



# More interesting polytopes

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M.I.T.

# Root polytopes, subdivision algebras

**Karola Meszaros**

**Origin** (Postnikov & RS): Let

$$M_n = x_{12}x_{23} \cdots x_{n-1,n}.$$

Continually apply

$$x_{ij}x_{jk} \rightarrow x_{ik}(x_{ij} + x_{jk}),$$

ending with  $P_n(x_{ij})$ .

# An example

## Example.

$$\begin{aligned}x_{12}x_{23}x_{34} &\rightarrow x_{13}x_{12}x_{34} + x_{13}x_{23}x_{34} \\ &\rightarrow x_{14}x_{13}x_{12} + x_{14}x_{34}x_{12} \\ &\quad + x_{14}x_{13}x_{23} + x_{14}x_{34}x_{23} \\ &\rightarrow x_{14}x_{13}x_{12} + x_{14}x_{34}x_{12} \\ &\quad + x_{14}x_{13}x_{23} + x_{14}x_{24}x_{23} + x_{14}x_{24}x_{34} \\ &= P_3(x_{ij}).\end{aligned}$$

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**Theorem.** We have

$$P_n(1, 1, \dots, 1) = C_n = \frac{1}{n+1} \binom{2n}{n},$$

a **Catalan number**.

# Full root polytopes

$e_i$ :  $i$ th unit vector in  $\mathbb{R}^{n+1}$

$A_n^+$ : the positive roots

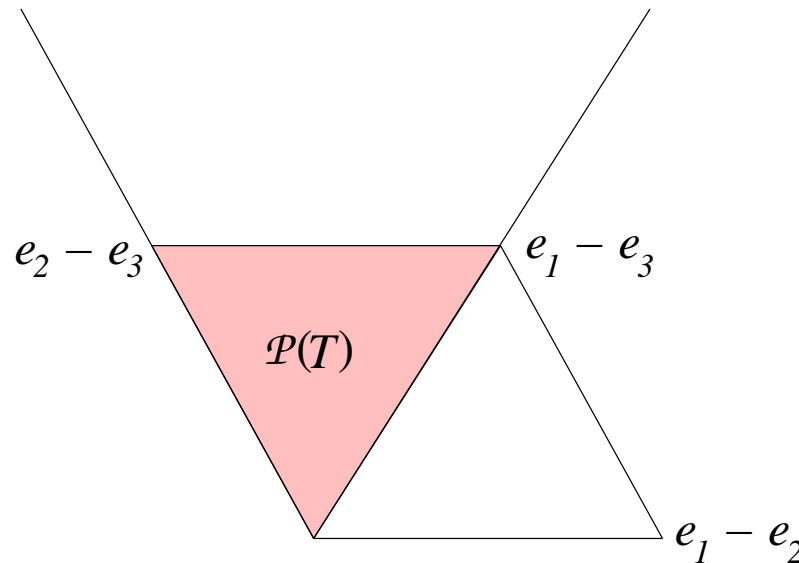
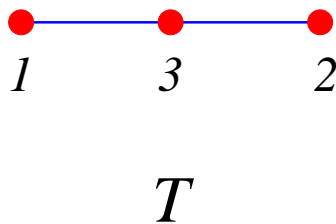
$$\{e_i - e_j : 1 \leq i < j \leq n + 1\}$$

**full root polytope**  $\mathcal{P}(A_n^+)$ : convex hull of  $A_n^+$  and the origin in  $\mathbb{R}^{n+1}$  (**Gelfand-Graev-Postnikov**)

# Root polytopes

$T$ : a tree on the vertex set  $[n + 1]$

**root polytope**  $\mathcal{P}(T)$  (of type  $A_n$ ): intersection of  $\mathcal{P}(A_n^+)$  with the cone generated by  $e_i - e_j$ , where  $ij \in E(T)$ ,  $i < j$



# Noncrossing alternating trees

A graph  $G$  on  $[n + 1]$  is **noncrossing** if  $\nexists$  vertices  $i < j < k < l$  such that  $ik \in E(G)$  and  $jl \in E(G)$ .

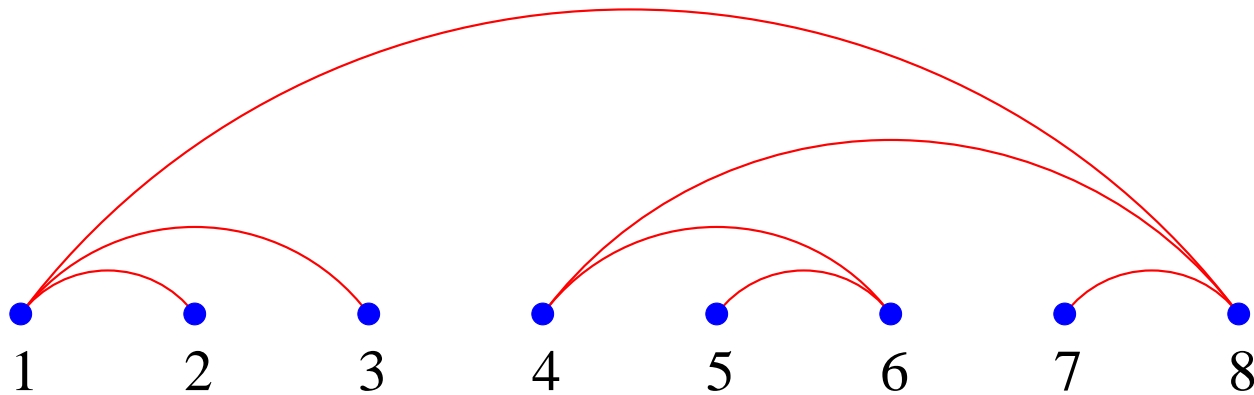
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# Some notation

$\overline{G}$ : graph with vertex set  $[n + 1]$  and edge set

$$\{ij : \exists ii_1, i_1i_2, \dots, i_kj \in E(G), i < i_1 < \dots < i_k < j\},$$

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$T$ : a noncrossing tree on  $[n + 1]$

$T_1, \dots, T_k$ : noncrossing, alternating spanning trees of  $\overline{T}$

# Volume of $\mathcal{P}(T)$

**Theorem.** *The root polytopes  $\mathcal{P}(T_1), \dots, \mathcal{P}(T_k)$  are  $n$ -simplices with disjoint interior and union  $\mathcal{P}(T)$ . Moreover,*

$$\text{vol } \mathcal{P}(T) = \frac{f_T}{n!},$$

where  $f_T$  is the number of noncrossing alternating spanning trees of  $\overline{T}$ .

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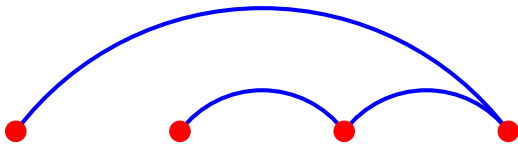
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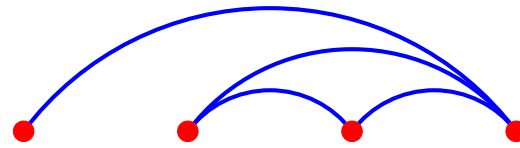
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(several generalizations)

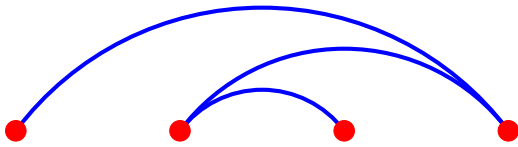
# Example



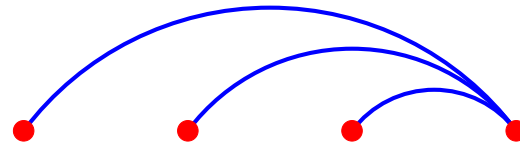
$T$



$\bar{T}$



$T_1$



$T_2$

$$\text{vol } \mathcal{P}(T) = \frac{2}{3!}$$

# Yang-Baxter algebras

## Proof of theorem:

$\mathcal{B}(A_n)$ : quasi-classical Yang-Baxter algebra or bracket algebra of type  $A$  (Anatol Kirillov). It is an associative algebra over  $\mathbb{Q}[\beta]$  ( $\beta$  a central indeterminate) generated by

$$\{x_{ij} : 1 \leq i < j \leq n + 1\},$$

with relations

$$x_{ij}x_{jk} = x_{ik}x_{ij} + x_{jk}x_{ik} + \beta x_{ik}$$

$$x_{ij}x_{kl} = x_{kl}x_{ij}, \text{ if } i, j, k, l \text{ are distinct.}$$

# Subdivision algebra

$\mathcal{S}(A_n)$ : **subdivision algebra** (Meszaros). It is  $\mathcal{B}(A_n)$  made commutative, i.e.,

$$x_{ij}x_{kl} = x_{kl}x_{ij} \text{ for } \textit{all } i, j, k, l.$$



# Reduction rule

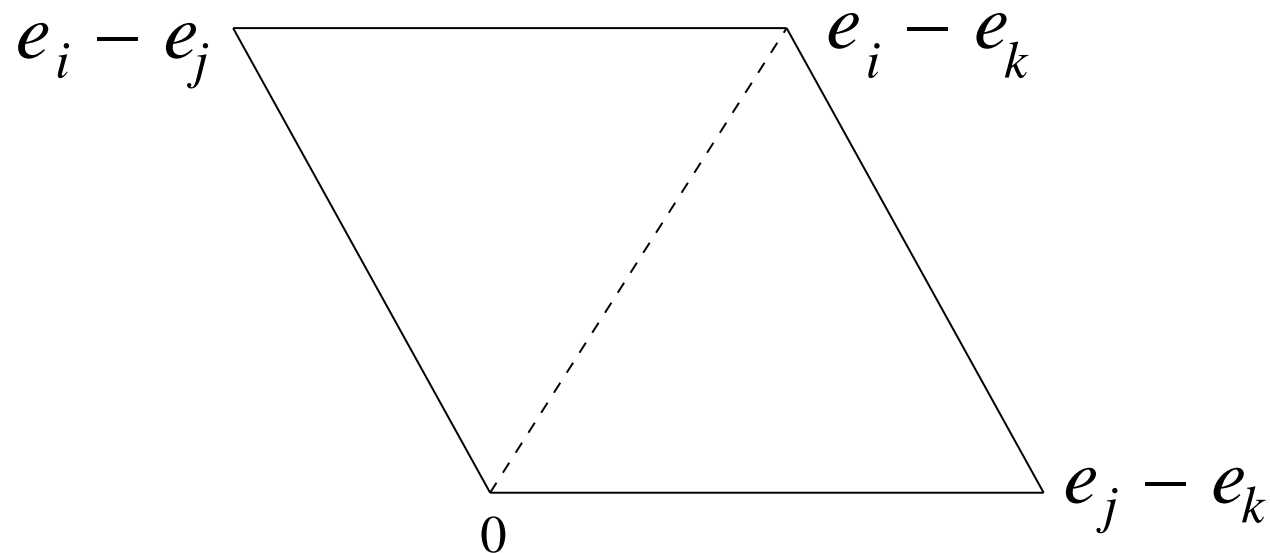
Treat the first relation as a **reduction rule**:

$$x_{ij}x_{jk} \rightarrow x_{ik}x_{ij} + x_{jk}x_{ik} + \beta x_{ik}.$$

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# Reduced forms

A **reduced form** of the monomial  $m$  in  $\mathcal{B}(A_n)$  or  $\mathcal{S}(A_n)$  is a polynomial obtained from  $m$  by applying successive reductions until no longer possible.

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For  $\mathcal{S}(A_n)$  and  $\beta = 0$ , same as reduction of Postnikov and RS.

# A reduction redux

$$\begin{aligned}x_{12}x_{23}x_{34} &\rightarrow x_{13}x_{12}x_{34} + x_{13}x_{23}x_{34} \\&\rightarrow x_{14}x_{13}x_{12} + x_{14}x_{34}x_{12} \\&\quad + x_{14}x_{13}x_{23} + x_{14}x_{34}x_{23} \\&\rightarrow x_{14}x_{13}x_{12} + x_{14}x_{34}x_{12} \\&\quad + x_{14}x_{13}x_{23} + x_{14}x_{24}x_{23} + x_{14}x_{24}x_{34} \\&= P_3(x_{ij}).\end{aligned}$$

# Reduced form of a graph monomial

$G$ : graph on vertex set  $[n + 1]$

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**Theorem.** Let  $T$  be a noncrossing tree on  $[n + 1]$  and  $P_T$  a reduced form of  $m_G$ . Then

$$P_T(x_{ij} = 1, \beta = 0) = f_T,$$

the number of noncrossing alternating spanning trees of  $\bar{T}$ .

# Relation to root polytopes

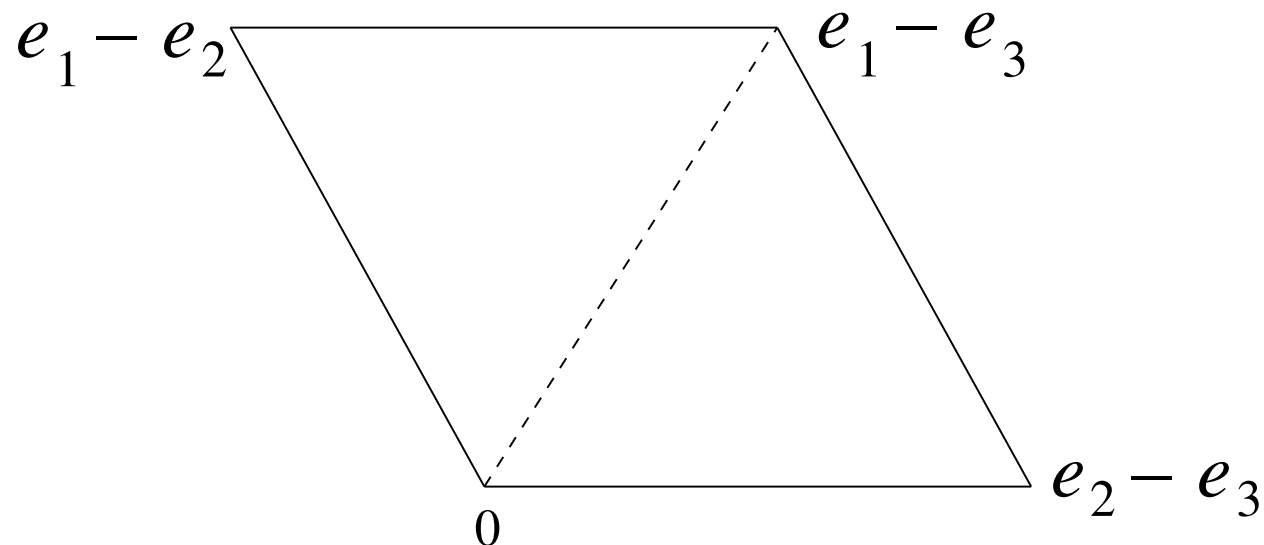
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$$x_{12}x_{23} \rightarrow x_{12}x_{13} + x_{23}x_{13}$$



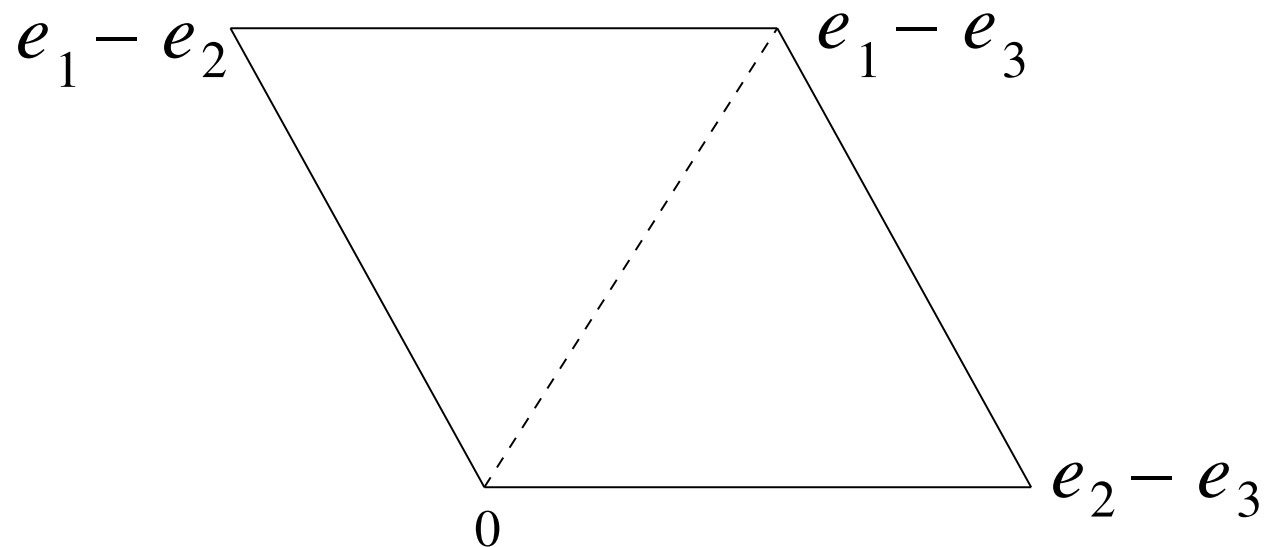
# Interior faces of $\mathcal{P}(A_n)$

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# Uniqueness

In the ring  $\mathcal{B}(A_n)$ , the reduced form of any monomial  $m$  is **unique** (up to commutations).

Proof uses noncommutative Gröbner bases.

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Many generalizations ...

# Flow polytopes

$G$  = **acyclic** graph on vertex set

$$V(G) = \{1, 2, \dots, m + 1\},$$

with edge  $i \xrightarrow{e} j$  only if  $i < j$

$$E(G) = \text{edge set of } G$$

# Flows

**flow** on  $G$ :

$$f: E(G) \rightarrow R_{\geq 0},$$

such that for  $1 < i < m + 1$ ,

$$\text{flow into } i = \text{flow out of } i$$

**size** of  $f$ : flow out of 1 (or into  $m + 1$ )

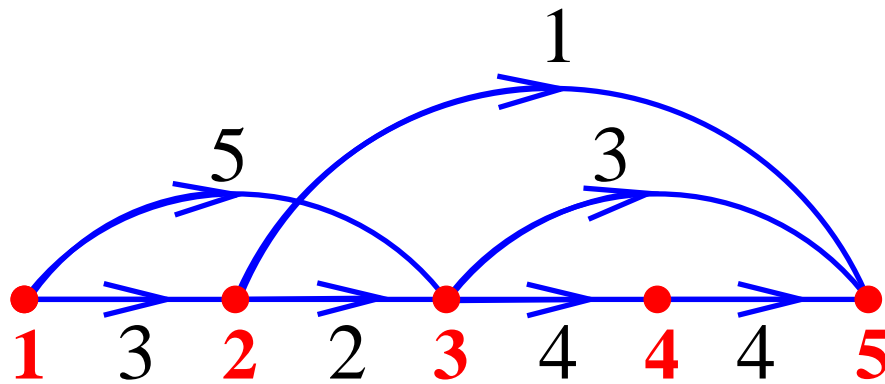


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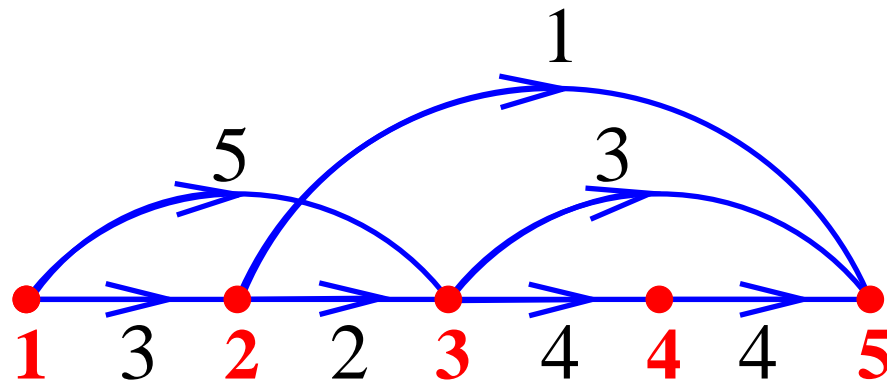
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**flow polytope**  $\mathcal{F}(G) \subset \mathbb{R}^{E(G)}$ :

{flows  $f : E(G) \rightarrow \mathbb{R}_{\geq 0}$  of size 1}

# Root polytopes vs. flow polytopes

**Note.** The root polytopes  $\mathcal{P}(T)$  of Meszaros are special cases of flow polytopes  $\mathcal{F}(G)$ . In particular,

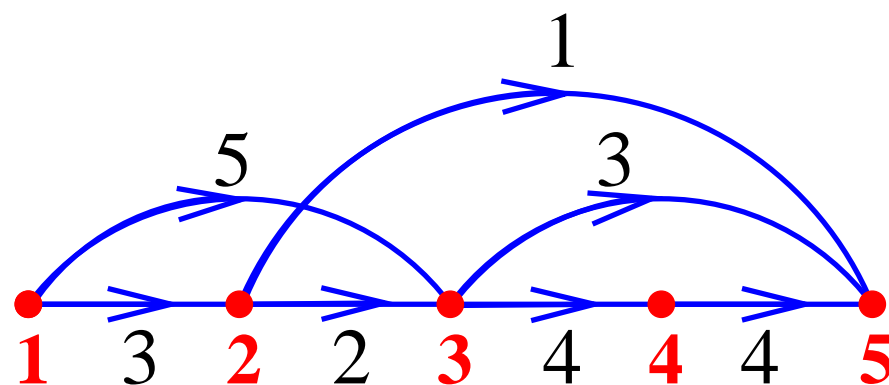
$$\mathcal{P}(A_n^+) = \mathcal{F}(K_n).$$

# Vertices of $\mathcal{F}(G)$

vertices  $\leftrightarrow$  **paths** in  $G$  from 1 to  $m + 1$

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12345 1235 125 1345 135

# Excess flows

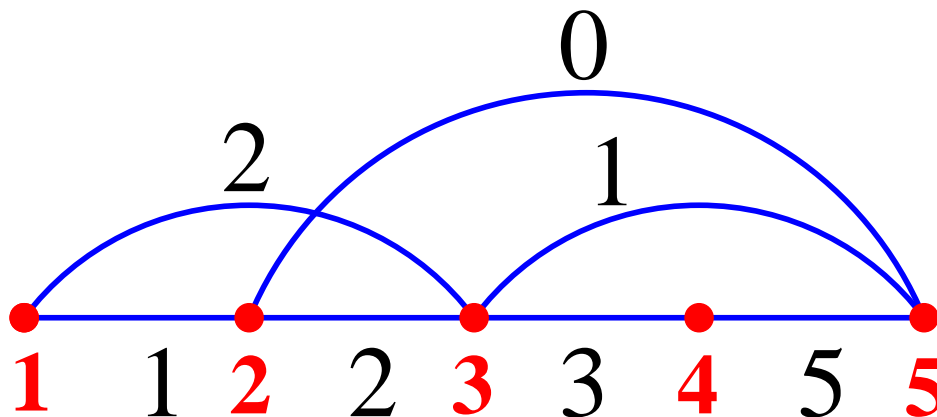
**excess flow vector**  $\gamma = (a_1, \dots, a_m) \in \mathbb{N}^m$

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$$\gamma = (3, 1, 0, 2)$$



# The positive roots $A_m^+$

Recall:

$$e_i = (0 \cdots 0 \overset{i}{1} 0 \cdots 0) \in \mathbb{R}^{m+1}$$

$$e_{ij} = e_i - e_j$$

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Recall:

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$$e_{ij} = e_i - e_j$$

$$A_m^+ = \{e_{ij} : 1 \leq i < j \leq m + 1\} \subset \mathbb{Z}^{m+1}$$

# (restricted) Kostant partition function

$$\boldsymbol{\nu} \in \mathbb{Z}^{m+1}, \quad \sum \nu_i = 0$$

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$$K_S(\nu) = \# \left\{ (b_{ij})_{e_{ij} \in S} : \nu = \sum b_{ij} e_{ij} \right\}$$

$$K(\nu) = K_{A_m^+}(\nu)$$

# An example

## Example.

$$S = \{e_{12}, e_{23}, e_{13}\} = A_3^+$$

$$(2, 0, -2) = 2e_{12} + 2e_{23} = e_{12} + e_{13} + e_{23} = 2e_{13}$$

$$\Rightarrow K_S(2, 0, -2) = K(2, 0, -2) = 3.$$

# Flows and partitions

**Proposition.** *Let*

$$S = S(G) = \{e_{ij} : (i, j) \in E(G)\}.$$

*The number of  $\mathbb{N}$ -flows with excess  $(a_1, \dots, a_m)$  is equal to*

$$K_S \left( a_1, \dots, a_m, -\sum a_i \right).$$

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Now let  $d_i = \text{outdeg}(i) - 1$ .

# Main thm. (D. Peterson for $S = A_m^+$ )

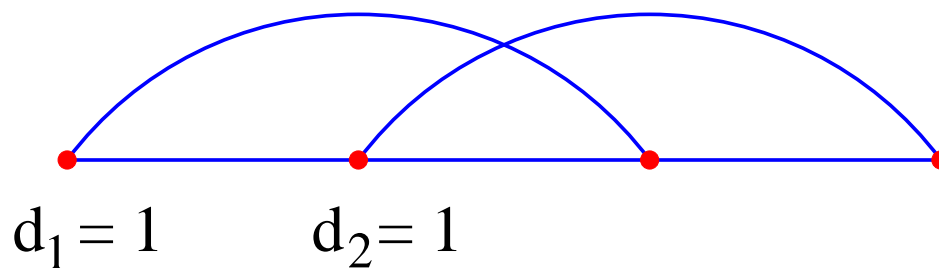
$$K_S \left( a_1, \dots, a_m, -\sum a_i \right) =$$
$$\sum K_S(\nu_1 - d_1, \dots, \nu_{m-1} - d_{m-1}, 0, 0)$$
$$\cdot \binom{a_1 + d_1}{\nu_1} \cdots \binom{a_{m-1} + d_{m-1}}{\nu_{m-1}},$$

summed over all  $\nu_1, \dots, \nu_{m-1} \in \mathbb{N}$  satisfying

$$\nu_1 + \cdots + \nu_i \geq d_1 + \cdots + d_i$$
$$\sum \nu_i = d_1 + \cdots + d_{m-1}.$$



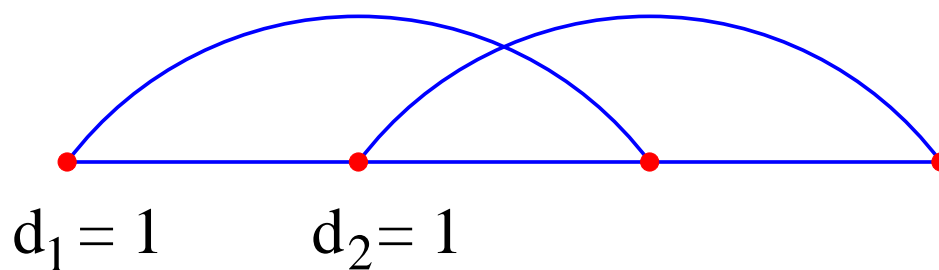
# An example



$$(\nu_1, \nu_2) = (2, 0), (1, 1)$$

$$S = \{e_{12}, e_{13}, e_{23}, e_{24}, e_{34}\}, \quad K_S(\alpha, \beta) = K_S(\alpha, \beta, 0, 0)$$

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$$K_S(a, b, c, -a - b - c) =$$

$$K_S(1, -1) \binom{a+1}{2} + K_S(0, 0) \binom{a+1}{1} \binom{b+1}{1}$$

$$= \binom{a+1}{2} + (a+1)(b+1).$$

# (Piecewise) polynomiality

**Corollary.**  $K_S(a_1, \dots, a_m, a_{m+1})$  is a **polynomial** function of  $a_1, \dots, a_{m+1}$  in the cone

$$\mathcal{C}_S : \quad x_1, \dots, x_m \geq 0, \quad x_{m+1} \leq 0.$$

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**Note.**  $K_S$  is **piecewise polynomial** on  $\mathbb{Z}^{m+1}$ .

Minimum number of cones of nonzero polynomiality not known. For  $S = A_m^+$ , we have:

$m$	2	3	4	5	6
# cones	2	7	48	820	51133

# An example

**Example.**  $m = 2$ :

$$K(a, b, -a - b) = \begin{cases} a + 1, & a, b \geq 0 \\ a + b + 1, & 0 \leq -b \leq a \\ 0, & \text{otherwise.} \end{cases}$$

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**Proof** of polynomiality based on Elliott-MacMahon algorithm. (There are other proofs.)

# Volume of flow polytope

**Corollary.** *Let  $d = \dim \mathcal{F}(G)$ . Then*

$$d! \cdot \text{vol}(\mathcal{F}(G)) := \tilde{V}(\mathcal{F}(G))$$

$$= K_S \left( d_{m-1}, d_{m-2}, \dots, d_1, -\sum d_i \right).$$

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For  $G = K_{m+1}$ , we have

$$\tilde{V}(\mathcal{F}_{K_{m+1}}) = K \left( 1, 2, \dots, m-2, -\binom{m-1}{2} \right).$$



# Chan-Robbins conjecture

**Theorem** (Zeilberger, Baldoni-Vergne). We have

$$\tilde{V}(\mathcal{F}_{K_{m+1}}) = C_1 \cdots C_{m-2},$$

where

$$C_n = \frac{1}{n+1} \binom{2n}{n} \text{ (Catalan number).}$$

# Alternate formulation

Let  $f(n)$  be the number of  $n \times n$   $\mathbb{N}$ -matrices  $A$  such that

- $A_{ij} = 0$  if  $j > i + 1$
- row and column sum vector

$$\left( 1, 3, 6, \dots, \binom{n+1}{2} \right)$$

# Alternate formulation (cont.)

$$\begin{bmatrix} 0 & 1 & & & \\ 0 & 1 & 2 & & \\ 0 & 0 & 2 & 4 & \\ 1 & 0 & 1 & 3 & 5 \\ 0 & 1 & 1 & 3 & 10 \end{bmatrix}$$

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No combinatorial proof known.

# Divisibility properties I

**Theorem** (easy consequence of Ehrhart's law of reciprocity).  $K(a_1, \dots, a_m, -\sum a_i)$  is divisible by

$$(a_1 + 1)(a_1 + 2) \cdots (a_1 + m - 1).$$

# Divisibility properties II

**Theorem** (J. R. Schmidt and A. M. Bincer, 1984) *Also divisible by*

$$a_1 + a_2 + \cdots + a_{m-2} + 3a_{m-1} + 3.$$

*In fact,*

$$3K \left( a_1, \dots, a_m, - \sum a_i \right) = \\ (a_1 + \cdots + a_{m-2} + 3a_{m-1} + 3) \\ \cdot K_{\mathbf{no} \ e_{m-1,m}} \left( a_1, \dots, a_m, - \sum a_i \right).$$

# Example and conjecture

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$$K(a, b, c, d, -a - b - c - d) =$$
$$\frac{1}{360}(a + 1)(a + 2)(a + 3)(a + b + 3c + 3)$$
$$\cdot (a^2 + 5ab + 10b^2 + 9a + 30b + 20)$$



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**Open:** Are all coefficients of

$$K_S \left( a_1, \dots, a_m, -\sum a_i \right)$$

nonnegative?

# Matching polytopes (Ricky Liu)

$G = (V, E)$ : a graph;  $n = \#E$

$M_G$ : **matching polytope** of  $G$ , i.e.,

$$M_G = \left\{ w: E \rightarrow \mathbb{R}_{\geq 0} \mid \forall v \in V \sum_{v \in e} w(e) \leq 1 \right\} \subseteq \mathbb{R}^n.$$

# Vertices of $M_G$

**matching**  $M$ : a set of vertex-disjoint edges

If  $L \subseteq E$ , define  $\chi_L \in M_G$  by

$$\chi_L(e) = \begin{cases} 1, & e \in L \\ 0, & e \notin L. \end{cases}$$

**Note.**  $M_G$  has integer vertices if and only if  $G$  is bipartite. In that case, the vertices are  $\chi_M$ , where  $M$  is a matching of  $G$ .

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If  $L \subseteq E$ , define  $\chi_L \in M_G$  by

$$\chi_L(e) = \begin{cases} 1, & e \in L \\ 0, & e \notin L. \end{cases}$$

**Note.**  $M_G$  has integer vertices if and only if  $G$  is bipartite. In that case, the vertices are  $\chi_M$ , where  $M$  is a matching of  $G$ .

**Corollary.**  $G$  bipartite  $\Rightarrow$

$$V(G) := n! \cdot \text{vol}(M_G) \in \mathbb{Z}$$

# $G, G_1, G_2$

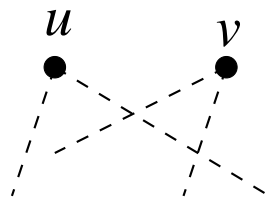
$H$  = graph,  $u, v \in V(H)$ ,  $u \neq v$

$G$ : adjoin pendant edges  $uu'$ ,  $vv'$  (so  $u', v'$  are endpoints)

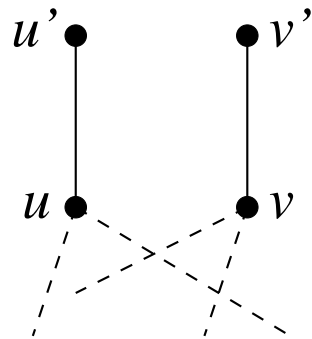
$G_1$ : adjoin pendant edge  $uu'$  and an edge  $uv$

$G_2$ : adjoin pendant edge  $vv'$  and an edge  $uv$

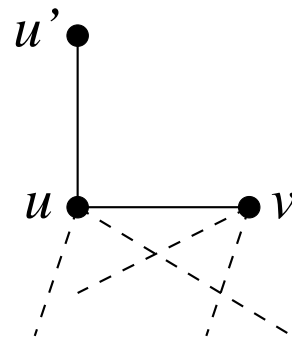
# Leaf recurrence



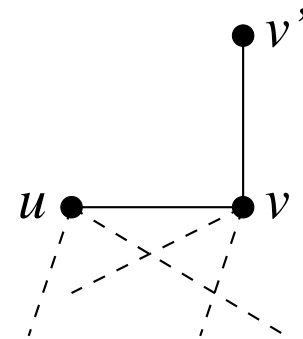
$H$



$G$

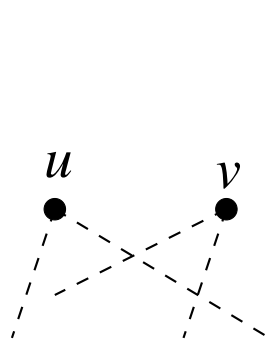


$G_1$

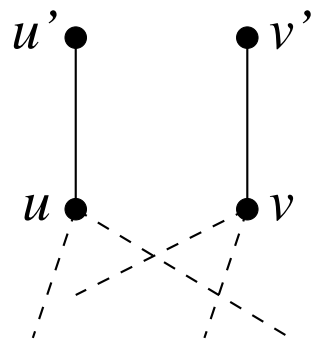


$G_2$

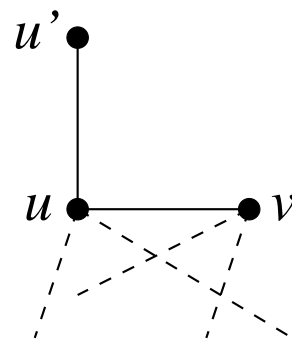
# Leaf recurrence



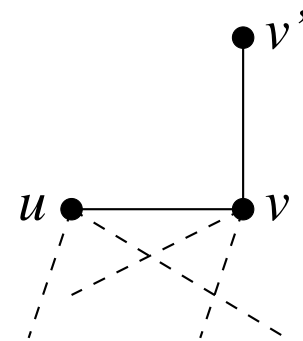
$H$



$G$



$G_1$



$G_2$

$\mathcal{F}$ : set of all forests

$f: \mathcal{F} \rightarrow \mathbb{R}$  satisfies the leaf recurrence if

$$f(G) = f(G_1) + f(G_2).$$

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*Then  $f(G) = V(G)$ .*

# Volume of $M_G$ (continued)

**Theorem.** *The previous theorem can be used to compute  $V(F)$  for any forest  $F$ .*

# Diagrams and tableaux

$\mathcal{B}$ : the set of unit squares in  $\mathbb{R}^2$  with centers  $(i, j)$ ,  $i, j \geq 1$ . Denote also by  $(i, j)$  the unit square with center  $(i, j)$ .

# Diagrams and tableaux

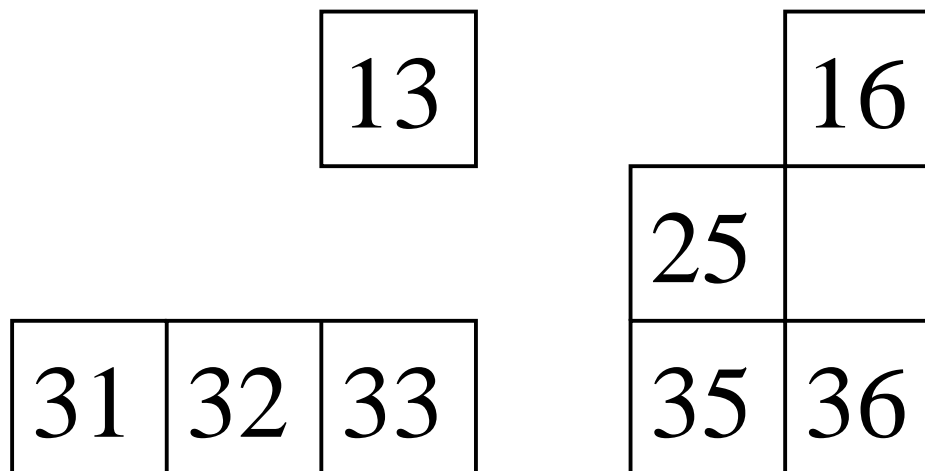
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# Row and column stabilizers

***D***: diagram with  $n$  boxes, ordered in some way

$\mathfrak{S}_n$  acts on  $D$

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$\mathfrak{S}_n$  acts on  $D$

$R_D$  ( $C_D$ ): subgroup of  $\mathfrak{S}_n$  stabilizing each row (column) of  $D$

$$R(D) = \sum_{w \in R_D} w, \quad C(D) = \sum_{w \in C_D} \text{sgn}(w)w$$

# The Specht module $S^D$

The **Specht module**  $S^D$  (over  $\mathbb{C}$ ) is the left ideal

$$S^D = \mathbb{C}[\mathfrak{S}_n]C(D)R(D)$$

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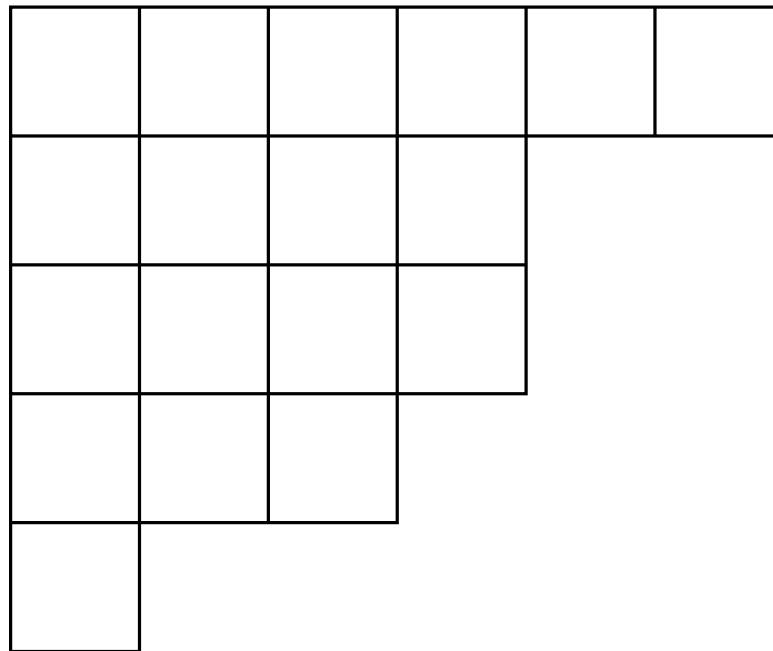
**Note.**  $S^D$  affords a representation of  $\mathfrak{S}_n$  by left multiplication.

# Irreducible Specht modules

**Note.** If  $D$  is the (Young) diagram of a partition  $\lambda$  of  $n$ , then  $S^D$  is irreducible. Conversely, if  $S^D$  is irreducible, then  $S^D \cong S^{D'}$  for the diagram  $D'$  of some partition.

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# The diagram of a forest $F$

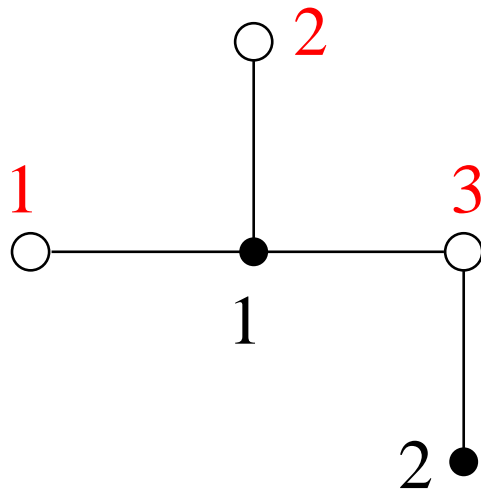
Let  $V(F) = A \cup B$ , so that all edges are between  $A$  and  $B$ . Label the  $A$ -vertices  $1, \dots, m$  and  $B$ -vertices  $1, \dots, n$ . Let

$$D(F) = \{(i, j) : ij \in E(F), i \in A, j \in B\}.$$

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		2 3



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**Note for experts.** The diagrams  $D(F)$  are **not** %-avoiding diagrams in the sense of Reiner and Shimozono.

# Decomposition of $\mathcal{S}^{D(F)}$

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with initial conditions  $f(K_{n,1}) = 1$ .

Change the initial conditions to  $f(K_{n,1}) = h_n$ , the complete homogeneous symmetric function (**generic leaf recurrence**).

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In other words, if

$$f(F) = \sum_{\lambda \vdash n} c_\lambda s_\lambda,$$

where  $s_\lambda$  is a Schur function, then  $c_\lambda$  is the multiplicity of the irreducible representation of  $\mathfrak{S}_n$  indexed by  $\lambda$  in  $S^{D(F)}$ .



# The Ehrhart polynomial of $M_F$

**Open.** What is the Ehrhart polynomial of  $M_F$ ?

Does it have any representation-theoretic significance?

**Darn!**

That's  
the  
end...

