi^*(W_1(\lambda)))$

where  $i^*(\alpha) \neq 0 \in H^1(P^m)$   
( $i^*(\alpha)$  is the cohomology gen. of the fibre)

Lemma 2B:  $H^*(P(\lambda \oplus m)) \approx \frac{H^*(X) \otimes Z_2(\alpha)}{1 \otimes \alpha^{m+1}} \approx W_1(\lambda) \otimes \alpha^m$

Similar results hold for the case where  $\lambda$  is a complex line bundle over simply connected  $X$ .

Generators for  $W$

Let  $\lambda$  be the canonical line bundle over  $P^n$  and denote  $P(\lambda \oplus m)$  by  $M(m, n)$ .

$$H^*(M(m, n)) = \mathbb{Z}_2[\alpha, \beta] / \alpha^{m+1} = \alpha^m \beta, \beta^{n+1} = 0$$

$$W(M(m, n)) = (1 + \alpha)^m (1 + \beta)^{n+1} (1 + \alpha + \beta)$$

where  $\alpha$  and  $\beta$  are 1-dim cohom classes.

Let  $\xi$  be a line bundle over  $M(m, n)$   
 $\Rightarrow w_1(\xi) = \alpha.$

$$\text{Denote } P(\xi \oplus \tau) = M(r, m, n)$$

Note:  $M(m, n)$  is or. iff  $m$  odd &  $n$  even  
 and  $[M(r, m, 1)] \in W$  iff  $r$  odd &  $m$  even

$W$  is a polyn algy. over  $\mathbb{Z}_2$  with one generator  $Y_n$  in each dim  $n$ , where  $n \neq 2^i - 1, n \neq 2$

If  $n = 2^k - 1$  with  $k \neq 2^i$ , write  $k = 2^r(2s+1)$  ( $s \neq 0$ )

$$\text{Then } Y_n = [M(2^{r+2s-1}, 2^{r+1})]$$

$$Y_{n+1} = [M(2^{r+2s-1}, 2^{r+1}, 1)]$$

$$Y_{2^i+1} = [CP^{2^i}]$$

Generators for  $\tau\mathcal{R} \subset W$

Taking all  $m$ 's odd and  $n$ 's even the gens of  $\tau\mathcal{R}$  are contained in the collection:

$$\left\{ [M(m, n)]; \tau \partial \left[ \prod_{j=1}^s M(m_j, n_j, 1) \right], (s > 1); [CP^{2^i}] \right\}$$



We seek to show that the squares of these generators are represented by spinor manifolds.

Lemma 3: (Wall + Rohlin)

$$w_{a_{i_1}} \cdots w_{a_{i_r}} [M \times M] = w_{i_1} \cdots w_{i_r} [M]$$

and if  $w_{j_1} \cdots w_{j_r}$  not of form  $w_{a_{i_1}} \cdots w_{a_{i_r}}$  then  $w_{j_1} \cdots w_{j_r} [M \times M] = 0$

Using the fact that manifolds with the S-W numbers are cobordant, one then gets by showing that:

$$\begin{aligned} P^n \times P^n &\sim CP^n \\ \boxed{CP^n \times CP^n &\sim QP^n} \end{aligned}$$

Let  $\lambda$  be a  $CP$  line bundle over  $CP^n$  such that  $w_2(\lambda) \neq 0$

Denote  $P(\lambda \oplus m)$  by  $CM(m, n)$

We have an iso of  $\mathbb{Z}_2$  algebras:

$$H^*(CM(m, n)) \xleftarrow{\cong} H^*(M(m, n))$$

$$H^{2r} \cong H^r$$

$$D(W(M(m, n))) = W(CM(m, n))$$

$$D(w_j(M)) = w_{2j}(CM)$$

Hence by Lemma 3,

$$\boxed{M(m, n) \times M(m, n) \sim CM(m, n)}$$

We now look at the manifold

$$M = \prod_{j=1}^s M(m_j, n_j, 1)$$

(recall  $m$ 's odd and  $n$ 's even)

Let  $M_j = M(m_j, n_j, 1)$  Let  $P_j: M_j \rightarrow S^1$  where  $P_j$  is the composition of projections

$$M(m_j, n_j, 1) \rightarrow M(n_j, 1) \rightarrow S^1$$

Actually  $P_j$  is the projection of a fibration:

$$M(m_j, n_j) \rightarrow M(m_j, n_j, 1)$$

Note:  $P_j$  induces  $w_1(M(m_j, n_j, 1))$

$$\begin{array}{c} \downarrow P_j \\ S^1 \end{array}$$

Let  $P: \prod_{j=1}^s M_j \rightarrow S^1$  be

$$P(x_1, \dots, x_s) = P_1(x_1) \dots P_s(x_s), \quad S^1 = \{z \in \mathbb{C} \mid |z|=1\}$$

$P$  induces  $w_1(\prod_{j=1}^s M_j)$

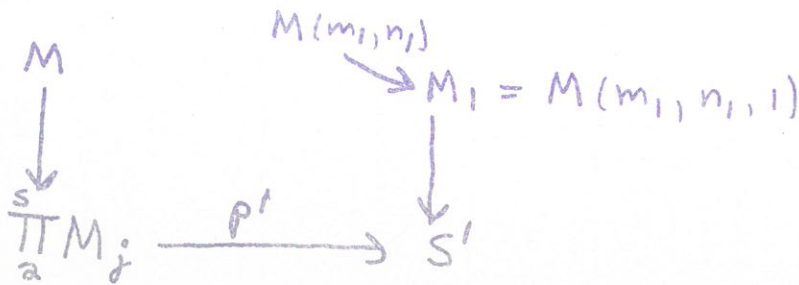
$$M = \{(x_1, \dots, x_s) \in \prod M_j \mid P(x_1, \dots, x_s) = 1\}$$

If we define  $P'_1: M_1 \rightarrow S^1$  by  $P'_1(x) = (P_1(x))^{-1}$

and  $P': \prod_2^s M_j \rightarrow S^1$  by  $P'(x_2, \dots, x_s) = P_2(x_2) \dots P_s(x_s)$

then  $M = \{(x, y) \in M_1 \times \prod_2^s M_j \mid P'_1(x) = P'(y)\}$

Thus  $M$  is an induced bundle as shown:



In fact we can build the following by taking induced bundles,

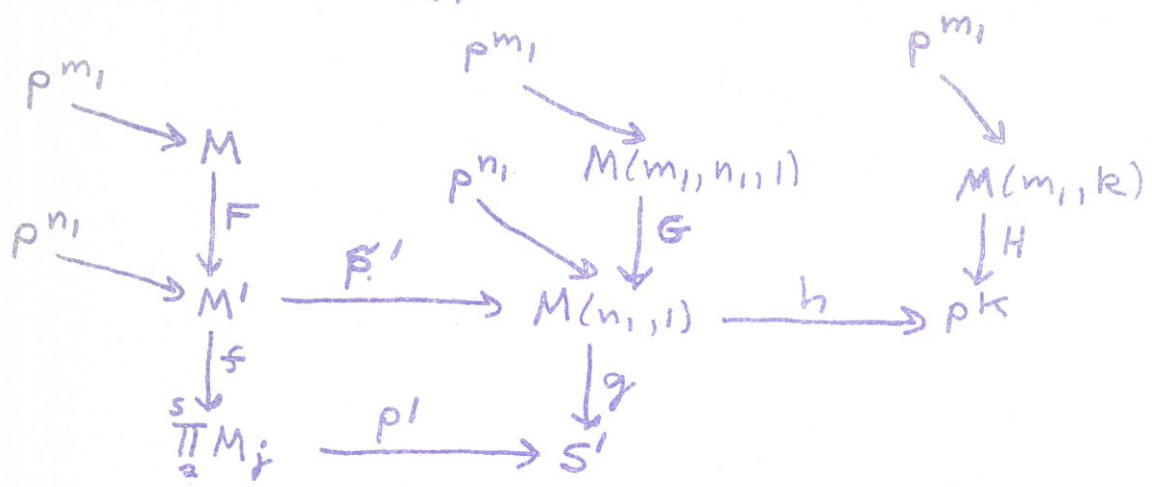


Diagram 1

$$(M', f, \prod_a^s M_j) = p'^* (M(n_1, 1), g, S')$$

$$M(m_1, n_1, 1), G, M(n_1, 1) = h^* (M(m_1, k), H, P^K)$$

for suff. large  $k$

$$\therefore (M, F, M') = \tilde{p}'^* h^* (M(m_1, k), H, P^K)$$

$$\text{and } (M, f \circ F, \prod_a^s M_j) = p'^* (M(m_1, n_1, 1), g \circ G, S')$$

Using Lemma 2 we can show the following.

$$H^*(M') = \bigotimes_a^s H^*(M_j) \otimes \mathbb{Z}_2[B_i] / B_i^{n_i+1}$$

$$= B_i^{n_i} (\gamma_2 + \dots + \gamma_s)$$

$$H^*(M_j) = \mathbb{Z}_2[\alpha_j, \beta_j, \gamma_j] / \alpha_j^{m_j+1}$$

$$= \alpha_j^{m_j} \beta_j, \beta_j^{n_j+1} = \beta_j^{n_j} \gamma_j, \gamma_j^2 = 0$$

$$H^*(M) = H^*(M') \otimes \mathbb{Z}_2[\alpha_1] / \alpha_1^{m_1+1} = \alpha_1^{m_1} \beta$$

We define  $CM$ , the complex analogue of  $M$ , as follows. Define  $CM(m, n, 1)$  in the obvious way and constr. a diagram similar to Diag. 1 with the modification that  $P'$  is replaced by a map  $\prod_2^s CM(m_j, n_j, 1) \rightarrow CP^L$  which induces  $\gamma_2 + \dots + \gamma_s$  and otherwise complexify everything in sight.

Then the cohomology ring  $H^*(CM)$  and SW class can be computed analogously to those for  $M$ . A detailed analysis shows that  $M \times M$  and  $CM$  have the same Stiefel-Whitney numbers. Thus:

$$M \times M \sim CM \text{ for } M = \Gamma \partial \left( \prod_{j=1}^s M(m_j, n_j, 1) \right)$$

The three boxed results show that the squares of generators of  $\Gamma \Omega$  are represented by spinor manifolds. Hence Theorem 1.

Note Milnor also conjectured that every spinor manifold is cobordant to the square of an orientable one. F. P. Peterson has found a counter example in dimension 24.

# Coalgebras, Coresolutions, and the Computer

## Computation of $\text{Ext}_A^{s,t}(\mathbb{Z}_2, \mathbb{Z}_2)$

by Professor A. Lindervicius

May 1964

### §1 Graded coalgebras

Let  $k$  be a field

Def: A graded coalgebra  $C$  over  $k$  is

1) a graded vector space over  $k$ :

$$C = \{C_n\}_{n \in \mathbb{Z}}$$

2) with linear maps of degree 0

$$\psi: C \longrightarrow C \otimes_k C$$

$$\varepsilon: C \longrightarrow k, \text{ where } k_n = \begin{cases} k & \text{if } n=0 \\ 0 & \text{if } n \neq 0 \end{cases}$$

such that

3)

$$\begin{array}{ccccc} & & C \otimes C & \xrightarrow{\psi \otimes 1} & \\ & \psi \nearrow & & & \\ C & & & & C \otimes C \otimes C \\ & \searrow \psi & & & \\ & & C \otimes C & \xrightarrow{1 \otimes \psi} & \end{array}$$

and

$$\begin{array}{ccccc} & & C \otimes C & \xrightarrow{1 \otimes \varepsilon} & C \otimes_k k \\ & \psi \nearrow & & & \parallel \\ C & \xrightarrow{\quad 1 \quad} & C & & C \\ & \searrow \psi & & & \parallel \\ & & C \otimes C & \xrightarrow{\varepsilon \otimes 1} & k \otimes_k C \end{array}$$

are commutative diagrams.

Note: We shall assume that our coalgebras are connected, that is  $\epsilon$  is an isomorphism of  $C_0$  onto  $k$ . Furthermore, we assume that either  $C_n = 0$  for all  $n < 0$  or for all  $n > 0$

Def: A (left) comodule  $M$  over the coalgebra  $C$  is a graded vector space over  $k$  with a  $k$ -linear map

$$\mu: M \longrightarrow C \otimes_k M$$

of degree zero, making the following diagrams commutative:

$$\begin{array}{ccc} M & \xrightarrow{\mu} & C \otimes_k M \\ \downarrow \mu & & \downarrow \psi \otimes 1 \\ C \otimes M & \xrightarrow{1 \otimes \mu} & C \otimes C \otimes M \end{array}$$

$$\begin{array}{ccc} M & \xrightarrow{\mu} & C \otimes M \\ & \searrow 1 & \downarrow \epsilon \otimes 1 \\ & & M \end{array}$$

Examples: ①  $k$  is a <sup>left</sup>  $C$ -module via

$$\mu: k \longrightarrow k \otimes_k k = C_0 \otimes_k k$$

defined by  $\mu(1) = 1 \otimes 1$

②  $\forall V$  is a graded vector space over  $k$ , we make  $C \otimes_k V$  into a left  $C$ -module by the mapping

$$\psi \otimes 1: C \otimes V \longrightarrow C \otimes C \otimes V$$

We shall call such a comodule an injective C-comodule. The name will be justified by Proposition 1.

Def: If  $(M, \mu)$  and  $(N, \nu)$  are left C-comodules then a  $k$ -linear map  $f: M \rightarrow N$  is said to be a C-comodule map of degree  $g$  when  $F_n: M_n \rightarrow N_{n+g}$  and

$$\begin{array}{ccc} M & \xrightarrow{\mu} & C \otimes M \\ \downarrow f & & \downarrow 1 \otimes f \\ N & \xrightarrow{\nu} & C \otimes N \end{array} \text{ is commutative.}$$

Proposition 1: If  $(M, \mu)$  is a C-comodule  $C \otimes V$  an injective C-comodule, then there is a 1-1 correspondence

$$\text{Hom}_C(M, C \otimes V) \xrightarrow{\lambda} \text{Hom}_k(M, V)$$

given by  $\lambda(f) = (\varepsilon \otimes 1) \cdot f$

Proof: Let  $g: M \rightarrow V$  be a  $k$ -linear map, let  $w(g): M \rightarrow C \otimes V$  be  $w(g) = (1 \otimes g) \mu$ .

Claim:  $w(g)$  is a C-map and  $\lambda(w(g)) = g$ . This follows from the commutativity of the following diagrams.

$$\begin{array}{ccccc}
 M & \xrightarrow{\mu} & C \otimes M & \xrightarrow{1 \otimes g} & C \otimes V \\
 \downarrow \mu & & \downarrow \psi \otimes 1 & & \downarrow \psi \otimes 1 \\
 C \otimes M & \longrightarrow & C \otimes C \otimes M & \xrightarrow{1 \otimes 1 \otimes g} & C \otimes C \otimes V
 \end{array}$$

$$\begin{array}{ccccc}
 M & \xrightarrow{\mu} & C \otimes M & \xrightarrow{1 \otimes g} & C \otimes V \\
 \searrow 1 & & \downarrow \epsilon \otimes 1 & & \downarrow \epsilon \otimes 1 \\
 & & M & \xrightarrow{g} & V
 \end{array}$$

Conversely, if  $f: M \rightarrow C \otimes V$  is a  $C$ -comodule map, then  $w(\lambda(f)) = f$ , which is the commutative diagram

$$\begin{array}{ccccc}
 M & \xrightarrow{f} & C \otimes V & & \\
 \downarrow \mu & & \downarrow \psi \otimes 1 & \searrow 1 & \\
 C \otimes M & \xrightarrow{1 \otimes f} & C \otimes C \otimes V & \xrightarrow{1 \otimes \epsilon} & C \otimes V
 \end{array}$$

If  $M$  is a  $C$ -comodule, then an injective  $C$ -coresolution  $\mathcal{I}$  is an exact sequence

$$0 \rightarrow M \xrightarrow{\eta} C \otimes V_0 \xrightarrow{d_0} C \otimes V_1 \rightarrow \dots \rightarrow C \otimes V_r \xrightarrow{d_r} \dots$$

of  $C$ -maps.

If  $N$  is any  $C$ -comodule, then  $\text{Hom}_C(N, \mathcal{I})$  is a bigraded chain complex,



$\text{Hom}_C(N, \mathcal{I})_{s,t} = \text{Hom}_C(N, C \otimes V_s)$  maps of degree  $t$ .

We define  $\text{Ext}_C^{s,t}(N, M) = H^s(\text{Hom}_C(N, \mathcal{I}))_t$

Note: If  $C, M, N$  are finite dimensional in each grading, then the graded dual  $C^*$  is an algebra,  $M^*, N^*$  are modules over  $C^*$ , and

$$\text{Ext}_C^{s,t}(N, M) \cong \text{Ext}_{C^*}^{s,t}(M^*, N^*)$$

We shall use this idea to compute  $\text{Ext}_A^{s,t}(\mathbb{Z}_2, \mathbb{Z}_2)$  where  $A$  is the Steenrod algebra over  $\mathbb{Z}_2$ . Henceforth

$C = A^* = \mathbb{Z}_2[\alpha_1, \dots, \alpha_n, \dots]$  as Hopf algebra with grade  $\alpha_i = 2^i - 1$

$$\psi(\alpha_n) = \sum_{i=0}^n \alpha_{n-i}^{2^i} \otimes \alpha_i$$

### {2} Minimal injective resolution

We wish to construct an injective resolution  $\mathcal{I}$  of  $\mathbb{Z}_2$  such that all differentials in  $\text{Hom}_C(\mathbb{Z}_2, \mathcal{I})$  are zero. Since

$\text{Hom}_C(\mathbb{Z}_2, C \otimes V_g) \cong \text{Hom}_{\mathbb{Z}_2}(\mathbb{Z}_2, V_g) = V_g$   
this condition reduces to  $d_g|_{V_g} = 0$ .

Proposition: If  $A, B$  are  $C$ -comodules and  $f: A \rightarrow B$  is a  $C$ -comodule map, then there is an injective  $C$ -comodule  $C \otimes W$  and a  $C$ -map  $g: B \rightarrow C \otimes W$  such that

- 1)  $\text{Ker } g = \text{Im } f$
- 2)  $\text{Im } g = 1 \otimes W$

Proof: Let  $D = B / \text{Im } f$ , comodule structure from  $B$

$$P(D) = \text{Ker}(D \rightarrow C \otimes D \xrightarrow{p \otimes 1} \bar{C} \otimes D)$$

where  $p: C \rightarrow \bar{C}$  is the projection onto elements of positive degree.

Let  $W = P(D)$ ,  $r: D \rightarrow P(D)$  linear retraction onto subspace. If we let  $j: B \rightarrow D$  be the quotient map, then we define  $g: B \rightarrow W$  to be just the composite  $r \circ j$ . We let

$g = (1 \otimes g) \mu: B \rightarrow C \otimes W$  be the associated  $C$ -map. It is clear that  $\text{Ker } g = \text{Im } f$ , for  $\text{Ker } g = \text{Im } f$ . Thus  $g$  induces a map  $h$  from  $D$  to  $C \otimes W$ .  $\nexists \text{Ker } h = \{0\}$ , let us pick an  $a \in (\text{Ker } h)_n, a \neq 0$ , where  $n$  is the smallest integer such that  $(\text{Ker } h)_n \neq 0$ .

Then  $\alpha \in P(D)$  and  $h(\alpha) = g(\alpha)$  but  $g$  is an isomorphism of  $P(D)$  onto  $W$ , which also proves 2).

It is now clear how to construct a minimal resolution of  $\mathbb{Z}_2$  over  $C$ : we start with the inclusion  $0 \rightarrow \mathbb{Z}_2$ , apply the proposition above to get  $C \otimes V_0$  and a map  $\eta: \mathbb{Z}_2 \rightarrow C \otimes V_0$  such that  $1 \otimes V_0 \subset \text{Im } \eta$  (thus  $V_0 = \mathbb{Z}_2$ ). Suppose

$$0 \rightarrow \mathbb{Z}_2 \rightarrow C \otimes V_0 \rightarrow \dots \rightarrow C \otimes V_{g-1} \xrightarrow{d_{g-1}} C \otimes V_g$$

has been constructed and  $\text{Im } d_{g-1} \supset 1 \otimes V_g$ .

We apply the proposition with  $A = C \otimes V_{g-1}$ ,

$$B = C \otimes V_g, \quad f = d_{g-1} \text{ to get } C \otimes V_{g+1} \text{ and}$$

$$\text{a map } d_g: C \otimes V_g \rightarrow C \otimes V_{g+1}$$

such that

$$\text{Ker } d_g = \text{Im } d_{g-1}$$

$$\text{Im } d_g \supset 1 \otimes V_{g+1}$$

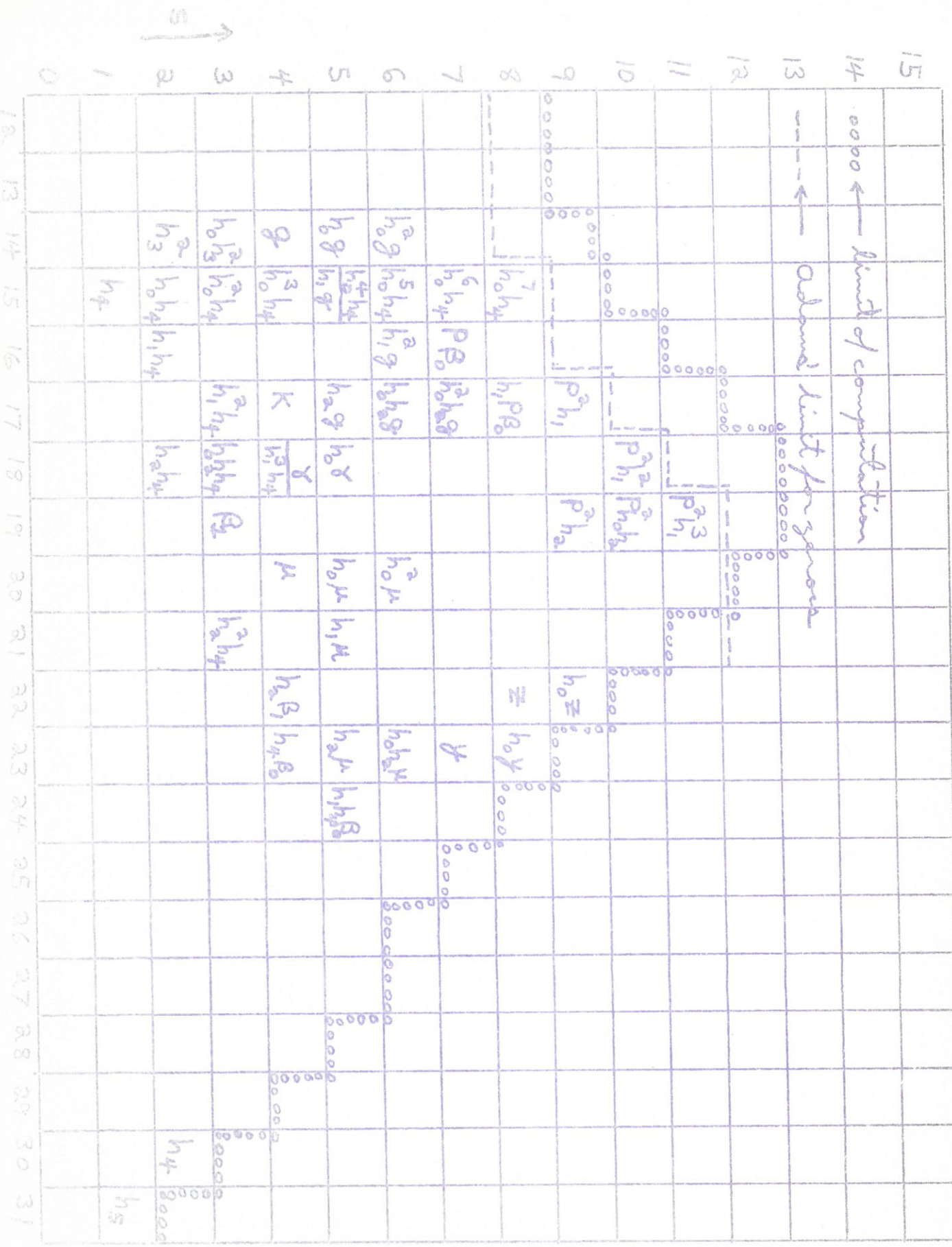
Talking to the machine

The computer used was the IBM 7094: memory of  $2^{15}$  words, each word consisting of 36 bits of information.

A basis for the dual of the mod 2 Steenrod algebra is given by the monomials in the generators  $\alpha_1, \dots, \alpha_n, \dots$ . Monomials up to grading 254 were represented as words by reserving seven fields of the words for the exponents of the generators.

A program for computing diagonals was designed and the resulting table of diagonals stored on tape. This table was used as data for a second program which computed an actual minimal coresolution of  $\mathbb{Z}_2$ .

The computation was carried out for  $\text{Ext}_A^{s,t}(\mathbb{Z}_2, \mathbb{Z}_2)$  for  $t \geq -31$  and all  $s$ , and for  $t = -3a$  and  $s \leq 5$ . The results are given in the following table (notation as in Adams' Berkeley notes - only the results in stems  $\geq 12$  are shown).



Computation of  $EXT_{\mathbb{Z}}^n(\mathbb{Z}_a, \mathbb{Z}_a)$